

Subject	Liquefaction and Ground Improvement Analysis for Bethany Reservoir Alternative (Final Draft)			
Project feature	Geotechnical			
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1. Purpose

This supplementary technical memorandum (TM) was prepared to summarize the liquefaction potential and a possible liquefaction mitigation approach at three sites along the alignment of the Delta Conveyance Project's Bethany Reservoir Alternative. This TM is complementary to the *Liquefaction and Ground Improvement Analysis* for the Central and Eastern (C-E) Corridor Options (DCA, 2021a). The intakes and tunnel shaft sites described for the Eastern Corridor Option from Twin Cities Complex through Lower Roberts Island would be located on the same sites under the Bethany Reservoir Alternative. The Bethany Reservoir Alternative tunnel shaft site on the Upper Jones Tract would be located at a different location than under the Eastern Corridor Option; however, the two locations are relatively close and have similar characteristics related to liquefaction potential. This TM was created in support of developing a conceptual footprint for key elements of the Bethany Reservoir Alternative located south of Upper Jones Tract at Union Island and Bethany Complex. A detailed site-specific liquefaction analysis for these and other key elements, including the tunnels and aqueduct pipelines, would be performed upon acquisition of additional geotechnical information during the future design phase.

1.1 Organization

This TM is organized as follows:

- Overview
- Liquefaction Potential Calculations
- Liquefaction Mitigation Calculations
- Findings
- Conclusions
- References
- Document History and Quality Assurance

2. Overview

The Bethany Reservoir Alternative includes the following main components:

- A tunnel and associated shafts
- The Bethany Reservoir Pumping Plant (BRPP) and Surge Basin located southeast of the Clifton Court Forebay

- The Bethany Reservoir Aqueduct (Aqueduct) four buried pipelines leading from the BRPP to Bethany Reservoir Discharge Structure
- The Bethany Reservoir Discharge Structure
- Buried welded steel discharge pipeline connecting to the Jones Approach Canal Aqueduct for conveying pumped flow to the Jones Pumping Plant approach channel (7,500 cubic feet per second project design capacity only)

This TM presents a conceptual-level, liquefaction potential evaluation and possible foundation soils mitigation at the following locations, as shown in Attachment 1:

- Union Island Tunnel Maintenance Shaft
- BRPP and Surge Basin
- Bethany Reservoir Discharge Structure

For site soils that are susceptible to liquefaction, a deep mechanical mixing (DMM) approach to mitigate liquefaction is considered, as described in DCA (2021a).

2.1 Geotechnical Information

Soil borings and cone penetrometer test (CPT) soundings were completed at locations near the Bethany Reservoir Alternative tunnel alignment and BRPP during the prior environmental assessment phase of the former WaterFix Project, as documented in the *Environmental Impact Report* (DWR 2013) and *Environmental Impact Statement* (DWR, 2018). Additional soil boring and CPT sounding logs were also available from DWR's Atlas database (CCWD, 2008; DWR, 1967) while other data was digitized from a nearby project (SLTP, 2018).

As described in DCA (2021a), Delta geology is characterized by buried river channels, abundant sand lenses, and upper layers of organic-rich soil. The groundwater level is generally about 5 feet below ground surface (bgs) within much of the Delta (DWR 2013; DWR 2018); however, historical boring logs at the Tracy Pumping Plant indicate the groundwater level deepens toward the southern end of the Delta and generally approaches 10 feet bgs near the BRPP (USBR 1947).

South of the BRPP location, the geology changes beyond the margins of the historical Delta and consists of colluvium from the coast range. Farther to the south, the Bethany Reservoir Discharge Structure is underlain by the Panoche Formation, consisting of marine sandstones, clay shales, and minor siltstones. The sandstones are occasionally concretionary, and the clay shales are often thinly bedded, deeply weathered, soft, and friable. These sedimentary formation beds generally dip to the northeast at 20 degrees from horizontal.

2.2 Seismic Ground Motions

The current draft Project seismic design criteria specify a combination of probabilistic and deterministic ground motions for conceptual design, depending on the facility type (DCA, 2021b). Preliminary probabilistic and deterministic seismic hazard analyses were performed using the latest generation of earthquake ground motion attenuation relationships and fault source models, as presented in Attachment 2. Table 1 summarizes the preliminary ground motions by facility that were used in the liquefaction analysis. The PGA values presented in this table are those at the ground surface and were estimated by multiplying the amplification factors obtained from the site response analysis (DCA, 2021c) to the MDE or MCE PGAs at the reference site.

Facility	Maximum Design Earthquakeª	Controlling Magnitude (M _w) ^b	Peak Ground Acceleration (% of g) ^c	Amplification Factor ^d
Union Island Tunnel Maintenance Shaft	Envelope of 2,475-year probabilistic and 84th- percentile deterministic ground motions		0.55	0.37
Bethany Reservoir Pumping Plant	2019 CBC (MCE)	6.9	0.58	0.57
Bethany Reservoir Discharge Structure	975-year probabilistic ground motions		0.59	N/A ^e

Table 1. Preliminary Ground Motions at Bethany Alternative Facility Sites

^a Delta Conveyance Draft Seismic Guidelines (DCA, 2021b).

^b Controlling earthquake magnitude for deterministic ground motions.

^c Per Attachment 2, for a reference stiff soil site (Site Class D, with reference seismic shear-wave velocity (V_{s30}) =

1,100 feet per second [fps] or 335 meters per second).

^d Factors calculated using 1-D site response analysis, described in DCA, 2021c.

^e Ground motions not considered in evaluation, as discussed in Section 5.3.

Notes:

% = percent

g = acceleration due to gravity

M_w = moment magnitude

N/A = not applicable

3. Liquefaction Potential Calculations

3.1 Analytical Standard Penetration Test Procedure

Liquefaction potential was evaluated at the Bethany Reservoir Pumping Plant and Union Island Tunnel Maintenance Shaft sites using the Youd et al. approach (2001), as described in DCA (2021a). As in the Central-Eastern Alignment TM, the standard penetration test (SPT) analysis was used to determine the DMM wall-to-wall spacing.

The cyclic shear resistance at each facility was scaled by an age factor *K*. Preliminary radiocarbon dating tests collected on Bouldin Island and Lower Roberts Island indicate that the average age of the Modesto formation (Qm) is approximately 10,000 years before present, and the age of the Riverbank Formation (Qr) is approximately 40,000 years before present. Following the methodology described in Hayati et al. (2008), the ages of these geologic units result in approximate age factors of K = 1.5 and 1.6, respectively. The depth to the Modesto and Riverbank Formation at each site was taken from Maier et al. (2013) and Gatti et al. (2013).

At each site, an unimproved (native) factor of safety (FS) against liquefaction was calculated as the ratio of soil cyclic resistance ratio to cyclic shear stress ratio. An improved FS is also calculated and reflects the improved conditions after implementing a grid of DMM walls.

Attachment 3 summarizes the results of the calculated FS; where the liquefaction potential is indicated as "no" for cases where the unimproved or improved FS is at or above 1.0, and "yes" where the unimproved

or improved FS is below 1.0. This approach does not apply for the rock anticipated at the Bethany Reservoir Discharge Structure site, as rock is not susceptible to liquefaction.

3.2 Analytical Cone Penetration Test Procedure

Liquefaction potential was evaluated at the BRPP and Union Island Tunnel Maintenance Shaft sites using CPT data and CLiq 3.0 software (Geologismiki 2020), as described in DCA (2021a). This exercise used data from a representative CPT sounding from the Union Island Tunnel Maintenance Shaft and the BRPP. The resulting FS versus depth plot was analyzed in conjunction with the liquefaction potential index (LPI) plot at each site to produce an anticipated liquefaction depth and compared against the SPT analysis to determine the depth of liquefaction. Attachment 4 provides the calculated FS and LPI plots.

Age factors were also applied to the Qr and Qm soils in the CPT analyses, as described in Section 3.1.

The results of the CPT-based liquefaction analysis were somewhat inconsistent with those of the SPT-based analysis at the BRPP. The CPT-based analysis results suggest significant liquefaction from depths of about 10 to 75 feet, whereas little to no liquefaction is predicted by the SPT data. These are due to soil type determination using the CPT data, which resulted in being classified as sandy/silty areas; and the observed and logged conditions in the soil boring were clayey sand and sandy clay (analyzed at six depths). As sandy/silty soils are susceptible to liquefaction, more widespread liquefaction is predicted by the CPT data. The CPT sounding predicted N_{60} values that were, on average, 25 percent of what was actually measured at the same depth in the adjacent soil boring at the Jones Approach Channel. Attachment 5 provides a comparative N_{60} plot from the boring and paired CPT. As Section 5.3 discusses, an alternative evaluation was completed based on shear wave velocity to confirm the SPT analysis findings; recommendations for future analysis are given in Section 6 of this TM.

4. Liquefaction Mitigation Calculation

The improved FS against liquefaction resulting from an implemented soil-cement grid (DMM panels) for each soil layer within each borehole was estimated using the SPT data and the procedures described in DCA (2021a), with additional cyclic resistance added using the age factor of the geologic unit. For each analysis, DCA estimated the required replacement ratio (A_r) for each sample interval, assuming a DMM panel thickness of 1 meter (36 inches), to achieve an FS against liquefaction of 1.0. Attachment 3 provides the results of these analyses. The recommended depth of liquefiable soils was taken as the more conservative depth between the SPT and CPT analyses. The analyses did not account for the placement and consolidation of fill material during construction of the shaft pads.

5. Findings

This section presents the findings of the analyses.



5.1 Union Island Tunnel Maintenance Shaft

Figure 1. Conceptual Geologic Profile at Union Island Maintenance Shaft

The Union Island Tunnel Maintenance Shaft could be constructed within alternating layers of coarsegrained and fine-grained soils underlaying a surface layer of peat (Figure 1). Figure 2 provides the boring and CPT locations.



Figure 2. Plan View of Boring and CPT Used at Union Island Maintenance Shaft (Plan view is oriented north-south)

No recent data were available for this site, so data from the Peripheral Canal Project (DWR, 1967) were used. The historic boring was drilled at approximately -3 feet elevation (El.) (all references to elevation are North American Vertical Datum 1988 [NAVD88]) and samples were obtained by driving a 2.5-inch ID

sampler. The subsurface soils at this site are characterized by a 7-foot-thick layer of fill and peat, followed by a layer of sand and silty sand terminating around a depth of 22 feet, overlying a thin layer of silty clay that terminates around a depth of 25 feet, followed by an additional layer of sand and silty sand terminating around 44 feet in depth and ending in a high-plasticity clay. For the liquefaction analyses, the earthquake groundwater depth was set to 0 feet bgs to reflect the mean water surface of the nearby slough.

Table 2 summarizes the average soil parameters for each soil layer evaluated in the SPT-based liquefaction analysis at the Union Island Tunnel Maintenance Shaft site. Attachment 3 provides the analysis results, which demonstrate the need to reinforce the soils to prevent liquefaction. Table 3 summarizes the required minimum DMM properties and depth of anticipated liquefaction (greater of SPT and CPT analyses). The minimum calculated spacing is defined as the center-to-center distance between the grid of DMM walls at each site required to maintain an FS greater than or equal to 1.0. The replacement ratio is defined as the percentage of area occupied by the DMM walls, given the corresponding DMM wall spacing and thickness, over a given volume of improved ground.

Explorations Used	Layer (refer to Figure 1)	Top Elev. (feet)	USCS⁵	Total Unit Weight (pcf) ^c	Average SPT N-value	Average Fines Content (%)	Average Shear Wave Velocity (fps) ^d	Shear Modulus G (ksi)
	1	-3	РТ	60	4	50	400	2.0
PC60-PCA-43 (BH#2)ª	2	-10	SM	120	19	15	450	5.5
	3	-25	СН	120	12	100	550	7.1
	4	-28	SM (Qm)	120	41	20	650	11.2
	5	-50	CH (Qm)	120	20	100	600	9.0

Table 2. Summary of Soil Parameters at Union Island Tunnel Maintenance Shaft

^a DWR (1967)

^b Unified Soil Classification System

^c Soil parameters taken from DWR (1967), adjusted to be consistent with complementary explorations used in 1-D site response analysis (DCA, 2021c)

^d Shear wave velocity taken from nearby seismic CPT measurements

Notes:

pcf = pound(s) per cubic foot

fps = feet per second

ksi = 1,000 pounds per square inch

Qm = Modesto formation

Table 3. Estimated DMM Cell Size, Minimum Replacement Ratio, and Depth of Liquefiable Soils atUnion Island Tunnel Maintenance Shaft

Location	Union Island Tunnel Maintenance Shaft
Minimum Calculated Spacing (meters / feet)	14 / 45
Replacement Ratio (%)	14
Predicted Depth of Liquefiable Soils (feet) ^a	30

^a CPT used from HTE (2008)

5.2 Bethany Reservoir Pumping Plant

The BRPP may be constructed within alternating layers of coarse-grained and fine-grained soils (Figure 3). Figure 4 provides the boring locations.



Figure 3. Conceptual Geological Profile at Bethany Reservoir Pumping Plant

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Figure 4. Plan View of Borings and CPTs Used Near Bethany Reservoir Pumping Plant *Plan view is oriented north-south.*

The soil borings drilled near this site (DCW-DH-014 and WAPA-SLTP-B3) were drilled at El. 22 and El. 61 feet, respectively (SLTP, 2018). The SLTP exploration was located at an elevation approximately 20 feet higher than the proposed BRPP, so the top 20 feet of soil was disregarded. This SLTP exploration encountered clayey sand to a depth of 7 feet, followed by a 5-foot-thick silty sand layer before reaching an additional 5-foot layer of lean clay and overlying a 10 foot layer of silty sand, ending in a thin layer of sandy lean clay. For the liquefaction analyses, the earthquake groundwater depth was set to 30 feet bgs to reflect the groundwater measurement taken in the field during drilling of a nearby soil boring (DWR, 2013).

Table 4 summarizes the averaged soil parameters for each layer used in the SPT-based liquefaction analysis at the BRPP site. Attachment 3 provides the results of the SPT liquefaction analysis.

Liquefaction was predicted in an isolated zone at a depth of approximately 35 feet in the clayey sand layer using the SPT data. Because of this, the results in Attachment 3 show the need for a minimal soil reinforcement spacing. The presence of clayey fines would mitigate this isolated risk of liquefaction (refer to Table 4). For this reason, no ground improvement is anticipated at the BRPP site to address liquefaction. More refined analyses will be performed to confirm this during future design phases.

Given the significant underprediction of N_{60} in the paired CPT (DCW-CPT-027) (discussed in Section 3.2 and illustrated in Attachment 5), the calculated liquefaction prediction results from the CPT are not considered representative of the conditions at the Bethany Reservoir Pumping Plant. Downhole shear wave velocity normalized for overburden pressure (Vs1) measurements from DCW-CPT-027 reported no values below 700 fps, which indicates the soils are not subject to liquefaction at this site (Andrus & Stokoe, 2000), as Attachment 6 shows.

Explorations Used	Layer (refer to Figure 3)	Top Elev. (feet)	USCS ^c	Total Unit Weight (pcf) ^d	Average SPT N-value	Average Fines Content (%)	Average Shear Wave Velocity (fps) ^e	Shear Modulus G (ksi)
DCW-DH-014ª, WAPA-SLTP-B3 ^b	1	50	SC (Qm)	115	18	39	600	10.2
	2	37	CL (Qm)	120	10	90	550	8.0
	3	33	SM (Qm)	120	18	33	650	10.7
	4	23	CL (Qm)	120	22	75	650	11.0

 Table 4. Summary of Soil Parameters at Bethany Reservoir Pumping Plant

^a DWR (2013)

^b SLTP (2013)

^cUnified Soil Classification System

^d Soil parameters taken from DWR (1967), adjusted to be consistent with complementary explorations used in 1-D site response analysis (DCA, 2021c)

^e Shear wave velocity taken from nearby seismic CPT measurements

Notes:

% = percent

pcf = pound(s) per cubic foot

fps = feet per second

ksi = 1,000 pounds per square inch

Qm = Modesto Formation

5.3 Bethany Reservoir Discharge Structure

The Bethany Reservoir Discharge Structure would be constructed within soft sedimentary rock of the Upper Cretaceous Panoche formation, which consists of interbedded shales, sandstones, and occasional siltstones (DWR, 2016). The groundwater level is anticipated to be near the same elevation as the nearby Bethany Reservoir.

Available shear wave velocities measured from Boring DH-1 (DWR, 2016) were normalized for overburden pressure and reported values no lower than 3,800 fps, which indicate no potential for liquefaction at this site (Andrus & Stokoe, 2000). Given the lack of liquefiable soils at this site, no ground improvement should be required for the purposes of liquefaction mitigation at the Bethany Reservoir Discharge Structure.

6. Conclusions

This TM was created in support of developing a conceptual footprint for key elements of the Bethany Reservoir Alternative located south of Upper Jones Tract, including at Union Island and features within the Bethany Complex. Liquefaction potential was identified at the Union Island Tunnel Maintenance Shaft site for the Bethany Reservoir Alternative, based on preliminary design ground motions that are consistent with the current draft project seismic design criteria (DCA, 2021b).

For this conceptual design TM, DCA recommends incorporating a viable ground improvement scheme at the maintenance shaft location to reduce the risk of liquefaction, strength loss, settlement, and lateral spreading. Installation of a grid of DMM panels is considered a viable option. At Union Island Tunnel Maintenance Shaft, the minimum wall spacing would be set at 45 feet for conceptual engineering and the walls would extend to a depth of 30 feet bgs, or deeper.

Since the soil underlying the BRPP is dominated by clays, DCA concludes no ground improvement to address liquefaction is anticipated at this site. Similarly, given the lack of liquefiable soils near Bethany Reservoir, no ground improvement is anticipated for liquefaction mitigation at the Bethany Reservoir Discharge Structure.

Given the significant differences in N_{60} blow counts predicted from the CPT sounding and those measured in the adjacent soil boring at the Jones Approach Channel, a comparison of all CPT/soil boring pairs in the former WaterFix dataset is encouraged.

7. References

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8. Document History and Quality Assurance

Reviewers listed have completed an internal quality review check and approval process for deliverable documents that is consistent with procedures and directives identified by the Engineering Design Manager (EDM) and the DCA.

Approval Names and Roles					
Prepared by	Internal Quality Control review by	Consistency review by	Approved for submission by		
John Hinton / EDM	Dario Rosidi /EDM Seismic Lead	Gwen Buchholz / DCA	Terry Krause / EDM Project		
Geotechnical Engineer	Andrew Finney / EDM Geotechnical	Environmental Consultant	Manager		
	and Field Work Lead	Phil Ryan / EDM Design Manager			

This interim document is considered preliminary and was prepared under the responsible charge of Andrew Finney, California Geotechnical Engineering License GE2759.

Attachment 1 Map of Analysis Locations



Data Source: DCA, DWR

Attachment 2 Probabilistic and Deterministic Ground Motions



MEMORANDUM OF TRANSMITTAL

Date: 1 September 2021

To: Andrew Finney and Dario Rosidi

From: Patricia Thomas, Sarah Smith, and Ivan Wong

SUBJECT: Data Transmittal – Delta Conveyance Probabilistic and Deterministic Ground Motions for Bethany Alternative Sites

Lettis Consultants International, Inc. (LCI) is pleased to provide these probabilistic and deterministic peak horizontal ground accelerations (PGAs) for the three sites along the Bethany Alternative of the Delta Conveyance Project (Figure 1). These values supplement the values provided in reports by Wong *et al.* (2021) for 12 sites along the two original alignments. All sites are shown on Figure 1. Consistent with those previous analyses, the ground motions computed herein are for a generic stiff soil site condition with a time-averaged shear-wave velocity in the top 30 m (V_s30) of 1,100 ft/sec (335 m/sec).

The seismic source model used in the May 2019 analyses for WaterFix (LCI, 2019) has since been updated. Specifically the characterizations of the West Tracy, Midland, and Greenville faults were revised based on new information (Figure 1). The updates to the seismic source model are described in Wong *et al.* (2021). Table 1 provides mean and 85th percentile PGAs at 500, 1,000, and 2,475-year return periods.

LOCATION ¹	LATITUDE	LONGITUDE	500-YEAR PGA		1,000-YEAR PGA		2,475-YEAR PGA	
			MEAN	85тн %	MEAN	85тн %	MEAN	85тн %
			(g)	(g)	(g)	(g)	(g)	(g)
Bethany Reservoir Shaft	37.779498°	-121.605939°	0.46	0.53	0.59	0.67	0.78	0.89
Pumping Plant	37.801215°	-121.575039°	0.41	0.47	0.53	0.60	0.70	0.79
Union Island Shaft	37.866588°	-121.523912°	0.33	0.37	0.41	0.46	0.54	0.61

Table 1. Probabilistic PGAs for California Delta Conveyance¹

¹ Stiff Soil, Site Class D was assumed for each location.

Notes:

% = percentile

PGA = peak horizontal acceleration



The results of the PSHA show that the highest probabilistic hazard is at the Bethany Reservoir shaft followed by the Pumping Plant. The lowest hazard is at the Union Island shaft. The probabilistic PGA hazard at the three sites is controlled by the active faults to the west including the Greenville and Mt. Diablo faults (Figure 1). Unlike the DSHA, the West Tracy fault is not a major contributor to the probabilistic hazard because of its low slip rate.

A DSHA was also performed for the three sites. All three sites are within 6 km of the West Tracy fault, and so, deterministic PGAs are computed for the **M** 6.9 scenario on the West Tracy fault. Deterministic scenarios on other faults in the region result in lower PGAs.

LOCATION	DETERMINISTIC MEDIAN PGA (g)	DETERMINISTIC 84 [™] PERCENTILE PGA (g)	DETERMINISTIC 69 [™] PERCENTILE PGA (g)	DETERMINISTIC 95 [™] PERCENTILE PGA (g)		
Bethany Reservoir Shaft	0.54	0.93	0.71	1.31		
Pumping Plant	0.60	1.02	0.78	1.44		
Union Island Shaft	0.42	0.72	0.55	1.03		

Table 2. Deterministic PGA Values¹

¹ Controlling deterministic scenario for all three sites is **M** 6.9 earthquake on the West Tracy fault.

The Bethany Reservoir Shaft and Pumping Plant sites are located on the hanging wall of the West Tracy fault resulting in larger ground motions than at the Union Island Shaft site. The Pumping Plant hazard is highest because it is closest to the West Tracy fault (Figure 1).

The probabilistic and deterministic ground motions represent free-field motions for a reference site condition of stiff soil ($V_s30 = 1,100$ ft/sec). These preliminary ground motions should be revised at a later date using site response analysis to model the effects of the softer, near surface materials.

REFERENCES

Lettis Consultants International, 2019, Date transmittal – WaterFix probabilistic and deterministic ground motions for CER Section 4, letter of transmittal to Andrew Finney dated 1 May 2019.

Wong, I., Thomas, P., Zandieh, A., Lewandowski, N., Smith, S., and Unruh, J., 2021, Seismic hazard analyses and development of conceptual seismic design ground motions for the Delta Conveyance, unpublished final report (Rev 2 dated 1 Sep 2021) prepared by Lettis Consultants International for the Delta Conveyance Design and Construction Office.





MEMORANDUM OF TRANSMITTAL

Date: 1 September 2021

To: Andrew Finney and Dario Rosidi

From: Patricia Thomas and Ivan Wong

SUBJECT: Data Transmittal – Delta Conveyance Probabilistic and Deterministic Ground Motions for Union Island Shaft

As requested, the following are probabilistic and deterministic peak horizontal ground acceleration (PGA) values and spectral acceleration (SA) values for spectral periods from 0.01 to 10.0 sec, as well as Operating Basis Earthquake (OBE) and Maximum Design Earthquake (MDE) design response spectra for the Union Island Shaft site along the Bethany Alternative alignment of the Delta Conveyance Project (Figure 1). These values supplement the values provided in reports by Wong *et al.* (2021) for 12 sites along the two original alignments and Thomas *et al.* (2021) for three sites along the Bethany Alternative. All sites are shown on Figure 1. Consistent with those previous analyses, the ground motions computed herein are for a generic stiff soil site condition with a time-averaged shear-wave velocity in the top 30 m (V_s30) of 1,100 ft/sec (335 m/sec).

The seismic source model used in the 2019 analyses for WaterFix (LCI, 2019) was subsequently updated. Specifically the characterizations of the West Tracy, Midland, and Greenville faults were revised based on new information (Figure 1). The updates to the seismic source model are described in the Wong *et al.* (2021). The results for the three Bethany Alternative presented in Thomas *et al.* (2021) are based on the updated source model, as are the expanded results presented herein for Union Island Shaft site. These results for the Union Island Shaft site supersede those in Thomas *et al.* (2021).

Probabilistic Ground Motion Results

The probabilistic seismic hazard analysis (PSHA) methodology, including documentation of the seismic source model and ground motion models, used to develop the Union Island Shaft ground motions are provided in Wong *et al.* (2021). The results of the PSHA for the Union Island Shaft are presented in terms of ground motion as a function of annual exceedance frequency (AEF). AEF is the reciprocal of the average return period. Figure 2 shows the mean, median (50th percentile), 5th, 15th, 85th, and 95th percentile PGA hazard curves. The range of uncertainty between the the 5th and 95th percentile (fractiles) is a factor of 1.6 at a return period of 2,475 years. These fractiles indicate the range of epistemic uncertainty about the mean hazard. The 1.0 sec horizontal SA hazard curves are shown on Figure 3, which also have a factor of 1.6 at a return



period of 2,475 years. Table 1 provides mean and 5th to 95th percentile PGA and 1.0 sec values at return periods of 144, 200, 475, 975, and 2,475 years.

	PGA (g)	1.0 SEC SA (g)				
	144-Year Return Period					
Mean	0.20	0.25				
5 th -95 th Percentiles	0.16 - 0.25	0.19 - 0.31				
200-Year Return Period						
Mean	0.23	0.29				
5 th -95 th Percentiles	0.18 - 0.29	0.22 - 0.36				
475-Year Return Period						
Mean	0.32	0.41				
5 th -95 th Percentiles	0.25 - 0.4	0.32 - 0.51				
	975-Year Return Period					
Mean	0.41	0.54				
5 th -95 th Percentiles	0.32 - 0.5	0.41 - 0.66				
2,475-Year Return Period						
Mean	0.54	0.74				
5 th -95 th Percentiles	0.42 - 0.66	0.56 - 0.92				

Table 1. Summary of PGA and 1.0 Sec Horizontal Spectral Accelerations¹

¹ Stiff Soil, Site Class D was assumed for Union Island Shaft site.

Notes: % = percentile

PGA = peak horizontal acceleration

The contributions of the various seismic sources to the mean PGA hazard are shown on Figures 4 and 5 as hazard curves and fractional contribution plots, respectively. Seismic sources that contribute at least 5 percent to the hazard over the period range of 144 to 2,475 years are identified on these figures. Figures 4 and 5 show that the PGA hazard is controlled by the Mt. Diablo fault for return periods between 100 and 10,000 years. Although the site is located 25 km from the Mt. Diablo fault, it has a preferred slip rate of 2.0 mm/year, while the closer faults such as Greenville and West Tracy have significantly lower slip rates. The 1.0 sec SA hazard results are similar with some increased relative contribution from the Greenville and Midway-Black Butte faults (Figures 6 and 7).

The hazard can also be deaggregated in terms of the joint magnitude-distance-epsilon probability conditional on the ground motion parameter (PGA or SA exceeding a specific values). Epsilon is the difference between the logarithm of the ground motion amplitude and the mean logarithm of ground motion (for that M and D) measured in units of standard devition (σ). Thus, positive epsilons indicated larger-than-average ground motions. By deaggregating the PGA and 1.0 sec SA hazard by magnitude, distance, and epsilon bins, we can illustrate the contribution by events at various return periods. Figure 8 shows the deaggregation of the PGA hazard for the return periods of 475 and 2,475 years. The contributions to the PGA hazard are coming from a wide



range of M and D reflecting the contribution from several seismic sources (Figures 4 and 5). The majority of the PGA hazard at both the 475 and 2,475 year return periods is coming from events with magnitudes **M** 6.4 to 7.4 at distances less than 60 km. Deaggregation of the 1.0 sec SA hazard shows contribution from events of the same magnitude and distance ranges, but with additional contribution from events of magnitude **M** 7.2 to 8.4 between 80 and 90 km on the San Andreas fault (Figure 9).

Based on the magnitude and distance deaggregated results, the controlling earthquakes as defined by the mean magnitude (M-bar) and modal magnitude (M*), and mean distance (D-bar) and modal distance (D*) can be calculated. Table 2 lists the M-bar, M*, D-bar, and D* for the five return periods (144, 200, 475, 975, and 2,475 years) and for PGA and 1.0 sec horizontal SA.

PERIOD (SEC)	PGA	1.0 Sec SA			
144-Yea	ar Return Period				
Modal M	6.7	6.7			
Modal R _{RUP} (km)	25	25			
Mean M	6.6	6.8			
Mean R _{RUP} (km)	35.6	48.0			
200-Yea	r Return Period				
Modal M	6.7	6.7			
Modal RRUP (km)	25	25			
Mean M	6.6	6.8			
Mean R _{RUP} (km)	33.1	45.4			
475-Yea	r Return Period				
Modal M	6.7	6.7			
Modal R _{RUP} (km)	25	25			
Mean M	6.6	6.8			
Mean R _{RUP} (km)	27.9	39.2			
975-Yea	r Return Period				
Modal M	6.7	6.7			
Modal R _{RUP} (km)	25	25			
Mean M	6.6	6.8			
Mean R _{RUP} (km)	24.6	41.9			
2,475-Year Return Period					
Modal M	6.7	6.7			
Modal RRUP (km)	25	25			
Mean M	6.6	6.8			
Mean R _{RUP} (km)	21.4	30.2			

Table 2. Magnitude and Distance Deaggregation

Figure 10 shows a suite of mean uniform hazard spectra (UHS) at the return periods of 144, 200, 475, 975, and 2475 years. A UHS depicts the ground motions at all spectral periods with the same

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annual exceedance frequency or return period. The mean UHS shown on Figure 10 are tabulated in Table 3.

PERIOD (SEC)	144-YEAR RETURN PERIOD, SA (g)	200-YEAR RETURN PERIOD, SA (g)	475-YEAR RETURN PERIOD, SA (g)	975-YEAR RETURN PERIOD, SA (g)	2,475-YEAR RETURN PERIOD, SA (g)
0.01	0.20	0.23	0.32	0.41	0.54
0.03	0.22	0.25	0.34	0.43	0.57
0.05	0.26	0.29	0.41	0.51	0.68
0.075	0.33	0.38	0.52	0.66	0.88
0.10	0.40	0.45	0.63	0.80	1.05
0.15	0.49	0.55	0.76	0.96	1.27
0.20	0.52	0.59	0.82	1.04	1.37
0.25	0.53	0.60	0.83	1.06	1.41
0.30	0.52	0.60	0.83	1.06	1.41
0.40	0.48	0.55	0.76	0.99	1.33
0.50	0.43	0.50	0.70	0.91	1.23
0.60	0.38	0.44	0.62	0.81	1.10
0.75	0.33	0.38	0.53	0.69	0.95
1.0	0.25	0.29	0.41	0.54	0.74
1.5	0.16	0.19	0.27	0.35	0.48
2.0	0.12	0.13	0.19	0.25	0.35
3.0	0.061	0.076	0.12	0.15	0.21
4.0	0.038	0.045	0.073	0.11	0.14
5.0	0.027	0.032	0.049	0.070	0.11
7.5	0.016	0.019	0.027	0.037	0.055
10.0	0.011	0.013	0.018	0.024	0.035

Table 3. Mean Uniform Hazard Spectra

Deterministic Ground Motion Results

A deterministic seismic hazard analysis (DSHA) was also performed for the Union Island Shaft site. The site is on the footwall and within 6 km of the West Tracy fault, and so, deterministic ground motions are computed for the characteristic **M** 6.9 scenario on the West Tracy fault (Figure 11). Deterministic ground motions were also computed for the larger, but more distant, **M** 8.0 scenario for the San Andreas fault (Figure 11). Deterministic scenarios for the San Andreas fault scenario and on other faults in the region result in lower ground motions. Inputs for the DSHA are provided in Table 4 and the resulting deterministic ground motions are provided in Table 5. Median, 69th, 84th, and 95th PGA values were computed to illustrate the range of uncertainty in the computed ground motions due to the aleatory sigma of the ground motions to the suite of UHS for return periods of 144 to 2,475-year return periods. The median deterministic ground motions are similar to the 975-year UHS, while the 84th and 95th percentile deterministic ground motions exceed the 2,475-year UHS (Figure 12).



Table 4. DSHA Inputs

	INPUT PARAMETER DEFINITION	WEST TRACY	SAN ANDREAS
M	Moment magnitude	6.9	8.0
Reve	Closest distance to coseismic rupture (km)	5.9	81.9
RIB	Closest distance to surface projection of coseismic rupture (km)	5.9	81.9
Rx	Horizontal distance from top of rupture measured perpendicular to fault strike (km)	-5.9	81.9
R _{y0}	The horizontal distance off the end of the rupture measured parallel to strike (km)	0	0
U	Unspecified-mechanism factor: 1 for unspecified; 0 otherwise	0	0
F _{RV}	Reverse-faulting factor: 0 for strike slip, normal, normal-oblique; 1 for reverse, reverse-obligue and thrust	1	0
FN	Normal-faulting factor: 0 for strike slip, reverse, reverse-oblique, thrust and normal-oblique; 1 for normal	0	0
F _{HW}	Hanging-wall factor: 1 for site on down-dip side of top of rupture; 0 otherwise	0	0
Z _{TOR}	Depth to top of coseismic rupture (km)	0	0
Dip	Average dip of rupture plane (degrees)	70	90
V _{\$30}	The average shear-wave velocity (m/s) over a subsurface depth of 30 m	335	335
F _{Measured}	0 = inferred, 1 = measured	1	1
ZHYP	Hypocentral depth from the earthquake	Default	Default
Z _{1.0}	Depth to Vs=1 km/sec	0.7	0.7
Z _{2.5}	Depth to Vs=2.5 km/sec	4.0	4.0
W	Fault rupture width (km)	20.3	13
Region	Specific Regions considered in the models	California	California



Table	5.	DSHA	Results
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		WEST	TRACY			SAN AN	DREAS		ENVELOPE				
PERIOD	MEDIAN	69 [™]	84 TH	95 ™	MEDIAN	69 ™	84 TH	95 ™	MEDIAN	69 ™	84 TH	95 ™	
(SEC)	(g)	PERC. (g)	PERC.(g)	PERC . (g)	(g)	PERC. (g)	PERC.(g)	PERC . (g)	(g)	PERC. (g)	PERC.(g)	PERC. (g)	
0.01	0.42	0.55	0.72	1.03	0.11	0.15	0.19	0.28	0.42	0.55	0.72	1.03	
0.02	0.42	0.56	0.73	1.04	0.11	0.15	0.19	0.28	0.42	0.56	0.73	1.04	
0.03	0.43	0.57	0.75	1.07	0.11	0.15	0.20	0.29	0.43	0.57	0.75	1.07	
0.05	0.48	0.64	0.84	1.21	0.12	0.17	0.22	0.33	0.48	0.64	0.84	1.21	
0.075	0.58	0.77	1.02	1.48	0.14	0.20	0.27	0.40	0.58	0.77	1.02	1.48	
0.10	0.68	0.91	1.20	1.74	0.17	0.23	0.31	0.47	0.68	0.91	1.20	1.74	
0.15	0.85	1.12	1.48	2.11	0.20	0.27	0.37	0.56	0.85	1.12	1.48	2.11	
0.20	0.94	1.25	1.65	2.35	0.22	0.31	0.41	0.61	0.94	1.25	1.65	2.35	
0.25	1.01	1.33	1.77	2.55	0.24	0.33	0.45	0.67	1.01	1.33	1.77	2.55	
0.30	1.03	1.38	1.85	2.70	0.25	0.34	0.47	0.70	1.03	1.38	1.85	2.70	
0.40	0.98	1.34	1.82	2.71	0.24	0.33	0.46	0.70	0.98	1.34	1.82	2.71	
0.50	0.90	1.24	1.72	2.60	0.23	0.32	0.44	0.68	0.90	1.24	1.72	2.60	
0.75	0.69	0.98	1.37	2.13	0.18	0.26	0.36	0.57	0.69	0.98	1.37	2.13	
1.0	0.56	0.80	1.13	1.77	0.15	0.21	0.29	0.46	0.56	0.80	1.13	1.77	
1.5	0.37	0.53	0.76	1.20	0.11	0.16	0.22	0.35	0.37	0.53	0.76	1.20	
2.0	0.27	0.38	0.55	0.86	0.085	0.12	0.17	0.27	0.27	0.38	0.55	0.86	
3.0	0.17	0.24	0.34	0.54	0.061	0.087	0.12	0.20	0.17	0.24	0.34	0.54	
4.0	0.11	0.16	0.22	0.35	0.047	0.066	0.094	0.15	0.11	0.16	0.22	0.35	
5.0	0.076	0.11	0.15	0.24	0.036	0.051	0.073	0.11	0.076	0.11	0.15	0.24	
7.5	0.035	0.049	0.070	0.11	0.022	0.032	0.045	0.070	0.035	0.049	0.070	0.11	
10.0	0.019	0.027	0.038	0.059	0.014	0.019	0.027	0.043	0.019	0.027	0.038	0.059	



Design Ground Motions

MDE and OBE design response spectra were developed for the Union Island Shaft site. In accordance with the Delta Conveyance seismic design criteria (DCA, 2021), MDE for shafts is defined as the envelope of the 2,475-year UHS and 84th percentile deterministic response spectra. Figure 13 compares these spectra and shows that for this site, the MDE is controlled by the 84th percentile deterministic spectra for all spectral periods. The OBE is defined as the 475-year UHS (Figure 10). Table 6 provides the MDE and OBE for the Union Island Shaft site.

PERIOD (SEC)	MDE,	OBE,
	SA (g)	SA (g)
0.01	0.72	0.32
0.02	0.73	0.33
0.03	0.75	0.34
0.05	0.84	0.41
0.075	1.02	0.52
0.10	1.20	0.63
0.15	1.48	0.76
0.20	1.65	0.82
0.25	1.77	0.83
0.30	1.85	0.83
0.40	1.82	0.76
0.50	1.72	0.70
0.60	1.55	0.62
0.75	1.37	0.53
1.0	1.13	0.41
1.5	0.76	0.27
2.0	0.55	0.19
3.0	0.339	0.118
4.0	0.222	0.073
5.0	0.153	0.049
7.5	0.070	0.027
10.0	0.038	0.018

Table 6. MDE and OBE Design Ground Motions

The probabilistic and deterministic ground motions represent free-field motions for a reference site condition of stiff soil ($V_s30 = 1,100$ ft/sec). These ground motions should be revised at a later date using site response analysis to model the effects of the softer, near-surface materials.



REFERENCES

DCA (Delta Conveyance Design and Construction Authority), 2021, Conceptual-Level Seismic Design Criteria, prepared for DWR/Delta Conveyance Office, 26 p.

Lettis Consultants International, 2019, Data transmittal – WaterFix probabilistic and deterministic ground motions for CER Section 4, letter of transmittal to Andrew Finney dated 1 May 2019.

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Wong, I., Thomas, P., Zandieh, A., Lewandowski, N., Smith, S., and Unruh, J., 2021, Seismic hazard analyses and development of conceptual seismic design ground motions for the Delta Conveyance, unpublished final report (Rev 2 dated 1 Sep 2021) prepared by Lettis Consultants International for the Delta Conveyance Design and Construction Office.



























Attachment 3 Documentation of Analytical SPT Procedure

	45	14%	Improved	Liquefaction	Concern?	NO	ON	ON	NO	NO	N	NO	NO												
nion Island Shaft)			Unimproved	Liquefaction	Concern?	YES	YES	YES	N	YES	YES	N	N												
PC60-PCA-43 (Ur	Min Spacing (ft)	Replacement Ratio		Soil	Type	Τq	WS	dS	HЭ	dS	WS	dS	НЭ												
				Elev	(ft)	-10	-14	-17	-26	-28	-31	-42	-51												
umping Plant)	N/A	%0	Improved	Liquefaction	Concern?	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO
			Unimproved	Liquefaction	Concern?	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO
DCW-DH-014 (F	Min Spacing (ft)	Replacement Ratio		Soil	Type	CL	CL	CL	CL	sc	sc	sc	CL	ML	CH	CL	CH	CL							
				Elev	(ft)	17	11	9	-1	-4	6-	-14	-21	-24	-29	-34	-39	-44	-49	-54	-59	-64	-69	-74	-79
	N/A	%0	Improved	Liquefaction	Concern?	NO-Above GW	NO-Above GW	NO	NO-Above GW	NO-Above GW	N	N	ON	ON											
(Pumping Plant)			Unimproved	Liquefaction	Concern?	NO-Above GW	NO-Above GW	ON	NO-Above GW	NO-Above GW	ON	ON	ON	ON											
WAPA-SLTP-B3	Min Spacing (ft)	Replacement Ratio		Soil	Type	sc	SC	CL	sc	SM	CL	SM	CL	CL											
				Elev	(ft)	60	56	51	46	41	36	31	26	21											

Using updated PGA and M from LCI(2021) and PGA reduction factor from 1-D response analysis BPP: Assuming depth to Modesto Fm. (K=1.5) at 10ft, depth to Riverbank Fm. (K=1.6) at 60ft (USGS Profile West) Union Island: Assuming depth to Modesto Fm. (K=1.5) at 15ft

<u>NO: FS ≥ 1</u> YES: FS < 1

Attachment 4 Documentation of Analytical CPT Procedure



GeoLogismiki Geotechnical Engineers Merarhias 56

Project: DCA Liquefaction Evaluation

Location:



CPT: Bethany PP_DCW-CPT-027

Total depth: 99.90 ft





GeoLogismiki Geotechnical Engineers Merarhias 56 http://www.geologismiki.gr

Project: DCA Liquefaction Evaluation

Location:



CPT: Union Is_vi-aip-cpt-6

Total depth: 119.70 ft

Attachment 5 Comparative plot of predicted versus measured blow counts (DCW-CPT-027 versus DCW-DH-014)



Figure A5: Measured N60 (orange) and calculated N60 (blue) versus depth [left] and percent calculated N60 as compared to measured N60 [right]. In the right plot, a value of 100% indicates that the measured and calculated N60 values are the same at that depth.

Attachment 6 Shear Wave Velocity Measurements from DCW-CPT-027 GeoLogismiki



Geotechnical Engineers Merarhias 56 http://www.geologismiki.gr

Vs BASED LIQUEFACTION ANALYSIS REPORT (NCEER 1998)

Project title : DCA Liquefaction Evaluation

Location :

CPT file : DCW-CPT-027

:: Input parameters and analysis properties ::

Calculation m;ethod:	NCEER (1998)
G.W.T. (in-situ):	1.00 ft
G.W.T. (earthq.):	10.00 ft
Earthquake magnitude M _w :	6.90
Peak ground acceleration:	0.62g



CLiq v.2.2.1.11 - CPT Liquefaction Assessment Software - Report created on: 2/4/2021, 12:31:20 PM Project file: C:\Users\hintonj\Desktop\Other Projects\Martin - Shaft Locations\CLiq\Master.clq

:: Cyclic Stress Ratio fully adjusted (CSR*) numeric results ::

No	Depth (ft)	Weight (pcf)	u₀ (tsf)	σ, (tsf)	σ' _v (tsf)	r _d	CSR	Kσ	MSF	CSR*	Can Liquefy	
1	9.35	115.00	0.26	0.54	0.28	0.98	0.394	1.00	1.24	2.000	Yes	
2	15.25	115.00	0.44	0.88	0.43	0.96	0.478	1.00	1.24	0.386	Yes	
3	19.52	115.00	0.58	1.12	0.54	0.95	0.523	1.00	1.24	0.423	Yes	
4	24.44	115.00	0.73	1.41	0.67	0.94	0.559	1.00	1.24	0.452	Yes	
5	29.36	115.00	0.88	1.69	0.80	0.93	0.585	1.00	1.24	0.475	Yes	
6	34.44	115.00	1.04	1.98	0.94	0.89	0.586	0.97	1.24	0.487	Yes	
7	39.53	115.00	1.20	2.27	1.07	0.85	0.578	0.95	1.24	0.491	Yes	
8	44.45	115.00	1.36	2.56	1.20	0.81	0.566	0.93	1.24	0.489	Yes	
9	49.37	115.00	1.51	2.84	1.33	0.77	0.549	0.92	1.24	0.483	Yes	
10	54.62	115.00	1.67	3.14	1.47	0.73	0.529	0.90	1.24	0.472	Yes	
11	59.38	115.00	1.82	3.41	1.59	0.69	0.508	0.89	1.24	0.460	Yes	
12	64.63	115.00	1.99	3.72	1.73	0.65	0.483	0.88	1.24	2.000	Yes	
13	69.55	115.00	2.14	4.00	1.86	0.61	0.458	0.87	1.24	2.000	Yes	
14	74.47	115.00	2.29	4.28	1.99	0.57	0.432	0.86	1.24	0.407	Yes	
15	79.39	115.00	2.45	4.56	2.12	0.55	0.422	0.85	1.24	0.402	Yes	
16	84.48	131.00	2.60	4.90	2.29	0.54	0.413	0.84	1.24	2.000	Yes	
17	89.40	115.00	2.76	5.18	2.42	0.53	0.406	0.83	1.24	0.396	Yes	
18	94.48	115.00	2.92	5.47	2.56	0.51	0.399	0.82	1.24	0.393	Yes	

Abbreviations

Depth: Depth from free surface where SPT was performed (ft)

u₀: Water pressure at test point (tsf)

Total overburden pressure at test point (tsf) σ_v :

Effective overburden pressure based on GWT during earthquake (tsf) σ,':

Nonlinear shear mass factor r_d:

CSR: Cyclic Stress Ratio ()

MSF: Effective overburden stress factor

K_σ: CSR*: Magnitude Scaling Factor

CSR fully adjusted

:: Cyclie	: Resistar	nce Ratio	(CRR) nu	umeric r	esults ::						
No	Depth (ft)	V₅ (ft/s)	Fines %	n	V _{s1} (ft/s)	V _{s1c} (ft/s)	CRR _{7.5}	F.S.	Can Liquefy		

:: Cycli	c Resistar	nce Ratio	(CRR) nu	umeric I	results ::						
No	Depth (ft)	Vs (ft/s)	Fines %	n	V _{s1} (ft/s)	V _{s1c} (ft/s)	CRR _{7.5}	F.S.	Can Liquefy		

Abbreviations

Depth:	Depth from free surface where Vs was performed (ft)
Vs:	Estimated Vs (ft/s)
n:	Stress exponent normalization factor
V _{s1} :	Normalized Vs (ft/s)
V _{s1c} :	Critical value of Vs1, which separates contractive and dilative behavior (tsf)
CRR _{7.5} :	Cyclic Resistance Ratio for M _w 7.50
EC.	Exter of cafety against liquefaction

F.S.: Factor of safety against liquefaction