

**DELTA CONVEYANCE DESIGN & CONSTRUCTION AUTHORITY  
NOTICE OF INTENTION TO AMEND THE  
CONFLICT OF INTEREST CODE**

NOTICE IS HEREBY GIVEN that the Board of Directors of the **Delta Conveyance Design & Construction Authority** (the “Authority”) intends to amend the Conflict of Interest Code (the “Code”) pursuant to Government Code Section 87300.

The Code designates those employees, members, officers, and consultants who are subject to the disclosure requirements of the Authority’s Code. The Authority’s proposed amendment includes new positions that must be designated, revises titles of existing positions and deletes positions that are no longer involved in the making or participating in the making of decisions.

The proposed Conflict of Interest Code will be considered by the Board of Directors on May 19, 2022, at 2:00 p.m. at the DCA Board Room, 980 9<sup>th</sup> Street, Suite 100, Sacramento, California 95814. Any interested person may be present and comment at the public meeting or may submit written comments concerning the proposed Code. Any comments or inquiries should be directed to the attention of Claudia Rodriguez, Chief of Staff, Delta Conveyance Design & Construction Authority, 980 9<sup>th</sup> Street, Suite 2400, Sacramento, CA 95814; (888) 853-8486. Written comments must be submitted no later than May 19, 2022 at 2:00 p.m.

The Authority has prepared a written explanation of the reasons for the proposed amendments and has available the information on which the amendments are based. Copies of the proposed amended Code, the written explanation, and the information on which the amendments are based may be reviewed and copies obtained from, Claudia Rodriguez, Chief of Staff, Delta Conveyance Design & Construction Authority, 980 9<sup>th</sup> Street, Suite 2400, Sacramento, CA 95814 California.

**DELTA CONVEYANCE DESIGN &**  
**CONSTRUCTION AUTHORITY**  
**CONFLICT OF INTEREST CODE**

The Political Reform Act (Gov. Code § 81000, et seq.) requires state and local government agencies to adopt and promulgate conflict of interest codes. The Fair Political Practices Commission has adopted a regulation (2 Cal. Code Regs. § 18730) that contains the terms of a standard conflict of interest code which can be incorporated by reference in an agency's code. After public notice and hearing, Regulation 18730 may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act. Therefore, the terms of 2 California Code of Regulations section 18730, and any amendments to it duly adopted by the Fair Political Practices Commission, are hereby incorporated by reference. This incorporation page, Regulation 18730 and the attached Appendix designating positions and establishing disclosure categories, shall constitute the conflict of interest code of the Delta Conveyance Design & Construction Authority (the "Authority").

Members of the Board of Directors, Board Alternates, Treasurer and Executive Director shall electronically file their statements of economic interests directly with the Fair Political Practices Commission. All other officials and designated positions required to submit a statement of economic interests shall file their statements with the **Executive Director** as the Authority's Filing Officer/Official who shall make all retained statements available for public inspection and reproduction during regular business hours. (Gov. Code § 81008.)

**APPENDIX**  
**CONFLICT OF INTEREST CODE**  
**OF THE**  
**DELTA CONVEYANCE DESIGN &**  
**CONSTRUCTION AUTHORITY**

**PART "A"**

**OFFICIALS WHO MANAGE PUBLIC INVESTMENTS**

Officials who manage public investments, as defined by 2 California Code of Regulations section 18700.3, are NOT subject to the Authority's Code, but must file disclosure statements under Government Code section 87200. [Regs. § 18730(b)(3)] These positions are listed here for informational purposes only.

It has been determined that the positions listed below are officials who manage public investments<sup>1</sup>:

Members, Board of Directors and Alternates

Treasurer

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<sup>1</sup> Individuals holding one of the above-listed positions may contact the Fair Political Practices Commission for assistance or written advice regarding their filing obligations if they believe that their position has been categorized incorrectly. The Fair Political Practices Commission makes the final determination whether a position is covered by Government Code Section 87200.

**DESIGNATED POSITIONS**

**GOVERNED BY THE CONFLICT-OF-INTEREST CODE**

<b><u>DESIGNATED POSITIONS'</u> <u>TITLE OR FUNCTION</u></b>	<b><u>DISCLOSURE CATEGORIES</u> <u>ASSIGNED</u></b>
<u>Administrative Manager</u>	<u>5</u>
<del>Appraisal and Acquisition Manager</del>	<del>2, 3, 5</del>
Chief Engineer	2, 3, 5, 6
<u>Communications Manager</u>	<u>5</u>
Contracting <del>Team</del> <u>Procurement</u> Manager	4
<del>Conveyance Mitigation Engineering Manager</del>	<del>5</del>
<del>Conveyance Mitigation Planning Manager</del>	<del>2, 3, 5</del>
<u>Engineering Design Manager</u>	<u>5</u>
<u>Engineering Project Manager</u>	<u>5</u>
<del>Engineering Support Team Manager</del>	<del>5</del>
<del>Environmental Manager</del>	<del>2, 5</del>
<del>Environmental Permits Manager</del>	<del>2</del>
<del>Estimating Manager</del>	<del>4</del>
Executive Director	1, 2
<u>Exploration Manager</u>	<u>5</u>
<del>Finance &amp; Accounting Manager</del>	<del>4</del>
General Counsel	1, 2
<del>General Technology Manager</del>	<del>5</del>
<del>Geotechnical Manager</del>	<del>5</del>
<u>HR Manager</u>	<u>5</u>

**DESIGNATED POSITIONS'**  
**TITLE OR FUNCTION**

**DISCLOSURE CATEGORIES**  
**ASSIGNED**

<del>Information Technology and Systems Manager</del>	<del>5</del>
<del>Internal Audit Manager</del>	<del>4</del>
<del>Program Controls and Contracting Manager</del>	<del>4</del>
<del>Program Control Manager</del>	<del>5</del>
<del>Program Manager</del>	<del>1,2</del>
<del>Program Support Manager</del>	<del>5</del>
<del>Property Acquisition Manager</del>	<del>2,5</del>
<del>Pumping Plant Team Manager</del>	<del>5</del>
<del>Safety and Risk Manager</del>	<del>5,6</del>
<del>Survey and Mapping, Right of Way Manager</del>	<del>2,5</del>
<del>Utilities, Power &amp; Access Team Manager</del>	<del>5</del>

Consultants and New Positions\*

\* Individuals providing services as a Consultant defined in Regulation 18700.3 or in a new position created since this Code was last approved that makes or participates in making decisions shall disclose pursuant to the broadest disclosure category in this Code subject to the following limitation:

The Executive Director may determine that, due to the range of duties or contractual obligations, it is more appropriate to assign a limited disclosure requirement. A clear explanation of the duties and a statement of the extent of the disclosure requirements must be in a written document. (Gov. Code Sec. 82019; FPPC Regulations 18219 and 18734.)The Executive Director's determination is a public record and shall be retained for public inspection in the same manner and location as this Conflict-of-Interest Code. (Gov. Code Sec. 81008.)

## **PART "B"**

### **DISCLOSURE CATEGORIES**

The disclosure categories listed below identify the types of economic interests that the designated position must disclose for each disclosure category to which he or she is assigned "Investment" means financial interest in any business entity (including a consulting business or other independent contracting business) and are reportable if they are either located in, doing business in, planning to do business in, or have done business during the previous two years in the jurisdiction of the Authority.

Category 1: All investments and business positions in business entities, and sources of income, including gifts, loans and travel payments, that are located in, do business in, or own real property within the jurisdiction of the Authority.

Category 2: All interests in real property which is located in whole or in part within, or not more than two (2) miles outside, the jurisdiction of the Authority.

Category 3: All investments and business positions in business entities, and sources of income, including gifts, loans and travel payments, that are engaged in land development, construction or the acquisition or sale of real property within the jurisdiction of the Authority.

Category 4: All investments and business positions in business entities, and sources of income, including gifts, loans and travel payments, that provide services, products, materials, machinery, vehicles or equipment of a type purchased or leased by the Authority.

Category 5: All investments and business positions in business entities, and sources of income, including gifts, loans and travel payments, that provide services, products, materials, machinery, vehicles or equipment of a type purchased or leased by the designated position's department, unit or division.

Category 6: All investments and business positions in business entities, and sources of income, including gifts, loans, and travel payments, if such entities or sources have filed claims against the Authority in the past 2 years, or have a claim pending before the Authority.