

January 20, 2022

Delta Conveyance Design and Construction Authority
Board of Directors

Subject: ***Final Materials for the January 20, 2022 Regular Board Meeting***

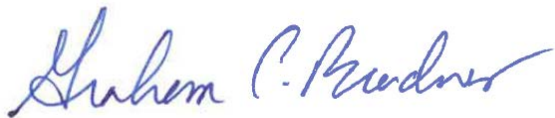
Members of the Board:

The next regular meeting of the Delta Conveyance Design and Construction Authority (DCA) Board of Directors is scheduled for **Today, January 20, 2022 at 2:00p.m.** and will be held completely online via conference line and video through Ring Central (Zoom). The call-in and video information is provided in the attached agenda and a link will also be posted on the dcdca.org website.

Please note that given the current COVID-19 guidelines, the DCA will comply with public health recommendations regarding public meetings and social distancing efforts. Any meeting changes or cancellation will be communicated.

Enclosed are the Final materials for the Board meeting in a PDF file, which has been bookmarked for your convenience.

Regards,



Graham Bradner
DCA Interim Executive Director



**DELTA CONVEYANCE DESIGN AND CONSTRUCTION AUTHORITY
BOARD OF DIRECTORS MEETING**

REGULAR MEETING

Thursday, January 20, 2022

2:00 p.m.

Teleconference Meeting Only; No Physical Meeting Location
(Authorized by AB 361 / Government Code Section 54953(e))

Additional information about participating by telephone or via the remote meeting solution is available here: <https://www.dcdca.org>

Conference Access Information:

Phone Number: (916)262-7278 Access Code: 1475397607#

Electronic Meeting Link:

Please join my meeting from your computer, tablet, or smartphone

<https://meetings.ringcentral.com/j/1475397607>

AGENDA

In compliance with Government Code Section 54953(e), the meeting will be held electronically only through the listed meeting link and telephone number. Assistance to those wishing to participate in the meeting in person or remotely will be provided to those requiring accommodations for disabilities in compliance with the Americans with Disabilities Act of 1990. Interested person must request the accommodation as soon as possible in advance of the meeting by contacting the DCA support staff at (888) 853-8486 or info@dcdca.org. Members of the public may speak regarding items on the agenda when recognized by the Chair as set forth below. Speakers are limited to three minutes each; however, the Chair may limit this time when reasonable based on the circumstances. Persons wishing to provide public comment remotely on Agenda Items are encouraged to complete a public comment request form at: <https://tinyurl.com/dcapubliccomment> by 2:15 pm. In addition, members of the public may use the “raise hand” function (*9 if participating by telephone only) during the meeting to request the opportunity to speak. Additional information will be provided at the commencement of the meeting.

1. **CALL TO ORDER**
2. **ROLL CALL**
3. **PLEDGE OF ALLEGIANCE**
4. **PUBLIC COMMENT**

Members of the public may address the Authority on matters that are within the Authority’s jurisdiction whether they are on or off the agenda. Speakers are limited to three minutes each; however, the Chair may limit this time when reasonable based on the circumstances. Persons wishing to speak may do so remotely through the electronic meeting link or teleconference number when recognized by the Chair.

5. APPROVAL OF MINUTES:

- (a) November 18, 2021 Regular Board Meeting
- (b) December 16, 2021 Special Board Meeting
- (c) January 14, 2022 Special Board Meeting

6. CONSENT CALENDAR

Items on the Consent Calendar are considered to be routine by the Board of Directors and will be enacted by one motion and one vote. There will be no separate discussion of these items unless a director so requests, in which event the item will be removed from the Consent Calendar and considered separately.

- (a) Approve Resolution Authorizing Virtual Board and Committee Meetings Pursuant to AB 361.

7. DISCUSSION ITEMS

- (a) January DCA Monthly Report
Recommended Action: Information Only
- (b) Second DCA FY 21/22 Budget Adjustment
Recommended Action: Adopt by Minute Order
- (c) Adopt Resolution to Sunset the Stakeholder Engagement Committee
Recommended Action: Adopt Resolution
- (d) Adopt Resolution to Award Geotechnical Exploration and Reporting Services Contract, Making Responsible Agency Findings Under CEQA, Considering a Mitigated Negative Declaration and Addendum and Adopting a Mitigation Monitoring and Reporting Program
Recommended Action: Adopt Resolution to Award and Adopt

8. STAFF REPORTS AND ANNOUNCEMENTS

- (a) General Counsel's Report
- (b) Treasurer's Report
- (c) DWR Environmental Manager's Report
- (d) Verbal Reports, if any

9. FUTURE AGENDA ITEMS

10. ADJOURNMENT

* * * * *

Next scheduled meeting: March 17, 2022 Regular Board Meeting at 2:00 p.m. (1:30 p.m. if there is a closed session) and will be held at the DCA Board Room, Park Tower, 980 9th Street, Suite 100, Sacramento, CA 95814 (subject to change)

BOARD OF DIRECTORS MEETING

MINUTES

REGULAR MEETING

Thursday, November 18, 2021

2:00 p.m.

(Paragraph numbers coincide with agenda item numbers)

1. CALL TO ORDER

The regular meeting of the Delta Conveyance Design and Construction Authority (DCA) Board of Directors was called to order remotely - Conference Access Information: Phone Number: (916)262-7278, code:1447831438#, <https://meetings.ringcentral.com/j/1447831438> at 2:02pm.

2. ROLL CALL

Board members in attendance were Richard Atwater, Martin Milobar, Tony Estremera, Sarah Palmer, Gary Martin, Robert Cheng, and Adnan Anabtawi, constituting a quorum of the Board.

DCA staff members in attendance were Graham Bradner, Joshua Nelson, Nazli Parvizi, Ruth Douzinas, and Katano Kasaine.

Department of Water Resources (DWR) staff member in attendance was Carrie Buckman.

3. PLEDGE OF ALLEGIANCE

President Richard Atwater convened the open session at approximately 2:02 p.m. and led all present in reciting the Pledge of Allegiance.

4. PUBLIC COMMENT

President Atwater provided an overview of the public comment process, including the modification made to ensure compliance with AB361.

President Atwater opened Public Comment, there were no public comment requests received and closed the comment period.

5. APPROVAL OF MINUTES: September 16, 2021, Regular Board Meeting

Recommendation: Approve the September 16, 2021, Regular Board Meeting

Motion to Approve Minutes from September 16, 2021, as

Noted: Palmer

Second: Estremera

Yeas: Atwater, Milobar, Martin, Estremera, Palmer, Cheng, Anabtawi

Nays: None

Abstains: None

Recusals: None

Absent: None
Summary: 7 Yeas; 0 Nays; 0 Abstain; 0 Absent. (Motion passed as MO 21-11-01).

6. CONSENT CALENDAR

Recommendation: Approve Resolution Authorizing Virtual Board and Committee Meetings Pursuant to AB 361

Motion to Approve Resolution Authorizing Virtual Board and Committee Meetings Pursuant to AB 361, as

Noted: Palmer
Second: Estremera
Yeas: Atwater, Milobar, Martin, Estremera, Palmer, Cheng, Anabtawi
Nays: None
Abstains: None
Recusals: None
Absent: None
Summary: 7 Yeas; 0 Nays; 0 Abstain; 0 Absent. (Motion passed as Resolution 21-08).

7. DISCUSSION ITEMS:

a) DCA FY 2020/2021 Audit Report

Informational Item

Mr. Bradner informed the Board that the DCA Auditors completed their FY 20/21 financial audit. He introduced Brianne Wiese, the Lead Engagement Managing Director from KMPG, to provide an overview of the audit findings.

Ms. Wiese explained the scope and timing of the audit, stating that the DCA financial statements are prepared on the cash receipts and disbursement of accounting. The supplemental information included in the financial statements is unaudited and included for additional information. The audit field work was conducted during August and September 2021, with the assistance of MWD's internal audit department.

Ms. Wiese also provided a summary of the audit results required communications, in which she refers to as the "no matters to report" slide. During the course of the audit, they identified that there were no matters to report or communicate. They are planning to issue an unmodified opinion on the auditor's report, which is a clean opinion for all intents and purposes. Last year there was a going concern paragraph that was added to the report—given the uncertainty around future funding—that was going to the State Water Contractors Board for approval, in late calendar year 2020-early 2021. This was included in the June 30th financial statement as no matter to report.

Lastly, Ms. Wiese concluded her presentation with the Inquiries. Typically, the inquiries are made with the Board, however for this audit, the discussions were held with those attending the Board meetings. She welcomed comments/concerns from those in attendance today and stated it was a very clean audit.

President Atwater thanked Ms. Wiese for her concise and complete annual audit report.

No further questions or comments were presented from the Board.

b) November DCA Monthly Report

Informational Item

Mr. Bradner highlighted items in Section 1: Worked Performed. He stated that we are getting close to closing out FY 20/21, as it was delayed due to an extended task order, which expired at the end of September 2021. He also informed the Board that coming January, we will present a resolution to move any remaining funds, currently estimated between \$900,000-\$1,000,000, from FY2021 to the current fiscal year. Another item Mr. Bradner highlighted was the continuous progress on the Board Room build-out project. He is hopeful that the project will be completed prior to the next meeting but noted the supply chain issues we are currently facing.

Regarding engineering items, we are still providing support to DWR and the environmental team as clarification requests are received. A baseline version of an engineering project report is currently in the works. This report includes all of the associated technical memoranda and conceptual design sheet, which are used for the basis of the impact analyses.

On the field work front, we are continuing our efforts to collect and evaluate subsurface conditions—Delta wide. We are almost complete with the small field program that was conducted this Fall; however, the recent storms have prevented the completion of the last boring as it is in a vastly wet area. We are considering other options to finish the Fall field program.

Mr. Bradner continued to Section 3: Budget, explaining that we have a current budget of \$23,500,000. He reiterated that we are almost done with the close out for FY 2021 and rolling over any unused fund to this fiscal year.

Lastly, we are in the process of procuring a new geotechnical contract to continue providing subsurface data collection, testing, and reporting services to the DCA, for the duration of planning. The RFQ was released on October 1st, and we are in the process of reviewing the received statement of qualifications (SOQs). It is anticipated that a recommendation will be brought to the Board in January, if permitted.

Vice President Palmer inquired about the number of SOQs received for this procurement.

Mr. Bradner stated we have received three (3) SOQs thus far.

No further questions or comments were presented from the Board.

c) Approval of Second Amendment to Management Partners Agreement for Executive Director Services

Adopt Resolution

Mr. Nelson presented the second amendment to the Management Partners Agreement. This Amendment makes two (2) relatively minor changes to the Agreement. The first being, it extends the notice provision for the DCA to terminate the contract from the current 60 days, up to 90 days. In addition, the agreement currently requires DCA approval for any change in key personnel. This amendment would keep that requirement but add a new notice provision, requiring Management Partners to provide at least 60 days' notice to the DCA of any proposed changes in key personnel, which is still subject to our approval. A redline was not provided in the packet, however the edit and changes are shown underlined in the attachment in the Board packet.

Recommendation: Approval of Second Amendment to Management Partners Agreement for Executive Director Services

Motion to Approve Second Amendment to Management Partners Agreement for Executive Director Services, as

Noted: Anabtawi
 Second: Palmer
 Yeas: Atwater, Milobar, Estremera, Palmer, Martin, Cheng, Anabtawi
 Nays: None
 Abstains: None
 Recusals: None
 Absent: None
 Summary: 7 Yeas; 0 Nays; 0 Abstain; 0 Absent. (Motion passed as Resolution 21-07).

d) **Sr. Leadership Spotlight – Ruth Douzinas, Project Controls Manager**

Informational Item

Mr. Bradner briefly introduced Ruth Douzinas, DCA's Program Controls Manager.

Ms. Douzinas received her bachelor's degree in Environmental Biology at Dartmouth College. After realizing that engineers were the ones to make decisions, she attended Stanford University, where she received her master's degree in Environmental Engineering. She started her career at CH2M Hill, now known as Jacobs Engineering, as a Junior Engineer performing water and wastewater condition assessments. She spent most of her tenure at CH2M Hill working on military bases as the Deputy Program Manager. This was the most pivotal point in her career, pointing her to public service. As a result of this, she went to work for NYC Department of Environmental Protection, Bureau of Engineering Design and Constructions, as a Programs Controls Director. After three (3) years with NYC, she started her own company, Trireme Consulting.

She went on to work for Bloomberg as a consultant and lead the effort to implement a structured delivery system for the various projects world-wide. Through this effort it re-affirmed her belief that those that get to work for the public sector is much more meaningful.

Ms. Douzinas has been with the DCA for one and a half (1.5) years. She currently oversees program controls and manages a team for cost management. She also is responsible for implementing and operating the Programs Controls Information System, amongst a vast number of other procedures and processes.

Mr. Bradner stated that Ms. Douzinas has been instrumental in establishing workflows and processes we have here at the DCA. He also thanked her for her contribution to the DCA.

Vice President Palmer stated she was glad to see Ms. Douzinas credentials, get to see who she is and know her better. She mentioned that this is another example of the quality of people working within the DCA and the DCA contains the most impressive folks.

Director Cheng stated Ms. Douzinas has impressive credentials, and he is happy that she is a part of the effort.

Director Martin thanked Ms. Douzinas for her presentation. He is glad that she is with us and welcomed her to the team.

President Atwater stated he appreciates Ms. Douzinas being on team and looks forward to hearing from her in the future.

Director Anabtawi appreciates the series of highlighting the DCA Team. He stated that project controls are extremely important to have on a complex project. He is happy to have Ms. Douzinas' super qualified expertise on this project as we move forward.

Director Estremera welcomed Ms. Douzinas.

Director Milobar echoed the previous comments made and stated it is extremely important to us to have Ms. Douzinas' such qualifications helping lead this project so that it will be an efficient and cost-effective effort.

No further questions or comments were presented from the Board.

e) **Stakeholder Engagement Committee Update**

Informational Item

Ms. Parvizi stated that the September SEC meeting was contentious and did not feel very productive. She mentioned that since last Spring, when the majority of the work was taken over by DWR, the agenda items have been less related to DCA work and more so to daylight other and DWR related information. The further we stray from the DCA's core competency, which is to get community feedback on the engineering and design concept, the less productive the meetings have become. Ms. Parvizi noted that the SEC is nearing an end as we move on to the California Environmental Quality Act (CEQA) and environmental impact report (EIR) phase. She emphasized that it is time to think about sunseting the SEC and this is timely based on the history of the SEC and what occurred in September. We had one (1) or two (2) resignations from the SEC before the last meeting, one (1) during the meeting, and one (1) during this week.

Vice President Palmer mentioned that there were many negative things mentioned at the meeting, however there were also a couple positive moments. She received feedback from some of the members that were feeling negative or contentious at the time. Some of the members mentioned that they truly appreciated the DCA staff and felt they had been treated with respect and felt heard during the meetings. She also stated that while the last meeting was a bit negative, there were a lot of positive things as well.

Ms. Parvizi added to Vice President Palmer's statement, stating that a lot of the folks are passionate about where they live and have a lot of comments and concerns about the project, rightfully so. She spoke with members after the meeting, and many mentioned that their concerns lie outside of the scope of the DCA and fall within CEQA and operational territory. She also stated that she is very grateful for the Stakeholder Engagement Committee and their committed attendance.

Vice President Palmer agreed with Ms. Parvizi and mentioned that in the future, there may be a need for another Stakeholder Engagement Committee, once the work is within the scope of the DCA. She reiterated that it has been a pleasure working with the DCA staff and those in the committee were very impressive and professional.

Director Estremera expressed his appreciation for the work of Ms. Parvizi and the DCA staff. He was particularly impressed by the transparency and responses provided by the DCA. He commended the professionalism and commitment of the DCA staff. He mentioned that DCA staff set a standard for transparency and what it to be expected from public officials.

President Atwater echoed Director Estremera's comment.

Alternative Director Barbara Keegan echoed all the comments made from the other directors. She added her appreciation for the SEC members who participated in an occasionally difficult process. She noted that there is a lot of sensitivity around the project as this is going to be built in people's neighborhoods. She also stated that the sunset of the SEC is going to provide the members with more flexibility to discuss and respond to future issues within the project, as well as advocate for their communities, as they will no longer be subjected to the Brown Act.

Mr. Bradner presented an overview of the SEC from the beginning to now. He reminded those present of the purpose of the SEC; to provide feedback to the DCA on engineering work with focus on reducing potential construction-related impacts. The SEC consisted of a maximum of 20 committee members, two (2) of which were DCA Board representatives, from a wide array of geographic locations. These members were not those who supported the project, but who lived and worked in the Delta and were willing to participate. Over the last two (2) years there were a total of 19 meetings held, which includes the last upcoming meeting on December 8, 2021. The duration of each meeting ranged from three (3) to four (4) hours. There were over 65 presentations agendized, prepared, and provided by the DCA and DWR.

Mr. Bradner mentioned that the DCA began work with the SEC by providing an introduction to the system, detailed review of key project elements, siting alternative studies for all the facilities, review of siting factors, scoring approach and rational, and ranking of various potential sites. As the proposed alignments started taking shape reviewed construction footprints, logistics and

traffic plans to support the proposed facilities. As adjustments were made to the conceptual design through the iterative process, we brought those back to the SEC to show them how their input was being incorporated into the conceptual design or those designs were evolving over time.

Mr. Bradner provided a detailed slide and explained how the DCA incorporated a vast amount of valuable input provided by the SEC, including reduced site footprints, shifted facility siting's away from natural areas and more. Mr. Bradner felt it was worth taking a moment with a Board to highlight some of the key adjustments that have been incorporated. The DCA has completed the conceptual designs earlier this year but have continued with the SEC so folks could see how the environmental impact analysis can drive changes in the design concepts. At this point we are nearing the end of the current phase and are preparing to transition our efforts to support DWR as they prepare to release the draft EIR. The SEC provided an opportunity for the conceptual design team to hear directly from the community on their concerns. It was always intended that the SEC would sunset once conceptual designs were completed.

Mr. Bradner concluded the presentation by acknowledging the dedication and commitment of the SEC, more so given the circumstances of the pandemic starting in April 2020. Although there were many concerns with converting to virtual meetings, the SEC prevailed and there was more overall public participation. The SEC experience will be memorialized through a white paper and the DCA will continue with community outreach and engagement briefings.

Ms. Buckman stated her appreciation for the committee members' time, energy, and passion. She moved on to further explain the public outreach and community engagement plan for 2022. DWR plans to have information resources available for public review to assist with the understanding of the draft EIR. They will encourage those to participate through emails, phone calls, meetings, briefings and more.

Director Cheng thanked Mr. Bradner for the comprehensive overview of the SEC. He agreed that the project is now in a transitional phase and the SEC has served its purpose. He proceeded to thank the DCA staff for their time in leading an important effort.

Director Martin pointed out that Mr. Bradner's presentation emphasized the level of effort put out by the DCA team. He then thanked the team for those efforts.

Vice President Palmer wanted to acknowledge that Kathryn Mallon had the vision for the SEC and saw it through the early stages. She expressed her appreciation for Ms. Mallon. She also recognized Ms. Buckman for her role in relaying the information of the environmental aspects of the project for DWR.

Director Estremera expressed his appreciation of the SEC's Chair, Director Palmer and Vice Chair, Alternate Director Keegan, for performing exceptionally and setting the standard. He also thanked the committee for their tremendous participation on behalf of their community. Most importantly, he assured both the members of the committee and the communities that they represent, that the Board will always be committed to making sure they get to participate extensively, especially in the public benefit aspects.

Director Anabtawi echoed the praise others expressed.

No further questions or comments were presented from the Board.

8. STAFF REPORTS AND ANNOUNCEMENTS:

a. General Counsel's Report

A written report was provided in the Board package. Mr. Nelson highlighted the AB361 that was approved in the consent calendar. The statute requires the DCA to extend those findings once every 30-day period. Since the Board currently meets once every other month, this will require us to schedule special meetings as appropriate to ensure the findings do not lapse. These meetings will be coordinated with the Board as we need a quorum to make these findings.

b. Treasurer's Report

Two written reports were provided in the Board package. Ms. Katano provided an overview of the total disbursements of \$3,045,575 and ending cash balance as of October 31, 2021, of \$1,279,747. Advances totaled \$500,000 and total net position was \$785,522.

c. DWR Environmental Manager's Report

A written report was provided in the Board package. Ms. Buckman mentioned that DWR is currently working on preparing the Draft EIR; scheduled to come out mid-2022. She also noted that the technical webinars that we conducted in the Summer, are now available online in English and Spanish.

d. Verbal Reports

Vice President Palmer mentioned that a top-line management consulting did an agency profile featuring Mr. Bradner. This nine (9) page report included a summary of the DCA, and interview questions answered by Mr. Bradner. She also stated that she gave a well-received presentation to the Dublin Rotary Club about the DCA. After the presentation, the Diablo District Gardeners Association reached out to Vice President Palmer for a future update in February 2022.

No further questions or comments were presented from the Board.

9. FUTURE AGENDA ITEMS:

None.

10. ADJOURNMENT:

President Atwater adjourned the meeting at 3:17p.m., remotely - Conference Access Information:
Phone Number: (916)262-7278, code:1447831438#, <https://meetings.ringcentral.com/j/1447831438>

BOARD OF DIRECTORS MEETING

MINUTES

REGULAR MEETING

Thursday, December 16, 2021

2:00 p.m.

(Paragraph numbers coincide with agenda item numbers)

1. CALL TO ORDER

The regular meeting of the Delta Conveyance Design and Construction Authority (DCA) Board of Directors was called to order remotely - Conference Access Information: Phone Number: (916)262-7278, code: 1476687745#, <https://meetings.ringcentral.com/j/1476687745> at 2:03pm.

2. ROLL CALL

Board members in attendance were Richard Atwater, Martin Milobar, Tony Estremera, Sarah Palmer, Gary Martin, Robert Cheng, and Adnan Anabtawi, constituting a quorum of the Board.

DCA staff members in attendance were Graham Bradner and Joshua Nelson.

3. PLEDGE OF ALLEGIANCE

President Richard Atwater convened the open session at approximately 2:03 p.m. and led all present in reciting the Pledge of Allegiance.

4. PUBLIC COMMENT

President Atwater opened Public Comment, there were no public comment requests received and he closed the comment period.

5. DISCUSSION ITEMS:

a) **Approval of Second Amendment to Management Partners Agreement for Executive Director Services**

Approve by Motion

Mr. Nelson presented the action item, stating that the findings are required under AB361 to allow the DCA Board to meet remotely. He reminded the Board that the initial resolution adoption was made in the regular November Board meeting. Under the statute, the Board will have to reiterate and continue the findings once every 30 days.

Recommendation: Extend Resolution No. 2021-08 Authorizing Virtual Board and Committee Meetings Pursuant to AB 361

Motion to Approve Second Amendment to Management Partners Agreement for Executive Director Services, as

Noted: Palmer

Second: Estremera

Yeas: Atwater, Milobar, Estremera, Palmer, Martin, Cheng, Anabtawi

Nays: None

Abstains: None

Recusals: None

Absent: None

Summary: 7 Yeas; 0 Nays; 0 Abstain; 0 Absent. (Motion passed as Resolution 21-08-01).

6. ADJOURNMENT:

President Atwater adjourned the meeting at 2:06p.m., remotely - Conference Access Information:

Phone Number: (916)262-7278, code:1476687745#, <https://meetings.ringcentral.com/j/1476687745>

BOARD OF DIRECTORS MEETING

MINUTES

REGULAR MEETING

Friday, January 14, 2022

10:00 a.m.

(Paragraph numbers coincide with agenda item numbers)

1. CALL TO ORDER

The regular meeting of the Delta Conveyance Design and Construction Authority (DCA) Board of Directors was called to order remotely - Conference Access Information: Phone Number: (916)262-7278, code: 1473703501#, <https://meetings.ringcentral.com/j/1473703501> at 10:01 a.m.

2. ROLL CALL

Board members in attendance were Sarah Palmer, Gary Martin, Robert Cheng, Adnan Anabtawi, and Alternate Director Barbara Keegan sitting in for Tony Estremera, constituting a quorum of the Board.

DCA staff members in attendance were Graham Bradner and Joshua Nelson.

3. PLEDGE OF ALLEGIANCE

Vice President Sarah Palmer convened the open session at approximately 10:01 a.m. and led all present in reciting the Pledge of Allegiance.

4. PUBLIC COMMENT

Vice President Sarah Palmer opened Public Comment, there were no public comment requests received and the comment period was closed.

5. DISCUSSION ITEMS:

- a) **Approval of Second Amendment to Management Partners Agreement for Executive Director Services**

Approve by Motion

Vice President Palmer opened the discussion for the single item on the agenda, to extend the Resolution No. 2021-08 Authorizing Virtual Board and Committee Meetings Pursuant to AB 361. Ms. Palmer proceeded with moving the item forward for a motion.

Recommendation: Extend Resolution No. 2021-08 Authorizing Virtual Board and Committee Meetings Pursuant to AB 361

Motion to Approve Second Amendment to Management Partners Agreement for Executive Director Services, as

Noted: Anabtawi

Second: Martin

Yeas: Palmer, Martin, Cheng, Anabtawi, Keegan

Nays: None

Abstains: None

Recusals: None

Absent: Atwater, Milobar, Estremera

Summary: 5 Yeas; 0 Nays; 0 Abstain; 3 Absent. (Motion passed as Resolution 21-08-02).

6. ADJOURNMENT:

President Atwater adjourned the meeting at 10:05 a.m., remotely - Conference Access Information:

Phone Number: (916)262-7278, code: 1473703501#, <https://meetings.ringcentral.com/j/1473703501>

Board Memo

Contacts: Josh Nelson, General Counsel

Date: January 20, 2022 Board Meeting

Item No. 6a

Subject:

Extend Resolution 2021-08 for Thirty Days to Re-Authorize Virtual Public Meetings in Accordance with AB 361.

General:

At the November Board Meeting, the Board adopted Resolution 2021-08, authorizing virtual public meetings in accordance with Assembly Bill (AB) 361. Meeting virtually helps contain the spread of the COVID-19 virus and keeps the Board, staff and the public safe.

Pursuant to AB 361, once a resolution is adopted, a local agency may meet virtually for a maximum period of 30 days. Prior to expiration of the 30 -ay period, the local agency needs to renew its resolution if the Board would like to continue meeting virtually.

In accordance with Resolution 2021-08, the Board of Directors may extend the application of this Resolution, by motion and majority vote by up to thirty days at a time, if it makes all necessary findings consistent with and pursuant to the requirements of Section 54953(e)(3). This item would extend Resolution 2021-08 for thirty days given the continued need to meet remotely in response to the COVID-19 pandemic. As set forth in the resolution, State and local officials have recommended social distancing and meeting in person presents imminent risks to the health and safety of attendees. In fact, the Sacramento County Health Officer recently issued an order prohibiting local agencies from holding in person public board and similar meetings.

Recommended Action:

By motion, extend Resolution 2021-08 for thirty days to re-authorize virtual public meetings in accordance with AB 361.

Attachments:

Resolution 21-08

BOARD OF DIRECTORS OF THE DELTA CONVEYANCE DESIGN AND CONSTRUCTION AUTHORITY
RESOLUTION NO. 21-08

Introduced by Director: Sarah Palmer

Seconded by Director: Tony Estremera

AUTHORIZING VIRTUAL BOARD AND COMMITTEE MEETINGS PURSUANT TO AB 361

Whereas, the Delta Conveyance Design and Construction Authority (DCA) is committed to preserving and nurturing public access and participation in meetings of the Board of Directors; and

Whereas, all meetings of DCA's legislative bodies are open and public, as required by the Ralph M. Brown Act (Cal. Gov. Code 54950 – 54963), so that any member of the public may attend and participate in DCA's meetings; and

Whereas, starting in March 2020, in response to the spread of COVID-19 in the State of California, the Governor issued several executive orders aimed at containing the COVID-19 virus; and

Whereas, among other things, these orders waived certain requirements of the Brown Act to allow legislative bodies to meet virtually; and

Whereas, pursuant to the Governor's executive orders, DCA has been holding virtual meetings during the pandemic in the interest of protecting the health and safety of the public, staff, and Directors; and

Whereas, the Governor's executive order related to the suspension of certain provisions of the Brown Act expired on September 30, 2021; and

Whereas, on September 16, 2021 the Governor signed AB 361 (in effect as of October 1, 2021 – Government Code Section 54953(e)), which allows legislative bodies to meet virtually provided there is a state of emergency, and either (1) state or local officials have imposed or recommended measures to promote social distancing; or (2) the legislative body determines by majority vote that meeting in person would present imminent risks to the health and safety of attendees; and

Whereas, such conditions now exist in the DCA, specifically, a state of emergency has been proclaimed related to COVID-19, Sacramento County officials are imposing and recommending measures to promote social distancing, and because of the ongoing threat of COVID-19, meeting in person would present imminent risks to the health and safety of attendees;

Now, therefore, be it resolved by the DCA Board of Directors as follows:

Section 1. Recitals. The Recitals set forth above are true and correct and are incorporated into this Resolution by this reference.

Section 2. Remote Teleconference Meetings. Consistent with the provisions of Government Code Section 54953(e), the Board of Directors finds and determines that (1) a state of emergency related to COVID-19 is currently in effect; (2) local officials in Sacramento County have imposed or recommended measures to promote social distancing in connection with COVID-19, including indoor mask requirements; and (3) due to the COVID-19 emergency, meeting in person would present imminent risks to the health and safety of attendees. Based on such facts, findings and determinations, the Board authorizes staff to conduct remote teleconference meetings of the Board of Directors, the Stakeholder Engagement Committee and all other DCA legislative bodies, under the provisions of Government Code Section 54953(e).

Section 3. Effective Date of Resolution. This Resolution shall take effect upon its adoption, and shall be effective for 30 days or until this Resolution is extended by a majority vote of the Board of Directors in accordance with Section 4 of this Resolution.

Section 4. Extension by Motion. The Board of Directors may extend the application of this Resolution by motion and majority vote by up to thirty days at a time, provided that it makes all necessary findings consistent with and pursuant to the requirements of Section 54953(e)(3).

* * * * *

This Resolution was passed and adopted this 18th day of November, 2021, by the following vote:

Ayes:7

Noes:0

Absent:0

Abstain:0

Attest:

DocuSigned by:

Richard Atwater

12/15/2021

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Richard Atwater, Board President

DocuSigned by:

Martin Milobar

12/15/2021

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Martin Milobar, Board Secretary



DCA

DELTA CONVEYANCE DESIGN
& CONSTRUCTION AUTHORITY

Monthly Board Report

This document is fully interactive; use menus to navigate on-screen.

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SUMMARY OF
WORK

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ENGAGEMENT

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SCHEDULE

Agenda Item 7a



JANUARY 2022

(ACTIVITIES IN DECEMBER)

Section 1 | Work Performed (December 2021 Activities)

Program Management. The team continued to support implementation of the Program Management Information System.

- Continue processing invoices for FY21/22
- Continue processing of Task Orders and Purchase Orders for FY21/22
- Continue to work on closeout of FY20/21
- Submission of DCO FY20/21 Annual Report

Administration. The Administrative team continued to support functions including IT support, in-person and virtual meetings, Social Media content/updates as well as COVID-19 facility response/preparedness.

- Supported DCA Board of Directors and Stakeholder Engagement Committee virtual meetings
- Supported technical needs for Procurement activities
- Coordination of DCA Change Board meetings/actions
- DCA Website updates
- Pushed DCA updates via Social Media Outlets, created reminders about resource materials

- Created information videos about DCA formation, governance and work
- Activities for Board Room Hybrid Meeting project
- Assisted remote workforce

Engineering. The engineering team primarily focused on finalizing the narrative report, technical memoranda (TMs), geospatial information systems (GIS) data, and engineering drawings to complete the conformed Final Draft of Central/Eastern (C/E) Corridor and Bethany Reservoir Alternative Engineering Project Report (EPR) documents.

- Submitted conformed Final Draft C/E Corridor and Bethany Reservoir Alternative EPRs including narrative reports, TMs, GIS data, and engineering drawings.
- Progressed engineering studies to document concepts for consideration during future design phases.
- Responded to requests for clarification on conceptual designs and/or quantities from environmental team
- Continued support of utility power coordination efforts with DCO
- Continued flood analysis runs and associated intake configuration and quantity revisions

Field Work. The field work team continued efforts to collect and evaluate Delta-wide subsurface conditions and validate parameters assumed during development of conceptual designs.

- Conducted biological and cultural surveys and biological monitoring for final boring of Fall 2021 Investigation Program
- Continued with Cone Penetration Test (CPT) and Standard Penetration Test (SPT) data analysis
- Review of existing Delta-wide subsurface information and planning for Spring/Summer 2022 investigation program
- Compiled daily and monthly monitoring reports



Section 1 | One Month Look-Ahead (January 2022 Activities)

Program Management

- The team will continue to support implementation and modification of the Program Management Information System.
- Continue processing invoices for FY21/22
- Continue processing of Task Orders changes and Purchase Orders for FY21/22
- Continue processing final closeout of FY20/21 and finalization of unused funds amount.

Administration

- Continuing support to DCA office including all Administrative, Facility and IT functions
- Continuing support for DCA Board of Directors meetings, monthly report generation, SEC Meeting
- Continuing coordination of DCA Change Control Board meetings/actions
- Support for DCA Procurement Activities
- Continue to manage and coordinate content for Social Media outlets

Engineering

- Continue responding to requests for clarification on conceptual designs and/or quantities from environmental team
- Continue flood analysis runs and associated intake configuration and quantity revisions
- Continue to support utility power coordination efforts with DCO

Field Work

- Compile final monthly monitoring report for Fall 2021 Investigation Program
- Continue with Cone Penetration Test (CPT) and Standard Penetration Test (SPT) data analysis
- Continue to add new data to Leapfrog database
- Initiate preliminary coordination associated with Spring 2022 Investigation Program; further activities will be paused until the start of the Spring 2022 Investigation Program
- Continue transitioning field work office management activities to the Delta Conveyance Authority's (DCA's) new Fieldwork Lead



Section 2 | Stakeholder Engagement



STAKEHOLDER ENGAGEMENT COMMITTEE (SEC)

MEETING SUMMARY
December 8, 2021

SEC Meeting 19 Summary

The 19th meeting of the Stakeholder Engagement Committee (SEC) was held via video conference on December 8, 2021, covering the following topics:

- Graham Bradner, DCA Executive Director, reviewed the engineering and logistics changes resulting from SEC and community input and from internal design development processes, including but not limited to:
 - Avoiding use of levee roads for heavy construction traffic
 - Minimizing use of pile driving to reduce noise
 - Shifting logistics to reduce effects on Stone Lakes National Wildlife Refuge and other habitat areas
 - Eliminating barge landings and limiting barge use
- Carrie Buckman, DWR Environmental Manager, shared that DWR is planning to release the draft environmental document in mid-2022.
- Phil Ryan, DCA Engineering Manager, shared that the potential for Sacramento River flood risk related to a proposed conveyance project has been analyzed with updated modeling.
- Nazli Parvizi, DCA Communications Director, addressed DCA's plan for outreach moving forward:
 - Small community group meetings have been requested and will continue to be provided for communities interested in learning more about the proposed project as a whole or issues specific to their communities.
 - Coordination continues with Delta area libraries. DCA information materials are available in print and digital form.
 - Virtual tours are available online and will be updated, as necessary.
- Janet Barbieri, DWR Communications Director, provided an overview of DWR's outreach plans for 2022. The team is focused on the public information, engagement, and notification related to the Draft EIR release anticipated for mid-2022.
- Ms. Palmer said the DCA Board has appreciated the commitment of SEC members to their communities and the time they have spent listening to the DCA presentation. At their January meeting, the DCA Board will consider a resolution to officially sunset the SEC.



DCA Social Media Updates

- The DCA Facebook page is closing in on 1,400 followers
- December Facebook ads garnered nearly 674,000 impressions
- Video posts were played over 69,000 times
- The total Daily Reach for December was over 121,000

Section 3 | Budget

Budget. The FY21/22 DCA budget is \$23.5M. We are now currently forecasting an Estimate at Completion budget of \$23.5M. The DCA has committed \$17.4M (details in Table 2) and has incurred \$6.9M in expenditures through December

(details in Table 2). Actual and planned cash flow curves are shown in Figure 1.

Table 1 | Monthly Budget Summary (FY 21/22)

Category	Original Budget	Current Budget	Current Commitments	Incurred to Date	EAC	Variance
Program Management Office						
Executive Office	\$ 2,202,245	\$ 3,385,245	\$ 2,688,747	\$ 1,376,765	\$ 3,470,740	\$ 85,495
Community Engagement	775,305	775,305	544,993	180,697	806,993	31,688
Program Controls	1,472,640	1,472,640	1,472,640	672,576	1,472,640	-
Administration	2,568,238	2,635,238	2,719,267	1,250,577	2,548,055	(87,183)
Procurement and Contract Administration	74,112	74,112	74,112	66,099	74,112	-
Property	516,280	393,640	393,640	183,694	393,640	-
Permitting Management	560,534	560,534	560,534	222,206	560,534	-
Health and Safety	21,600	21,600	21,600	-	21,600	-
Quality Management	14,016	14,016	14,016	-	14,016	-
Program Initiation Office						
Engineering	7,617,456	8,490,096	7,710,090	2,741,298	8,350,728	(139,368)
Fieldwork	5,677,574	5,677,574	1,238,942	235,823	5,786,942	109,368
	\$ 21,500,000	\$ 23,500,000	\$ 17,438,581	\$ 6,929,735	\$ 23,500,000	\$ -

Note: The undefined allowance includes a \$500K cash advance from DWR to be used for prepayments that have short payment windows.

Section 3 | Budget *continued*

Table 2 | Budget Detail

Work Breakdown Structure	Original Budget	Current Budget	Commitments	Pending Commitments	Actuals Received	Remaining Budget	% of Budget Remaining	Estimate At Completion	Variance (Surplus)/Deficit
Delta Conveyance	\$ 21,500,000	\$ 23,500,000	\$ 17,438,581	\$ -	\$ 6,929,735	\$ 16,570,265	29%	\$ 23,500,000	\$ -
Executive Office	2,202,245	3,385,245	2,688,747	-	1,376,765	2,008,480	41%	3,470,740	85,495
Management	87,884	1,272,884	1,269,196	-	608,979	663,905	48%	1,274,200	1,316
Legal	556,915	556,915	556,915	-	92,367	464,549	17%	556,915	-
Audit	30,000	30,000	25,000	-	25,000	5,000	83%	30,000	-
Treasury	254,726	254,726	249,916	-	132,736	121,990	52%	254,726	0
Human Resources	87,720	87,720	87,720	-	17,683	70,037	20%	87,720	-
Undefined Allowance	1,185,000	1,183,000	500,000	-	500,000	683,000	42%	1,267,179	84,179
Community Engagement	775,305	775,305	544,993	-	180,697	594,608	23%	806,993	31,688
Management	118,877	118,877	118,877	-	68,359	50,518	58%	118,877	-
Community Coordination	250,000	250,000	0	-	0	250,000	0%	250,000	-
Outreach	406,428	406,428	426,116	-	112,338	294,090	28%	438,116	31,688
Program Controls	1,472,640	1,472,640	1,472,640	-	672,576	800,064	46%	1,472,640	-
Management	387,840	387,840	387,840	-	142,195	245,645	37%	387,840	-
Cost Management	754,560	754,560	754,560	-	366,465	388,095	49%	754,560	-
Document Management	330,240	330,240	330,240	-	163,916	166,324	50%	330,240	-
Administration	2,568,238	2,635,238	2,719,267	-	1,250,577	1,384,661	47%	2,548,055	(87,183)
Management	641,280	641,280	641,280	-	302,944	338,336	47%	641,280	-
Facilities	1,283,297	1,285,297	1,395,110	-	673,994	611,303	52%	1,287,286	1,989
Information Technology	643,661	708,661	682,877	-	273,639	435,022	39%	619,489	(89,172)
Procurement & Contract Administration	74,112	74,112	74,112	-	66,099	8,013	89%	74,112	-
Procurement Management	74,112	74,112	74,112	-	66,099	8,013	89%	74,112	-
Property	516,280	393,640	393,640	-	183,694	209,946	47%	393,640	-
Management	245,280	122,640	122,640	-	80,087	42,553	65%	122,640	-
Property Agents	150,000	150,000	150,000	-	78,607	71,393	52%	150,000	-
Temporary Entrance Permits	121,000	121,000	121,000	-	25,000	96,000	21%	121,000	-

Section 3 | Budget *continued*

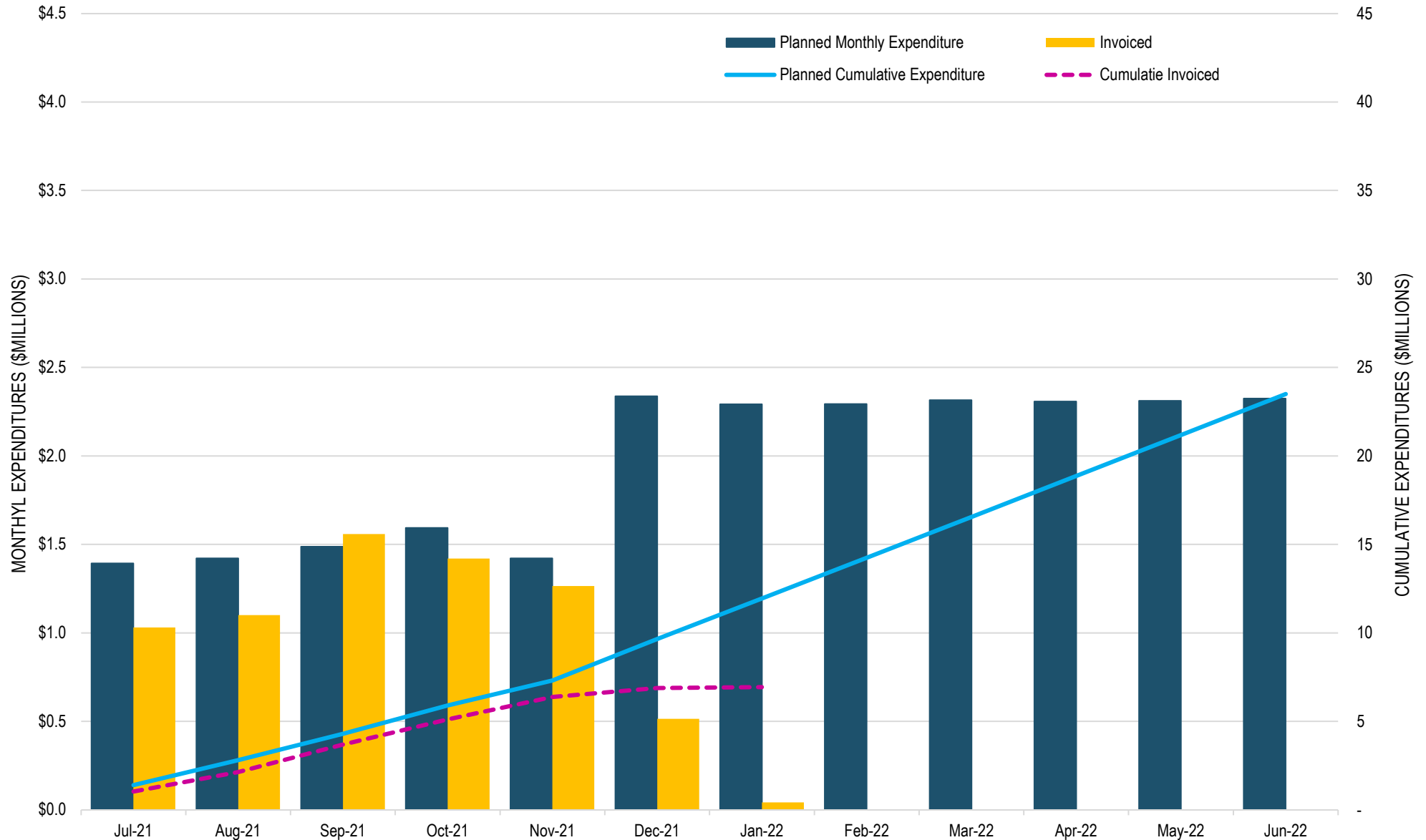
Table 2 | Budget Detail

Work Breakdown Structure	Original Budget	Current Budget	Commitments	Pending Commitments	Actuals Received	Remaining Budget	% of Budget Remaining	Estimate At Completion	Variance (Surplus)/Deficit
Permitting Management	560,534	560,534	560,534	-	222,206	338,328	40%	560,534	-
Management	560,534	560,534	560,534	-	222,206	338,328	40%	560,534	-
Health & Safety	21,600	21,600	21,600	-	0	21,600	0%	21,600	-
Management	21,600	21,600	21,600	-	0	21,600	0%	21,600	-
Quality Management	14,016	14,016	14,016	-	0	14,016	0%	14,016	-
Management & Auditing	14,016	14,016	14,016	-	0	14,016	0%	14,016	-
Engineering	7,617,456	8,490,096	7,710,090	-	2,741,298	5,748,798	32%	8,350,728	(139,368)
Management & Administration	900,316	1,022,956	992,956	-	347,335	675,621	34%	992,956	(30,000)
Engineering Support	4,436,666	4,436,666	4,436,660	-	1,449,393	2,987,273	33%	4,436,666	-
Facility Studies	2,280,474	2,280,474	2,280,474	-	944,570	1,335,904	41%	2,280,474	-
Undefined Allowance	0	750,000	0	-	0	750,000	0%	640,632	(109,368)
Fieldwork	5,677,574	5,677,574	1,238,942	-	235,823	5,441,751	4%	5,786,942	109,368
Management	544,675	544,675	654,043	-	159,135	385,540	29%	654,043	109,368
Geotechnical Work	4,548,000	4,548,000	0	-	0	4,548,000	0%	4,548,000	-
Environmental Monitoring	584,899	584,899	584,899	-	76,688	508,211	13%	584,899	-

Note: The undefined allowance includes a \$500K cash advance from DWR to be used for prepayments that have short payment windows.

Section 3 | Budget *continued*

Figure 1 | FY 21/22 Cash Flow



Section 4 | Contracts

Contracts. Table 3 summarizes the status of all active commitments within the DCA for the current fiscal year. Any pending or approved commitment changes

are summarized in Table 4. SBE/DVBE participation in major contracts is summarized in Table 5. There is one active procurement for Geotechnical Exploration and Reporting Services, see Table 6.

Table 3 | Contract Summary

Contract Description	Commitment Amount	Pending Commitments	Invoiced to Date	Percent Invoiced
180005 - e-Builder, Inc.	\$ 86,285	-	\$ 86,285	100%
180006 - Jacobs Engineering Group	9,266,843	-	3,165,086	34%
180008 - Hamner, Jewell & Associates	20,000	-	5,175	26%
180009 - Bender Rosenthal, Inc.	120,000	-	71,848	60%
190005 - Management Partners	567,800	-	268,048	47%
190009 - Parsons	3,394,236	-	1,604,989	47%
190011 - GV/HI Park Tower Owner, LLC	1,144,787	-	607,967	53%
190014 - Direct Technology	164,224	-	76,309	46%
190015 - Audio Visual Innovations, Inc.	125,514	-	35,181	28%
190016 - Consolidated Communications, Inc.	51,951	-	18,582	36%
190017 - AT&T	35,451	-	11,876	34%
190019 - VMACommunications, Inc.	282,400	-	66,700	24%

Section 4 | Contracts *continued*

Table 3 | Contract Summary

Contract Description	Commitment Amount	Pending Commitments	Invoiced to Date	Percent Invoiced
190021 - RingCentral	144,522	-	22,967	16%
190022 - Caltronics Government Services	119,029	-	11,818	10%
190023 - JAMBO-Silvacom LTD	22,000	-	-	0%
190024 - Stakeholder Engagement Committee Stipened	17,000	-	5,750	34%
200003 - Best Best & Krieger	556,915	-	92,367	17%
200013 - Metropolitan Water District of S. California	312,512	-	118,366	38%
200014 - Dept of Water Resources	121,000	-	25,000	21%
210005 - Alliant Insurance	26,190	-	26,190	100%
210006 - KPMG LLP	25,000	-	25,000	100%
210010 - Gwen Buchholz, Permit Engineer Inc	150,000	-	62,375	42%
210014 - Spark Street Digital	32,600	-	-	0%
210019 - Santa Clara Valley Water	109,368	-	-	0%
- Agreements <\$15K	42,953	-	21,855	51%

Table 4 | Commitment Changes

There are no commitment changes to report this month.

Section 4 | Contracts *continued*

Table 5 | S/DVBE Status (FY 21/22)

	Commitment Amount	Invoiced to Date	Percent Committed	Percent Invoiced
Delta Conveyance	\$ 17,438,581	\$ 6,929,735		
SBE Participation	1,726,909	764,336	9.9%	11.0%
DVBE Participation	\$ 170,000	\$ 117,850	1.0%	1.7%

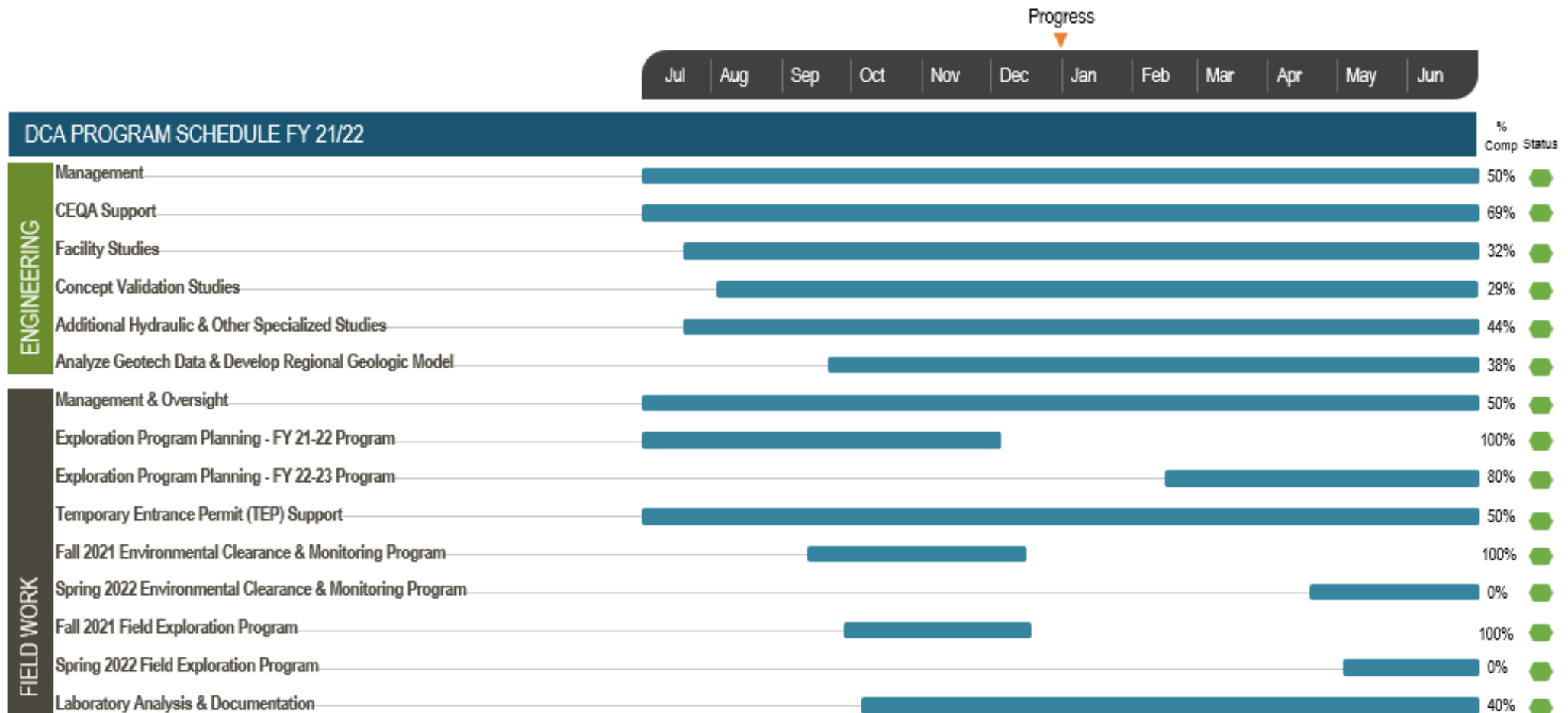
Consultant	Curent Commitment	Percent of Total Commitment	Invoiced to Date	Percent Invoiced SBE/DVBE	SBE/DVBE Status
Jacobs Engineering Group	9,266,848	2.8%	3,165,086	6.2%	
Anchor	170,000	1.8%	117,850	3.7%	SBE/DVBE
EETS	10,000	0.1%	797	0.0%	SBE
JMA	10,000	0.1%	8,789	0.3%	SBE
JASpezia	25,000	0.3%	41,031	1.3%	SBE
Nazparv	45,000	0.5%	27,328	0.9%	SBE
Parsons	3,394,236	31%	1,604,989	32.7%	
Chaves	1,060,480	31%	524,296	33%	SBE
Associated Right of Way Services, Inc.	10,000	100%	1,584	100%	SBE
Caltronics Government Services	119,029	100%	11,818	100%	SBE
Hamner Jewell & Associates	20,000	100%	5,175	100%	SBE
VMA Communications	282,400	100%	66,700	100%	SBE

Table 6 | Contract Procurement Summary

WBS	Description	Contract Type	Planning/Estimated Value	Annual Budget (FY 2021/22)	Pending Contract Value	Anticipated Term	Procurement Method	Procurement Start	Target NTP Date	Status
Field Work										
Geotechnical	Geotechnical Exploration and Reporting Services	Professional Services	TBD	\$5,000,000	TBD	Up to 3 Years	RFQ - Most Qualified	Oct-21	Feb-22	In Progress

Section 5 | Program Schedule

Schedule. The program schedule remains on target. The Engineering Team completed the conformed Engineering Project Reports (EPRs) this reporting period as scheduled. The Engineer Team will now be shifting its focus to work on the Facilities Studies while still prioritizing CEQA Support activities. The fall geotechnical exploration program was completed in early December and planning for the spring program is ongoing.



Board Memo

Contacts: Graham Bradner, Executive Director

Date: January 20, 2022 Board Meeting

Item No. 7b

Subject: Second DCA FY 21/22 Budget Adjustment

Summary:

Attached to this memo is the DCA revised budget of \$24.48M for FY 21/22 including a summary and detailed breakdown table. The budget reflects rolling over the final portion of the underspend from the FY 20/21 Budget in the amount of \$978,396. All Task Orders and Purchase Orders for FY 20/21 have been paid and closed.

This closeout transfer from FY 20/21 will be assigned to the undefined allowance line item in the Program Management Office (PMO) in the Executive Office category. This will serve as a reserve for the FY 21/22 and can only be reallocated through the DCA's change control process and, for scope changes more than \$250K, the Executive Director would seek approval from the Board.

This budget change will increase the adopted FY 21/22 Budget from \$23.5M to \$24.48M.

Recommended Action:

Staff recommendation for the Board to adopt the budget change to roll over funds to undefined allowance of \$978K under PMO Executive Office for a total FY 21/22 Budget of \$24.48M by minute order.

Attachments:

Attachment 1 – FY 2021/22 Proposed Amended Budget Summary

Attachment 2 – FY 2021/22 Detailed Proposed Amended Budget Sheet

CODE	Description	Proposed Amended FY 21/22 Budget \$
		\$ 24,478,396
10	PROGRAM MANAGEMENT OFFICE	\$ 10,433,366
100	PMO-Executive Office	\$ 4,430,641
110	PMO-Community Engagement	\$ 775,305
120	PMO-Program Controls	\$ 1,472,640
130	PMO-Administration	\$ 2,568,238
140	PMO-Procurement and Contract Administration	\$ 74,112
150	PMO-Property	\$ 516,280
160	PMO-Permitting Management	\$ 560,534
170	PMO-Health and Safety	\$ 21,600
180	PMO-Quality Management	\$ 14,016
30	PROGRAM INITIATION	\$ 14,045,030
300	PI-Engineering	\$ 8,367,456
310	PI-Fieldwork	\$ 5,677,574

Note: Numbers are rounded to the nearest dollar

CODE	Description	Proposed Amended FY 21/22 Budget \$
		\$ 24,478,396
10	PROGRAM MANAGEMENT OFFICE	\$ 10,433,366
100	PMO-Executive Office	\$ 4,430,641
1000	EO-Management	
10001	EO-Executive Office	\$ 573,804
10002	EO-Chief Engineer	\$ 672,480
10003	EO-DCA Board Meetings	\$ 26,600
1005	EO-General Counsel	
10050	EO-General Counsel	\$ 556,915
1015	EO-Audit	
10150	EO-Audit	\$ 30,000
1020	EO-Treasury	
10200	EO-Treasury	\$ 254,726
1025	EO-Human Resources	
10250	EO-Human Resources	\$ 87,720
1090	EO-Undefined Allowance	
10900	EO-Undefined Allowance	\$ 2,228,396
110	PMO-Community Engagement	\$ 775,305
1100	CE-Management	
11000	CE-Management	\$ 118,877
1110	CE-Community Coordination	
11001	CE-Community Liaison	\$ 250,000
1115	CE-Outreach	
11002	CE-SEC Meetings	\$ 283,428
11003	CE-Social Media	\$ 123,000
120	PMO-Program Controls	\$ 1,472,640
1200	PCTRL-Management	
12000	PCTRL-Management	\$ 387,840
1210	PCTRL-Cost Mgt	
12002	PCTRL-Cost Mgt	\$ 754,560
1215	PCTRL-Schedule Mgt	
12003	PCTRL-Schedule Mgt	
1220	PCTRL-Document Mgt	
12004	PCTRL-Document Mgt	\$ 330,240
1230	PCTRL-Program Governance	
12006	PCTRL-Program Governance	
130	PMO-Administration	\$ 2,568,238
1300	AD-Management	
13000	AD-Management	\$ 641,280
1305	AD-Facilities	
13001	AD-Office Rent	\$ 1,156,123
13003	AD-Office Supplies	\$ 12,800
13004	AD-Other Direct Costs	\$ 1,610
13005	AD-Office Utilities	\$ 112,764
1310	AD-Information Technology	

CODE	Description	Proposed Amended FY 21/22 Budget \$
13006	AD-IT Services	\$ 324,740
13007	AD-IT Software	\$ 253,806
13008	AD-IT Hardware	\$ 65,115
140	PMO-Procurement and Contract Administration	\$ 74,112
1405	PCA-Management	
14000	PCA-Management	\$ 74,112
150	PMO-Property	\$ 516,280
1500	PY-Management	
15000	PY-Management	\$ 245,280
1505	PY-Property Agents	
15001	PY-Property Agents	\$ 150,000
1510	PY-Temporary Entrance Permits	
15002	PY-Temporary Entrance Permits	\$ 121,000
160	PMO-Permitting Management	\$ 560,534
1600	PM-Management	
16000	PM-Management	\$ 560,534
170	PMO-Health and Safety	\$ 21,600
1700	HS-Management	
17000	HS-Management	\$ 21,600
180	PMO-Quality Management	\$ 14,016
1800	QM-Management & Auditing	
18000	QM-Management & Auditing	\$ 14,016
30	PROGRAM INITIATION	\$ 14,045,030
300	PI-Engineering	\$ 8,367,456
3000	PIE-Management & Administration	
30000	PIE-Management & Administration	\$ 900,316
3005	PIE-CEQA Engineering Support	
30001	PIE-CEQA Engineering Support	\$ 4,436,666
3010	PIE-Facility Studies	
30002	PIE-Facility Studies	\$ 2,280,474
3090	PIE-Undefined Allowance	
30900	PIE-Undefined Allowance	\$ 750,000
310	PI-Fieldwork	\$ 5,677,574
3100	PIF-Management	
31000	PIF-Management	\$ 544,675
3105	PIF-Geotechnical Work	
31001	PIF-Geotechnical Work	\$ 4,548,000
3110	PIF-Surveying	
31002	PIF-Surveying	
3115	PIF-Environmental Monitoring	
31003	PIF-Environmental Monitoring & Surveying	\$ 584,899

Note: Numbers are rounded to the nearest dollar

Board Memo

Contacts: Nazli Parvizi, Community Engagement Manager

Date: January 20, 2022 Board Meeting

Item No. 7c

Subject: Sunset the Stakeholder Engagement Committee

Summary:

At its November meeting, the Board of Directors discussed the future of the Stakeholder Engagement Committee (SEC), and the Board directed staff to bring back an item to sunset the SEC. The enclosed resolution would formally sunset the SEC. This direction recognized that the committee was formed to solicit and incorporate stakeholder feedback for the DCA's conceptual design work, and this work has now been largely provided to the Department of Water Resources (DWR) environmental team. The DCA held a final SEC meeting in December to review its general work to date, its input in the conceptual design, and future outreach efforts by the DCA and DWR.

In addition to this action, staff wanted to recognize the valuable participation in representing their communities as part of the DCA's commitment to community outreach. While the DCA understands that participation in the SEC did not indicate support for a potential Delta Conveyance Project (and SEC members oftentimes strongly expressed opposition to the potential project), the SEC served as a valuable forum to exchange ideas and for the DCA and DWR to transparently discuss their conceptual design work as part of an iterative process with community stakeholders.

The SEC, from staff's perspective been a valuable and worthwhile endeavor. Of course, there are lessons to be learned from any process. To provide a resource for future advisory or similar committees if Delta Conveyance is approved, staff prepared the enclosed draft whitepaper. This whitepaper summarizes the SEC formation and operation and provides some takeaways that may help inform future efforts.

Recommended Action:

Staff recommends the DCA Board adopt a Resolution to Sunset the Delta Stakeholder Engagement Committee.

Attachments:

Attachment 1 – Resolution 22-xx

Attachment 2 – SEC Whitepaper

**BOARD OF DIRECTORS OF THE DELTA CONVEYANCE
DESIGN AND CONSTRUCTION AUTHORITY**

RESOLUTION NO. 22-XX

Introduced by Director xxxx

Seconded by Director xxxx

SUNSETTING THE DELTA STAKEHOLDER ENGAGEMENT COMMITTEE

WHEREAS, the Delta Conveyance Design and Construction Authority (DCA) created the Delta Stakeholder Engagement Committee (Committee) to act as an advisory committee to the DCA Board of Directors as set forth in Resolution Nos. 19-12, 19-19, 20-10, and 21-04; and

WHEREAS, while participation in the Committee did not indicate support for a potential Delta Conveyance Project (DCP), the Committee provided valuable feedback to the DCA's conceptual design efforts for the DCP; and

WHEREAS, as this conceptual design work has been completed, the DCA Board of Directors wishes to sunset the Committee as set forth in this Resolution; and

WHEREAS, in adopting this Resolution, the Board of Directors wishes to thank all members of the Committee for their time, efforts, and participation.

Now, therefore, the Board of Directors hereby resolves as follows:

1. RECITALS

The above recitals are incorporated into this Resolution by reference.

2. SUNSET OF THE COMMITTEE

Pursuant to Section 2.B of Resolution No. 19-12 as amended by Resolutions No. 19-19, No. 20-10, and No. 21-01, the Board of Directors hereby sunsets and disbands the Committee.

3. EFFECTIVE DATE

This Resolution shall be effective upon its adoption.

* * * * *

This Resolution was passed and adopted this 20th day of January 2022, by the following vote:

Ayes:

Noes:

Absent:

Abstain:

Richard Atwater, Board President

Attest:

Martin Milobar, Secretary



DELTA CONVEYANCE DESIGN AND CONSTRUCTION JOINT POWERS AUTHORITY



IMPLEMENTATION OF THE STAKEHOLDER ENGAGEMENT COMMITTEE (SEC)
JANUARY 2022

DRAFT – For Discussion Only

1. Overview

In October 2019, the Delta Conveyance Design & Construction Joint Powers Authority (DCA) Board formed a Stakeholder Engagement Committee (SEC) of community members who live and/or work in the Sacramento-San Joaquin River Delta. The SEC was designed to create a forum where members of the public, designated committee members and DCA technical teams could exchange information, providing a better understanding of the technical components of a proposed single-tunnel Delta Conveyance Project (DCP), while allowing the project staff to gather early input related to ways the design could reduce adverse construction and footprint design effects to the local Delta community. The intent was that the project team would be able to integrate community-focused modifications into the planning and conceptual design of the proposed project to minimize and/or avoid potential negative effects wherever possible. With input from SEC members at the early design phase prior to completion of the Draft Environmental Impact Report (EIR), DCA engineers identified several design and logistics strategies to avoid and/or minimize potential construction effects anticipated from the development of the proposed project.

The SEC process included an application and selection process, with up to 18 committee members representing specific Delta communities or issue areas, including two members of the DCA Board acting as chair and vice-chair, as well as three ex-officio members who were subject-matter experts on public parks, levee engineering, and public safety.

The SEC held 19 meetings from November 2019 to December 2021. Meetings were held in person through March 2020 and then remotely, following state and county COVID-19 social distancing orders. SEC members received detailed technical presentations about proposed designs of three DCP alignments – Central Alignment, Eastern Alignment, and Bethany Reservoir Alignment. SEC members were asked to share the information with residents and interest groups throughout the Delta communities, providing the resulting feedback to DCA technical staff to prompt community-initiated design refinements. Feedback included topics such as traffic flow, optimal stockpile configurations, uses for excavated tunnel material, maintenance shaft placement, and efficient materials movement.

In 2021, DCA completed preliminary conceptual project designs to be used by the California Department of Water Resources (DWR) for environmental analysis of the proposed project alternatives under the California Environmental Quality Act (CEQA). The team continued to work and make refinements to conceptual design at DWR's request. Results of SEC feedback included adjustments to facilities locations, elimination of some features, reduced noise impacts, proposed road improvements to address traffic concerns, and the reduction of the project footprint in several areas.

It is also important to note the following:

- The DCA is uniquely responsible for submitting the conceptual design of a proposed Delta conveyance project to DWR.
- The DCA does not make policy decisions related to a proposed conveyance project, including whether to approve a project. The DCA is not leading the review of the CEQA process.
- Participation in the SEC in no way indicates support for any Delta Conveyance Project.
- The SEC is not intended to replace CEQA-related outreach, particularly during scoping or Draft EIR public comment periods. DWR is committed to a rigorous outreach effort, separate from the SEC or any other DCA process.

Summary of Board Actions for SEC			
#	Date	Description	Resolution
1	09/19/2019	Passed Resolution to Establish the DCA Delta Stakeholder Engagement Committee and Forming an Ad Hoc Committee	19-12
2	10/17/2019	Passed Resolution to Appoint and Formalize DCA Delta Stakeholder Engagement Committee	19-17
3	11/21/2019	Appointment of DCA Delta Stakeholder Engagement Committee Member (Mike Hardesty)	19-19
4	02/06/2020	Appointment of a Delta Stakeholder Engagement Committee Member (Peter Robertson)	20-02
5	09/17/2020	Expansion of the Delta Stakeholder Engagement Committee and Appointment of Ex Officio Member (David Welch)	20-10
6	10/26/2020	Appointment of a Delta Stakeholder Engagement Committee Member from Hood (Gia Moreno)	20-12
7	05/20/2021	Modify Size of the Delta Stakeholder Engagement Committee	21-04

2. Purpose of the SEC

a. Mission

Per DCA Board Resolution No. 19-12, the Delta Stakeholder Engagement Committee (Committee) provided technical and related advisory input to the DCA during its Planning Phase activities, as defined in the Joint Exercise of Powers Agreement (JEPA) signed with DWR (defining DCA activities). The scope of the SEC was the following:

- 1) Technical/Engineering Feedback: Create a forum for Delta stakeholders to provide input and feedback on technical/engineering issues related to the DCA's activities.
- 2) Discuss Measures to Offset Effects: Identify engineering and design considerations that would avoid, reduce, or offset anticipated effects from preliminary information on construction and facility siting.
- 3) Connect with Community: Committee members can relay information between community groups and the SEC, utilizing their relationships and trust within the Delta to conduct and gather design input, through general stakeholder outreach on DCA activities, with an emphasis on minimizing project effects through design and logistics.

b. Creation/Committee Make-Up

The DCA Board unanimously approved Resolution No. 19-12 on September 19, 2019, which outlined the SEC's purpose, scope, and membership. The SEC included up to 18 members, representing the following Delta communities or subject areas:

- Agriculture
- Recreation
- Sports Fishing
- Environmental NGO - Terrestrial

- Environmental NGO - Aquatic
- Environmental Justice
- North Delta Local Business
- South Delta Local Business
- Delta History & Heritage
- Tribal Governments
- Delta Water District
- At Large – Yolo County
- At Large – Solano County
- At Large – San Joaquin County
- At Large – Sacramento County
- At Large – Contra Costa County
- Public Safety
- Ex-Officio

Two members of the DCA Directors acted as chair and vice-chair. The DCA Board also appointed three ex-officio members who were subject-matter experts on public parks, levee engineering, and public safety. (See Attachment 1 – SEC Roster)

Participants in the SEC exemplified what it means to consider the Delta as Place and were selected through an application process. (See Attachment 2 – Copy of SEC Application) The DCA Board voted to appoint each SEC member to the committee, beginning with Resolution 19-17 approved on October 17, 2019. The goal was to populate the SEC with people who live, work and/or play in the Delta, representing communities along project alignments, as well as business and recreation interests throughout the Delta potentially affected by the proposed project.

c. SEC Member Role and Support

To ensure SEC members were able to review, digest, and distribute materials, as needed, the DCA developed an SEC support system.

- 1) Technology/Internet: Technology and access to broadband connections in the Delta is a consistent challenge. DCA provided internet hotspots, laptops and tablets to members who needed them to access DCA materials.
- 2) Printed Materials: All materials were provided to members in print, as requested, and posted on the DCA website. Members were also provided USB drives, as requested, loaded with materials to support presentations in person or virtually.
- 3) Stipends: All members, excluding ex-officio members and the SEC Chair and Vice Chair, received a \$250 stipend for each meeting attended. Attendance was taken at each meeting. Meetings typically were scheduled for 3 hours and often stretched to 4 hours.
- 4) Liaisons: DCA and DWR outreach team members acted as liaisons between SEC members and the DCA. Staff were assigned specific SEC representatives to maintain continuity and to support the opportunity for questions and offline clarifications. Liaisons regularly reached out to SEC members to prepare for meetings and/or to follow up after meetings.

3. SEC Meeting Format

a. Meeting Format

All meetings were public, with a Chair, Vice Chair, and Facilitator supporting meeting discourse. Prior to the pandemic, meetings were held in person and live streamed, as well as posted to the DCA website and social media. During the pandemic, meetings were strictly held virtually in accordance with local and state guidelines, and meeting materials were posted across DCA platforms. Each meeting typically included the following:

- 1) Engineering/design updates provided by DCA engineers
- 2) CEQA updates provided by the DWR team
- 3) SEC roundtable for outreach reports and open discussion by SEC members
- 4) DCA general updates including budget, personnel, and policy
- 5) Public comment, per Brown Act legal requirements

Meeting discussions included a presentation, opportunity for facilitated discussion, followed by public comment for each agenda section/topic. DCA counsel attended all meetings as a resource regarding Brown Act, meeting rules, and program procedures.

b. Ralph M. Brown Act

The SEC was developed as an advisory body appointed by the DCA Board. Therefore, the SEC and its meetings and interactions were governed by the Brown Act.

The Ralph M. Brown Act is California's "sunshine" law for local government meetings. It is found in the California Government Code beginning at Section 54950. In a nutshell, it requires local government business to be conducted at open and public meetings, except in certain limited situations. The Brown Act is based upon state policy that the people must be informed so they can participate in and keep control over their government.

c. Meeting Cadence

SEC meeting cadence was based on project engineering and design benchmarks and support for DWR's preparation of the proposed Delta Conveyance Project Draft EIR. The SEC held 19 meetings from November 2019 to December 2021. SEC members were polled to find out what days/times worked best for the majority of members to attend meetings. Most SEC members stated a preference for Wednesdays from 3PM – 6PM, which is when most meetings took place (with exceptions for holidays and other disruptions). In April 2020, COVID protocols required the DCA to pivot work remotely and transition to virtual meetings. The DCA canceled two scheduled SEC meetings during this period to adapt to the virtual meeting environment.

In-Person Meetings in the Delta

SEC Meeting 1: November 13, 2019 – Delta Diamond Farm, Isleton

SEC Meeting 2: December 11, 2019 – Bella Vie Winery, Rio Vista

SEC Meeting 3: January 22, 2020 – Bella Vie Winery, Rio Vista

SEC Meeting 4: February 12, 2020 – Willow Ballroom, Hood

SEC Meeting 5: February 26, 2020 – Bella Vie Winery, Rio Vista

SEC Meeting 6: March 11, 2020 – Willow Ballroom, Hood

Virtual Meetings/Webinars via RingCentral

SEC Meeting 7: April 22, 2020
SEC Meeting 8: May 27, 2020
SEC Meeting 9: June 24, 2020
SEC Meeting 10: July 22, 2020
SEC Meeting 11: August 26, 2020
SEC Meeting 12: September 23, 2020
SEC Meeting 13: November 5, 2020
SEC Meeting 14: December 9, 2020
SEC Meeting 15: February 24, 2021
SEC Meeting 16: April 28, 2021
SEC Meeting 17: June 23, 2021
SEC Meeting 18: September 22, 2021
SEC Meeting 19: December 8, 2021

d. Meeting Content:

Meeting content was developed to support conceptual engineering design and construction logistics discussions to identify areas where design could avoid and/or minimize potential construction effects to communities anticipated from the development of the proposed project, such as traffic, noise, public safety, project footprint, laydown areas, materials movement/delivery/management, and project employee movement.

Project facilities reviewed included the project components, as well as related land use, logistical and mobility aspects.

Carrie Buckman, DWR Environmental Manager, provided consistent CEQA process updates and participated in meetings to answer questions outside the DCA scope.

e. Meeting Materials

At each meeting, SEC members received detailed technical presentations about preliminary designs of three proposed Delta Conveyance Project alignments (Central, Eastern and Bethany Reservoir Alternatives). SEC members were asked to share the information with various interest groups and community members throughout the Delta communities, and then provide the resulting feedback to DCA engineering team to prompt community-initiated design refinements. (See Attachment 3 - DCA Website link for SEC Materials and Resources)

Meeting materials included the following:

- 1) PowerPoint presentations: Detailed technical explanations and updates about the project, its components, and construction logistics.
- 2) DWR updates on CEQA process, planning efforts and outreach.
- 3) Detailed meeting notes from the previous meeting, which are all archived on the DCDCA.org website.
- 4) Q&A tracking matrix: Tracked SEC member questions and answers addressed during meetings and during offline conversations or emails. The information was shared and archived on the DCDCA.org website to support transparency and consistency.

- 5) Map Books outlining the proposed alignments updates: Map Books, updated regularly as needed, provided detailed information about all three alignments, with specifics about the components, land use, mobility, and construction logistics. They also provided an opportunity for SEC members and stakeholders to dive deeply into the proposed project design and possible impacts.
 - 6) Worksheets to support feedback efforts: On at least two occasions, SEC members were provided written prompts to support engagement on specific topics.
 - 7) Updated organizational materials, such as contact lists, technical memos, DCA Board materials, and white papers.
 - 8) Meeting summaries: Distributed following each SEC meeting, highlighting the information provided to SEC members, summarizing their feedback, next steps, and potential future agenda items. Summary purpose was to provide an overview of the meeting for SEC members to share with their respective stakeholders who were unable to attend the meeting.
- f. SEC Meeting Noticing
- All meetings were noticed digitally and posted on the website. When meetings were held in person, agendas were also posted at the five Delta libraries and various US Post Offices in the Legacy Communities and recognized convening areas throughout the Legal Delta. Meeting notices and agendas were also posted at the following physical locations:
- 1) The DCA office building lobby, per the Brown Act
 - 2) Delta meeting venue (for all in person meetings)

During the Pandemic, meeting noticing consisted of posting digitally on the DCA website, DCA office lobby and across social media platforms.

4. SEC Information & Feedback

- a. A variety of DCA engineering topics were discussed at the SEC meetings, including an overview of potential conveyance features, siting of key features, logistics for construction, and outcomes from efforts to minimize community effects. Additionally, DWR representatives participated in the meetings and provided presentations and updates on the project's CEQA process and notable topics requested by the SEC.

SEC members attended meetings, asked questions, and provided feedback. In addition to providing their own input, SEC members also shared meeting materials and details with others in their communities. They were asked to bring community concerns and questions to the DCA during the SEC meetings, via email or via telephone communication. SEC members were encouraged to address the DCA Board about their participation in the SEC process. DCA staff provided regular reports to the DCA Board about SEC meetings and activities.

SEC members provided valuable input and feedback to the DCA to inform the engineering design and construction planning, which led to implementing changes in the design and location of key features.

The following list provides some examples of SEC member input that was incorporated into the project conceptual designs being considered in DWR's environmental process:

- Removal of barge landings to avoid effects on Delta recreational boaters.
- Changes to the intakes' construction phase cofferdam to minimize the number of impact-driven sheet piles and the associated noise.
- Elimination of construction traffic, except for employee shuttle buses or vans and small trucks, on Hood-Franklin Road due to traffic congestion concerns, and to minimize noise, light, and air quality effects on greater sandhill cranes and the Stone Lakes National Wildlife Refuge headquarters.
- Avoidance of using levee roads for heavy construction traffic to reduce potential impacts to levees.
- Adjustment of the Staten Island maintenance shaft site location to minimize adverse effects on greater sandhill cranes.
- Relocation of the tunnel maintenance shaft from Brack Tract to Canal Ranch Tract to minimize disturbance along flight paths of greater sandhill cranes and other birds between units of the Woodbridge Ecological Reserve.
- Relocation of the Byron Tract working shaft site and elimination of the Victoria Island shaft due to traffic congestion concerns on State Route 4 at bridges on the eastern and western sides of Victoria Island.
- Modifications to Byron Highway due to traffic congestion concerns.
- Decision to only use Intake C-E-2 as a 1,500 cubic feet per second (cfs) intake for the 7,500 cfs project design capacity options due to comments from Tribal representatives and its proximity to the community of Clarksburg.

b. How Presentations Were Planned

Due to the complex nature of this project and the engineering conceptual design efforts, DCA staff worked for weeks prior to each meeting to develop presentations intended to be informational and accessible to non-technical audiences. The team generally curated content by following a three-to-four-week process:

- 1) Agenda meeting/SEC meeting goals identified
- 2) Content developed by subject matter experts
- 3) Organization and graphic design of content
- 4) Internal QA/QC
- 5) Presentation run-through #1
- 6) Presentation edits by engineers and designers ensuring material is accessible
- 7) Presentation run-through #2, further ensuring accessibility
- 8) Presentation run-through #3 with timing, if needed
- 9) Chair's coordination
- 10) Final agenda, meeting materials, and presentation posted, circulated and at times hand-delivered
- 11) Meeting summaries delivered to SEC members and posted online generally within two days after the meeting to support SEC outreach

c. Other Information Forums

- 1) Additional meetings organized for SEC members in March 2020
 - ISI Fish Screen Manufacturing Facilities Tour
 - Redwood City Active Tunneling Dig Site
- 2) Community Engineering Briefing meetings for groups and communities recommended by SEC members:
 - Hood
 - Point Pleasant
 - The Nature Conservancy
 - Reclamation District (RD) 1002
 - Courtland

d. Virtual Tours & Digital Tools

1) Virtual Tours

The DCA team developed a Virtual Tour of all three proposed project alignments (Central, Eastern, and Bethany Reservoir Alternative alignments). The Virtual Tour provided a comprehensive video experience (viewable through the DCA Youtube.com channel), explaining details about proposed conveyance component placement, the project footprint, and construction logistics plans. Virtual Tour videos are available in Spanish and Chinese. Due to safety constraints and concerns related to trespassing on private property, the Virtual Tours were designed to provide greater understanding of proposed facilities, sitings and project alignments, while also being respectful of Delta property owners.

2) Digital Tools

The DCA also established a digital resource library of SEC materials that includes all informational documents, videos, meeting notes, meeting recordings, and other informational assets. These materials can all be found on the DCDCA.org website. The availability of this information was also publicized and distributed via Facebook, Twitter, and YouTube.

e. DWR Role

Delta Conveyance Program design is managed under an amended Joint Exercise of Powers Agreement (JEPA) between the DWR and the DCA. In practice, DWR assigns DCA project-development work related to planning, design, and engineering, with oversight of DCA projects and personnel. Regarding the SEC, DWR participated in all regularly scheduled SEC meetings and presented updates. All materials, meeting topics, and content were reviewed by DWR staff.

5. COVID-19 Impacts

DCA and the SEC adjusted their meeting protocols to comply with the Governor's various executive orders to address the COVID-19 pandemic. On March 11, 2020, the SEC met in person, however meeting materials and logistics accommodated social distancing and health standards being emphasized at that time by the U.S. Center for Disease Control and California Department of Health and Human Services. Later in March, California and applicable counties enacted various stay at home orders, and all meetings moving forward were virtual, via RingCentral.

- a. Accommodations: The resulting accommodations included virtual meetings, mailed materials, and significant focus on telephonic and online communications.
- b. Success/limitations of COVID-19 protocols were mixed. On one hand, SEC members stated it was difficult to conduct the outreach they had committed to because people were quarantined. Conversely, public attendance at SEC meetings was higher, with stakeholders able to attend from throughout the state. With virtual meetings, challenges associated with transportation, childcare, and health were minimized, allowing some public and SEC members enhanced, or less burdensome opportunities to attend.
- c. Participants frequently voiced concerns about the poor quality of internet access in most parts of the Delta. SEC members were contacted by DCA staff to understand connectivity issues, and when needed, provided additional equipment such as laptops, Wi-Fi hotspots, or high-speed internet service to SEC members.

6. Lessons Learned/Considerations Regarding Structure and Process

- In instances where stakeholders are deeply concerned or overtly against a project, there needs to be trust and creativity in identifying how stakeholders can effectively participate, have difficult discussions about design, and carry out their desire to authentically represent their communities.
- Topic scope definition and limitations need to be addressed upfront and articulated clearly to ensure committee members understand the committee's purpose and boundaries. Committee members should also be provided alternative resources for topics that cannot be addressed in the SEC forum. For example, issues that are directly related to the environmental review process (CEQA) were not part of the scope, but DWR's regular updates provided committee members and the public with directions on how and where to obtain up-to-date information about the CEQA process on DWR's website.
- The quality of the technical input/feedback received was very localized and unique.
- Front load more baseline information to allow more time for SEC members to absorb information and for the technical team to develop materials. While substantive, project-specific information cannot be made public prior to the release of the Notice of Preparation (NOP), the technical aspects of the project – a generic explanation of all components and CEQA process – should be considered as early primers to the project-specific discussion.
- Meetings twice a month presented a challenge for the technical team and the committee. Developing materials accessible to non-technical stakeholders required several iterations amongst staff. This also made it difficult for presentation materials to be delivered to participants in advance of the meetings, which required initial review of materials at the meeting, rather than ahead of time. Roundtable discussion was a standing agenda item to ensure SEC Members were provided time to digest information and engage in open dialogue from previous meeting materials/content.
- Since the SEC was subject to the Brown Act, the process supported greater transparency and confidence in the process, given that all discussion between members needed to occur within the confines of a formal publicly noticed meeting. However, it also created some frustration among SEC members because the statute limited their ability to have discussions about the proposed project and the process, including commenting on social media, outside of the

formal committee meetings. The Brown Act requirements also created quorum challenges when some members resigned.

- Materials need to be clear, simple, and visual.
- Diverse information platforms and some repetition supported productive feedback.
- Methods of distributing meeting materials evolved during the process to address stakeholder needs, expanding access and breadth of materials. In addition to digital assets, delivering printed copies and USB drives was helpful, as well as providing information in local libraries, to enhance accessibility to the public. Despite challenges with connectivity and geography in the Delta, DCA administrative staff made it a priority to be responsive, providing materials to any members upon request.
- Providing technology and technology support was critical to supporting engagement and access to materials. DCA staff provided hotspots, laptops, and were able to troubleshoot technical issues during meetings.
- Given the complex web of organizations engaged in developing the DCP, it was important to consistently emphasize the specific roles and relationship between DWR, DCA, and SEC.
- Providing information to committee members about logistical trade-offs was beneficial and helped promote technical feedback. Examples include using fewer barges to move materials versus using more trucks on the road; or creating higher RTM piles versus wider, lower height RTM piles. In some of these cases, neither option was optimal, but the discussion helped the committee provide feedback about the different effects.
- Goals of the SEC Meeting should be repeated at each meeting and tied to requested feedback.
- While committee members represented different aspects of Delta life, at times feedback was not limited to their specific areas of expertise. Sometimes that meant the area of expertise might not have been addressed. It may be beneficial to better articulate the need for members to initially speak to their roles of service to ensure those issues are being tended to, while members can always opine on other issues as well.
- Designating technical specialists for the committee was important to the committee and to the content development process. When SEC members asked questions, it was helpful for them to be able to tie a person to the specific bit of information being requested. Further, this relationship helped the engineering team design presentations that were more accessible to the committee members.

Attachments:

1. SEC Roster
2. SEC Meeting Photos
3. Copy of Application
4. SEC Materials and Resources: dcdca.org
 - a. SEC Meeting Minutes
 - b. SEC Presentations
 - c. SEC Meeting Recordings

Attachment 1 – SEC Roster

The members of the Stakeholders Engagement Committee (SEC) dedicated a great deal of personal time and energy to fulfilling their roles in the SEC process. They studied technical data and plans, communicated with their constituents, worked through the challenges of pandemic related limitations, and strove to protect and support the many facets of the Delta. It is important to acknowledge their efforts. It has been an honor to serve with them.



Sarah Palmer

SEC Chair and DCA Vice President



Barbara Keegan

SEC Vice Chair and DCA Alt. Director

SEC MEMBERS ROSTER

#	NAME	REPRESENTING	Tenure
1	Angelica Whaley	North Delta Local Business	Nov 2019 - Sept 2021
2	Anna Swenson	At Large - Yolo	Nov 2019 - Dec 2021
3	Barbara Barrigan Parrilla	Environmental Justice	Nov 2019 - Sept 2021
4	Cecille Giacomia	Public Safety	Nov 2019 - Dec 2021
5	David Gloski	At Large - Contra Costa	Nov 2019 - Dec 2021
6	David Welch	Ex-Officio	Sept 2020 - Dec 2021
7	Douglas Hsia	At Large - Sacramento	Nov 2019 - Dec 2021
8	Gia Moreno	Hood Representative	Oct 2020 - Dec 2021
9	Gilbert Cosio	Ex-Officio	Nov 2019 - Dec 2021
10	Isabella Gonzalez Potter	Environment NGO - Aquatic	Nov 2019 - Dec 2021
11	James (Jim) Wallace	Delta History & Heritage	Nov 2019 - Mar 2021
12	Jesus Tarango	Tribal Government Representative	Nov 2019 - Dec 2021
13	Jim Cox	Sports Fishing	Nov 2019 - Dec 2021
14	Karen Mann	South Delta Local Business	Nov 2019 - Nov 2021
15	Lindsey Liebig	Agriculture	Nov 2019 - Dec 2021
16	Malissa Tayaba	Tribal Government Representative	Nov 2019 - Dec 2021
17	Mel Lytle, Ph.D.	Delta Water District	Nov 2019 - Dec 2021
18	Michael Moran	Ex-Officio	Nov 2019 - Dec 2021
19	Mike Hardesty	At large - Solano	Nov 2019 - Dec 2021
20	Paul Ernest Clausen	Recreation	Nov 2019 - Dec 2019
21	Peter Robertson	Recreation	Feb 2020 - Feb 2021
22	Phillip Merlo	At large - San Joaquin	Nov 2019 - Dec 2021
23	Sean Wirth	Terrestrial	Nov 2019 - Feb 2021

DRAFT – For Discussion Only

Attachment 2 – SEC Meeting Photos

DRAFT – For Discussion Only



Prior to state and county social distancing orders, meetings were held in person. Location alternated between the North and South Delta.

Earth Pressure Balance TBM (EPB)

"Earth pressure balance (EPB) tunneling machines use the excavated soil in a chamber to apply a support pressure to the tunnel face during excavation. Conditioning the excavated material is one of the most important components in the operation of an EPB TBM."

49. BETHANY ALTERNATIVE TRAFFIC ANALYSIS

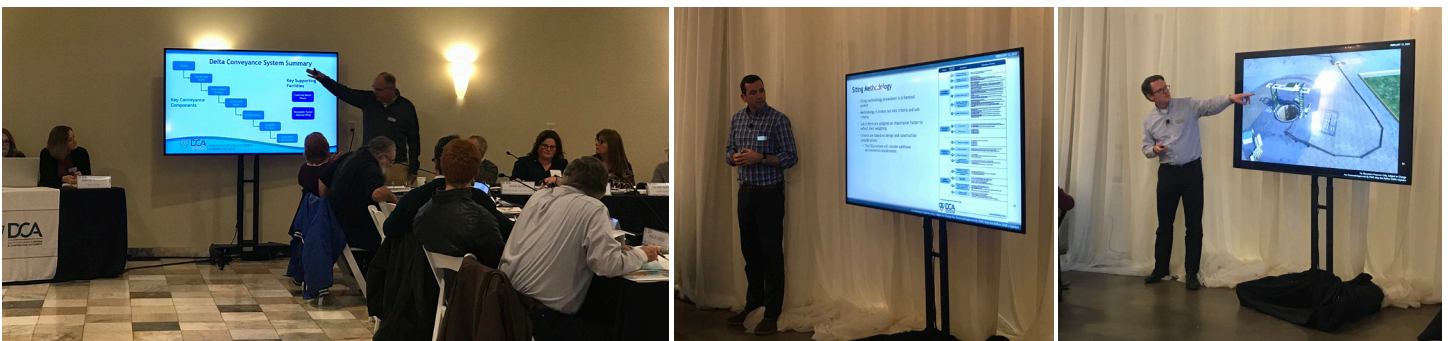
Existing LOS

Source: San Joaquin County Regional Congestion Management Program 2010 Monitoring and Performance Report

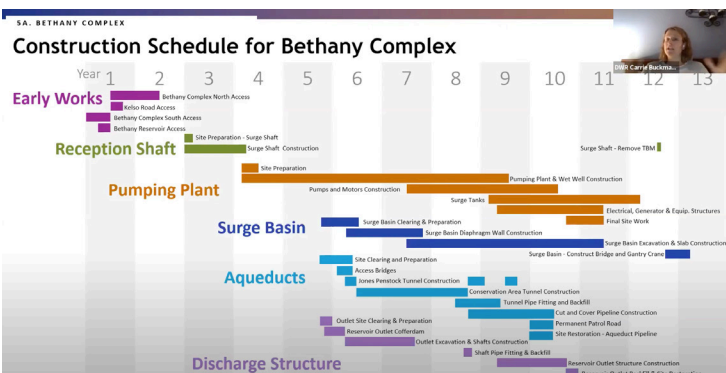
Item 4a.

DCA Review and Updates

Following state and county social distancing orders, meetings were conducted virtually.



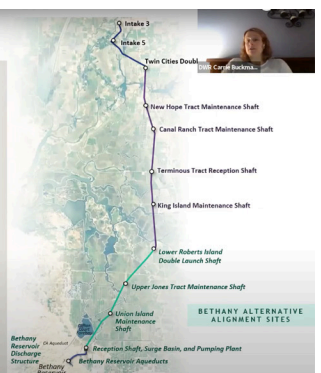
Technical presentations to the SEC Committee were provided by the subject matter experts.



Bethany Alternative

Bethany Reservoir Alternative

- Bethany Alternative uses the same alignment as the Eastern Alignment up to Lower Robert Island Shaft, at this point the shaft becomes a double launch shaft.
- Two additional maintenance shafts would be needed for the Bethany Alternative:
 - Upper Jones Maintenance Shaft
 - Union Island Maintenance Shaft
- The tunnel reach from Lower Roberts extends to the Pumping Plant complex near the existing Central Valley Project facilities just south of Byron Highway.
- The pumping plant diverts the tunnel flow up to a discharge structure along the shore of Bethany Reservoir via 4 parallel aqueducts.



Carrie Buckman, DWR's Environmental Manager for the Proposed Delta Conveyance Project, provided updates at each SEC meeting.

Attachment 3 – Copy of SEC Application

DRAFT – For Discussion Only

DELTA STAKEHOLDER ENGAGEMENT COMMITTEE APPLICATION

Please complete this application in its entirety and submit to the Delta Conveyance Design and Construction Authority (DCA) at StakeholderApp@dcdca.org or 1121 L Street, Suite 1045 Sacramento, CA 95814. This application form is available on-line at dcdca.org. For assistance, please contact Claudia Rodriguez at claudiarodriguez@dcdca.org or (916) 347-0486. Please note that applications are public records. This pdf is a fillable form. **Applications are due and need to be postmarked by October 11, 2019.**

A. CONTACT INFORMATION

Name (First, Middle, Last):			
Home Phone:	Work Phone:	Cell Phone:	Fax:
Mailing Address: (Street Address, City, State, ZIP)			
E-mail:			
If Applicable, Present Employer (Name and Address):		Job Title:	
Do you have a current contractual relationship with the DCA?			<input type="checkbox"/> Yes <input type="checkbox"/> No
If YES, please describe:			

B. STAKEHOLDER REPRESENTATIVE INFORMATION

The Committee is intended to include members from a diverse group of Delta stakeholders. To ensure as many of the different stakeholder groups are represented, please indicate which stakeholder groups you would represent. You may select up to three for the application process.

- | | | |
|--|--|---|
| <input type="checkbox"/> Agriculture | <input type="checkbox"/> Local Business | <input type="checkbox"/> Tribal Government Representative |
| <input type="checkbox"/> Recreation | <input type="checkbox"/> Delta History / Heritage | |
| <input type="checkbox"/> Sport Fishing | <input type="checkbox"/> Delta Water District | |
| <input type="checkbox"/> Environmental NGO (Aquatic) | <input type="checkbox"/> Environmental NGO (Terrestrial) | |
| <input type="checkbox"/> Environmental Justice | <input type="checkbox"/> Barging Operations | |
| <input type="checkbox"/> At Large (1 from each Delta County) County: _____ | | |

If additional space is needed please feel free to attach additional sheets to this document.

DELTA STAKEHOLDER ENGAGEMENT COMMITTEE APPLICATION

If you selected more than one stakeholder group, please rank your selections by preference:

1. _____ 2. _____ 3. _____

Please explain your qualifications to represent the listed stakeholder group(s) on the previous page:

Each selected committee member will be expected to disseminate information shared in the Committee meeting and solicit feedback from other Delta groups or stakeholders within your identified representative group. Please indicate how you propose communicating necessary information to your stakeholder group(s):

C. OTHER RELEVANT EXPERIENCE

List current and previous other relevant volunteer or employment experience:

DATE(S):	NAME:	RESPONSIBILITIES/EXPERIENCE:

List continued on next page.

If additional space is needed please feel free to attach additional sheets to this document.

DELTA STAKEHOLDER ENGAGEMENT COMMITTEE APPLICATION

DATE(S):	NAME:	RESPONSIBILITIES/EXPERIENCE:

D. INTEREST AND AVAILABILITY

Please describe your interest in serving on this Committee:

How would the Delta benefit by your participation on the Committee?

The Committee will likely have a set meeting schedule, with meetings occurring twice (2) a month. Meetings will generally occur at a location in the Delta. Food and beverages may be served. Please indicate which day of the week and time of day would work best for meetings and which day of the week and time of day you would be unavailable. Please also indicate whether you prefer day or night meetings and if either poses a constraint.

Day	Morning (8am-12pm)	Mid-day (12pm-3pm)	Afternoon (3pm-6pm)	Evening (6pm-10pm)
Monday	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Tuesday	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Wednesday	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Thursday	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Friday	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

If there is a day of the week and time of day you would be unavailable, please indicate below:

DELTA STAKEHOLDER ENGAGEMENT COMMITTEE APPLICATION

Committee members will be expected to serve for at least six (6) months. Can you commit to this time period?

☐ YES

☐ NO

If you have a disability, what accommodations would you need to serve on this committee?

E. EMERGENCY CONTACT INFORMATION

In case of emergency, contact:

1. Name: _____ Phone: _____

2. Name: _____ Phone: _____

I, HEREBY, ATTEST THAT THE ABOVE INFORMATION IS TRUE TO THE BEST OF MY KNOWLEDGE AND MAY BE VERIFIED BY THE DELTA CONVEYANCE DESIGN AND CONSTRUCTION AUTHORITY. I UNDERSTAND THAT MISREPRESENTATION OR OMISSIONS MAY BE CAUSE FOR MY IMMEDIATE REJECTION AS AN APPLICANT OR TERMINATION FROM APPOINTMENT TO THE COMMITTEE.



Applicant Signature

Date Signed

Attachment 4 - SEC Materials and Resources

The DCA website contains SEC meeting materials, presentations, meeting minutes, video recordings of meetings, and other SEC related resources. Follow the link for more information: dcdca.org

The image below illustrates information and resources available for each of the various meetings.

SEPTEMBER				
AGENDA	PRE MTG MATERIALS	MEETING MINUTES	VIDEO	DOCUMENTS
Stakeholder Engagement Committee Meeting <i>September 22, 2021</i>	Meeting Packet			Meeting Presentation 9.22.2021 SEC Tracking Packet Master Tracking Packet Meeting Summary

There is also a document library on the DCA website where additional resources can be found. Follow the link: <https://www.dcdca.org/info-center/document-library/>

Board Memo

Contacts: Michael Wuflestad, Contracting Procurement Manager

Date: January 20, 2022 Board Meeting

Item No. 7d

Subject:

Consider Passing Resolution to obtain Geotechnical Exploration and Reporting Professional Services and Making Related CEQA Findings.

Executive Summary:

After completing a competitive solicitation via a Request for Qualifications (RFQ) and evaluation process, staff recommends that the Board authorize the Executive Director to negotiate and execute a professional services agreement with the most qualified consultant, AECOM Technical Services, Inc. (AECOM), to provide Geotechnical Exploration and Reporting Services (GER) Services for a term of three (3) years with a maximum amount payable of \$30,000,000 dollars.

Detailed Report:

The Delta Conveyance (DC) project would deliver water via a single tunnel from the Sacramento River near the northern end of the Sacramento San Joaquin Delta (Delta) to the existing State Water Project (SWP) facilities located about 40 miles away in the southern end of the Delta. The Delta Conveyance Design and Construction Authority ("DCA") will conduct engineering and design activities to support environmental planning, with oversight by the Department of Water Resources' (DWR) dedicated office for the DC project through its Delta Conveyance Office (DCO).

The DCA requires the services of professional consultant(s) to provide geotechnical investigation, testing, and reporting services for the DC project during the permitting and planning phase. The geotechnical consultant will assist the DCA with 1) subsurface exploration, 2) laboratory testing of soil, rock, and water samples, and 3) preparing of Geotechnical Data Reports (GDR).

RFQ 210018 was issued on October 01, 2021 to procure Geotechnical Exploration and Reporting (GER) Services. A total of three (3) statements of qualifications (SOQs) were received. A panel of four (4) members were selected to serve as the Evaluation Committee, consisting of the DCA Executive Director, the DCA Chief Engineer, a consultant Subject Manager Expert in geotechnical engineering and a DCO engineering manager. The SOQs were first reviewed for compliance with the requirements of the RFQ and then the Evaluation Committee reviewed and scored the written SOQs, conducted interviews with a short list of the two (2) highest ranked prospective candidates.

The top scoring RFQ candidate is AECOM. Staff recommends that the DCA Board authorize the Executive Director to negotiate and execute a professional services agreement with the most qualified consultant, AECOM, to provide GER services for a term of three (3) years in a not-to-exceed amount of \$30,000,000 dollars.

During the on-going planning phase of the potential DC project, the Department of Water Resources adopted prepared an Initial Study Mitigated Negative Declaration as modified by that Addendum (collectively, the Soils MND) to consider the environmental effects of the proposed geotechnical investigations and meet the requirements of the California Environmental Quality Act (CEQA). This resolution would make similar findings to ensure the DCA met its obligations as a potential responsible agency under CEQA.

Funding:

The fiscal year 2021-22 budget for Geotechnical Exploration and Reporting services is expected to be approximately \$4.5 million, followed by annual budgets between \$5 to \$10 million depending on available exploration sites and complexity and requirements of the investigation program.

Recommended Action:

Adopt the attached resolution authorizing the Executive Director to negotiate and execute a three-year contract, in a not-to-exceed amount of \$30,000,000 with AECOM for GER Services, and develop a Task Order for fiscal year 2021-22.

Attachments:

Attachment 1 – Resolution

Attachment 2 – Geotech Exploration and Reporting RFQ Presentation

**BOARD OF DIRECTORS OF THE DELTA CONVEYANCE DESIGN AND CONSTRUCTION
AUTHORITY**

RESOLUTION NO. 22-XX

Introduced by Director xxxx

Seconded by Director xxxx

***APPROVING A PROFESSIONAL SERVICES AGREEMENT FOR GEOTECHNICAL
EXPLORATION AND REPORTING SERVICES, MAKING RESPONSIBLE AGENCY
FINDINGS PURSUANT TO THE CALIFORNIA ENVIRONMENTAL QUALITY ACT
REGARDING THE DELTA SOILS INVESTIGATION PROJECT, CONSIDERING A
MITIGATED NEGATIVE DECLARATION AND ADDENDUM, AND ADOPTING A
MITIGATION MONITORING AND REPORTING PROGRAM FOR THE PROJECT***

Whereas, there is a need to procure Geotechnical Exploration and Reporting (GER) Services; and

Whereas, AECOM Technical Services, Inc. (AECOM), was selected as best qualified via an RFQ solicitation followed by evaluation by a scoring panel; and

Whereas, in 2020, pursuant to the California Environmental Quality Act (Pub. Resources Code §§ 21000 et seq.: “CEQA”) and the State CEQA Guidelines (14 C.C.R. §§ 15000 et seq.), an Initial Study and Mitigated Negative Declaration (Soils MND) were prepared by the California Department of Water Resources (DWR) to determine the potential environmental impacts of soils and similar investigations within the Delta (Project), and the MND concluded that the Project would have no potentially significant impacts on the environment with the implementation of mitigation measures specified in the Soils MND and in a Mitigation Monitoring and Reporting Program (MMRP), which was included as an appendix to the Soils MND

Whereas, in 2021, DWR issued an addendum to the Soils MND modifying a number of locations for soils investigations for the Project (Addendum); and

Whereas, the DCA is a “responsible agency” for the Project under State CEQA Guidelines section 15381 because it is not the lead agency for the Project, but it has discretionary approval related to the Project in that it will procure GER Services for some of the soils investigations conducted for the Project; and

Whereas, the Board of Directors, at its agendized public meeting on January 20, 2022, independently reviewed and considered the Soils MND, Addendum, and all other related documents in the record before it; and

Whereas, all of the procedures of CEQA have been met, and the Soils MND and Addendum are sufficiently detailed so that all of the potential effects of the Project on the

environment and measures necessary to substantially lessen such effects have been evaluated in accordance with CEQA; and

Whereas, all of the findings and conclusions made by the DCA pursuant to this Resolution are based upon the oral and written evidence presented to it as a whole and not based solely on the information provided in this Resolution;

Now, therefore, be it resolved that the DCA Board of Directors hereby authorizes the Executive Director to negotiate and execute a professional services agreement with AECOM to provide GER Services, to be directed by the Executive Director and staff, in a not-to-exceed total amount of \$30,000,000; and

Be it further resolved that the DCA Board directs the Executive Director to issue Task Orders as and when needed to direct the progress of work and expenditures, consistent with Board-adopted budgets; and

Be it further resolved that, consistent with State CEQA Guidelines 15096, and as the decision-making body for the DCA, and in the DCA's limited role as a responsible agency under CEQA, the DCA Board of Directors has reviewed and considered the information contained in the Soils MND and Addendum, the MMRP, and all supporting documentation, copies of which are on file at the DCA's office and are incorporated by reference as though set forth fully herein. Based on this review, the Board of Directors finds that, as to those potential environmental impacts within the DCA's powers and authorities as a responsible agency, the Soils MND, Addendum, and supporting environmental documentation contain a complete, objective, and accurate reporting of those potential impacts, and these findings reflect the independent judgment and analysis of the Board of Directors and the DCA.

Be it further resolved that in its limited role as the decision-making body of a responsible agency under CEQA, the Board of Directors finds that (1) the Soils MND, Addendum and MMRP have been completed in compliance with CEQA and the State CEQA Guidelines; (2) the Soils MND and Addendum contain a complete, objective, and accurate reporting of the environmental impacts associated with the Project and reflects the independent judgment of the Board of Directors; (3) all environmental impacts of the Project are either insignificant or can be mitigated to a level of less than significant pursuant to the mitigation measures outlined in the Soils MND, Addendum, and MMRP; (4) no additional feasible mitigation measures within the DCA's authority are necessary to reduce the environmental impacts of the Project to less than significant levels; (5) there is no substantial evidence in the record as a whole supporting a fair argument that the Project may result in potentially significant environmental impacts; and (6) any comments received regarding the Project have been examined and determined to not modify the conclusions of the Soils MND, Addendum and the Board of Directors. The Board of Directors hereby approves and adopts the MMRP prepared for the Project, a copy of which is included as Attachment C to the Addendum.

Be it further resolved that the Board of Directors directs staff to file a Notice of Determination within five (5) working days of adoption of this Resolution. The custodian of record for the documents that are the basis of these findings and resolution is the Clerk of the Board and the documents can be located at 980 9th Street, Suite 2400, Sacramento, CA 95814.

* * * * *

This Resolution was passed and adopted this 20th of January 2022, by the following vote:

Ayes:

Noes:

Absent:

Abstain:

Richard Atwater, Board President

Attest:

Martin Milobar, Secretary



DCA

DELTA CONVEYANCE DESIGN & CONSTRUCTION AUTHORITY

Geotechnical Exploration and Reporting Services

Agenda Item 7d

January 20, 2022

WWW.DCDCA.ORG | WWW.WATER.CA.GOV/DELTA CONVEYANCE

BACKGROUND

- If approved by the Department of Water Resources, the Delta Conveyance (DC) project would deliver water via a single tunnel from the Sacramento River near the northern end of the Sacramento San Joaquin Delta (Delta) to the existing State Water Project (SWP) facilities located about 40 miles away in the southern end of the Delta. The Delta Conveyance Design and Construction Authority (“DCA”) is conducting engineering and design activities to support environmental planning by DWR and under the oversight of DWR dedicated office for the DC project through its Delta Conveyance Office (DCO).
- The DCA requires the services of professional consultant(s) to provide geotechnical investigation, testing, and reporting services for the DC project during the permitting and planning phase. The geotechnical consultant will assist the DCA with 1) subsurface exploration, 2) laboratory testing of soil, rock, and water samples, and preparing of Geotechnical Data Reports (GDR). This work was outlined by DWR in its IS/MND and addendum.

COMPETITIVE PROCUREMENT FOR GEOTECHNICAL EXPLORATION AND REPORTING SERVICES

DCA issued a Request for Qualifications for Geotechnical Exploration and Reporting Services

Schedule:

- RFQ Issued via DCA website and e-Builder: October 1, 2021
- Last day for Respondents to submit questions: October 15, 2021
- Statements of Qualifications Due Date: November 5, 2021
- Evaluations of SOQs: 11/08/2021 - 11/19/2021
- Ranked Proposals and Recommend Short-List: 11/19/2021
- Interviews and Presentations with Short-listed firms:
12/08 and 12/14/2021

COMPETITIVE PROCUREMENT FOR GEOTECHNICAL EXPLORATION AND REPORTING SERVICES

Selection Criteria:

- Firm Qualifications and Experience
- Personnel Performance and Experience
- Technical Understanding
- SBE/DVBE participation
- Cost Evaluation

Statements of Qualifications, 3 firms submitted:

- AECOM Technical Services, Inc. (Sacramento)
- Blackburn Consulting Inc. (Sacramento)
- Fugro Land USA (Sacramento)

SELECTION PROCESS FOR PROCUREMENT OF GEOTECHNICAL EXPLORATION AND REPORTING SERVICES

- DCA staff reviewed the SOQs from each of the Respondents for compliance with the RFQ (Pass/Fail) and all were deemed responsive.
- Staff checked references provided by each Respondent to confirm recommendation and work quality.
- RFQ Evaluation Committee was established. It reviewed the SOQ and met to discuss and score each SOQ.
- The Evaluation Committee compiled the scores, ranked the firms from highest to lowest and selected a short-list of the top two (2) firms.
- The Evaluation Committee conducted an Interview with each of the short-listed firms, scored the Interview and compiled the scores with the SOQ evaluation scores for a total combined score.
- Based on the selection process, the RFQ Evaluation Committee recommends the Board entertain an award to the highest ranked firm: **AECOM Technical Services, Inc.**

PROPOSED CONTRACT FOR PROCUREMENT OF GEOTECHNICAL EXPLORATION AND REPORTING SERVICES

Scope of Services (General Areas):

- Geotechnical Field Exploration and Laboratory Services.
- Cone Penetration Test (CPT) Soundings
- Exploratory Soil Borings (land-based and overwater)
- Installation of Observation Wells and Vibrating Wire Piezometers
- Downhole Geophysical Testing
- Test Trenches
- In-situ Testing and Sampling
- Soil Cutting Disposal and Borehole Abandonment
- Soil and Groundwater Laboratory Tests (geotechnical and environmental)
- Surficial and Airborne Geophysical Surveys
- Geotechnical Reporting
 - Final Geotechnical Data Report

PROPOSED CONTRACT FOR PROCUREMENT OF GEOTECHNICAL EXPLORATION AND REPORTING SERVICES

- Term: 3 years: 1/21/2022 – 1/20/2025
- Proposed Contract Value (NTE): \$30,000,000
- Task Order based Agreement with spending managed via the issuance of Task Orders consistent with Board-adopted Budgets.
- Multiple Task Orders may be issued each year based on exploration needs, planning and access.

GEOTECHNICAL EXPLORATION AND REPORTING SERVICES BUDGET SUMMARY

Fiscal Year 2021/22	\$ 4,500,000
Fiscal Year 2022/23	\$ 10,000,000
Fiscal Year 2023/24	\$ 10,000,000
Fiscal Year 2024/25	\$ 5,500,000

RECOMMENDED ACTION

- Authorize the Executive Director to execute a three-year professional services agreement with AECOM Technical Services, Inc. to provide Geotechnical Exploration and Reporting Services for the contract sum of \$30,000,000 covering the period of performance of January 2022 through January 2025. All spending would be managed via the issuance of Task Orders consistent with board-adopted budgets.
- Authorize the Executive Director to negotiate and sign a FY2021/22 Task Order with AECOM for the period of performance through June 30, 2022
- Make necessary CEQA findings as a responsible agency

General Counsel's Report

Contact: Josh Nelson, General Counsel

Agenda Date: January 20, 2022, Board Meeting

Item No. 8a

Subject: Status Update

Summary:

The General Counsel continues to assist the DCA on legal matters as requested.

Detailed Report:

The General Counsel continues to assist staff as necessary. This has included the Stakeholder Engagement Committee sunset and geotechnical procurement items on this month's agenda. In addition, we continue to closely monitor state and local health guidelines for the COVID-19 pandemic. As a reminder, the Board may continue to meet remotely under AB 360 as long the statewide emergency declaration remains in place, and staff will be scheduling special meetings as necessary to make the thirty-day findings. The next special meeting is tentatively scheduled for February 17th, our regular meeting date. Staff also continues to explore the potential for hybrid meetings. However, the Sacramento County Health Officer recently issued an order precluding in person Brown Act meetings. This order does not have a defined termination date, and we will keep the Board updated on its status.

Lastly and as noted in prior reports, we continue to assist with other legal matters as necessary. These matters are confidential and not appropriate for discussion in a public report.

Recommended Action:

Information, only.

Treasurer's Report

Contact: Katano Kasaine, Treasurer

Date: January 20, 2022

Item No. 8b

Subject: Treasurer's Monthly Report, November/December 2021

Summary:

The beginning cash balance for the Delta Conveyance Design and Construction Joint Powers Authority (Authority) at November 1, 2021 was \$1,279,747. During November and December 2021, receipts totaled \$2,427,818 representing contributions from the Department of Water Resources, Delta Conveyance Office (DCO) for payment of the Authority's obligations. Total disbursements for the two months were \$2,511,555. Nonoperating expense for the return of the DCA stand-up costs was \$800,000. The ending cash balance at December 31, 2021 was \$396,010.

As of December 31, 2021, the Authority's receivables totaled \$1,643,101 consisting of 7 invoices to the DCO.

Balances for prepaid expenses and accounts payable, as of December 31, 2021, were \$135,292 and \$1,637,561, respectively. For the same period, advances totaled \$500,000 and total net position was \$36,842.

Attachment 1 consists of financial statements for the two months ended December 2021, a schedule of Invoices Paid through December 2021, and Aging Schedules for Accounts Payable and Accounts Receivable as of December 31, 2021.

Attachment 2 consists of Budget versus Actuals by Appropriation through December 2021. Year-to-date actual expenses were \$2.0 million lower than budget.

Detailed Report:

See attached statements.

Recommended Action:

Information, only.

Attachments:

Attachment 1 – November/December 2021 Authority Financial Statements

Attachment 2 – November/December 2021 Budget versus Actuals by Appropriation



DELTA CONVEYANCE DESIGN AND CONSTRUCTION JOINT POWERS AUTHORITY

Statement of Net Position

As of December 31, 2021

Assets:

Cash	\$	396,010
Accounts receivable		1,643,101
Prepays		<u>135,292</u>
Total assets	\$	<u><u>2,174,403</u></u>

Liabilities:

Accounts payable	\$	1,637,561
Advance for prepayments		<u>500,000</u>
Total liabilities		2,137,561

Net position:

		<u>36,842</u>
Total liabilities and net position	\$	<u><u>2,174,403</u></u>

**DELTA CONVEYANCE DESIGN AND CONSTRUCTION JOINT POWERS AUTHORITY**

Statements of Cash Receipts and Disbursements

	Nov. 1, 2021 - Dec. 31, 2021	Year to Date Dec. 31, 2021
Receipts:		
Contributions ⁽¹⁾	\$ 2,427,818	\$ 9,203,317
Disbursements:		
Program management office		
Executive office	348,439	795,827
Community engagement	73,909	298,200
Program controls	228,844	552,911
Administration	442,558	1,154,961
Procurement	12,363	12,363
Property	42,380	315,954
Permitting management	74,797	298,946
Program initiation		
Engineering	940,347	3,590,041
Fieldwork	347,918	1,682,831
Total disbursements	2,511,555	8,702,034
Nonoperating expense		
DCA Return of Stand-up Costs	800,000	800,000
Net changes in cash	(883,737)	(298,717)
Cash at July 1, 2021	—	694,727
Cash at November 1, 2021	1,279,747	—
Cash at December 31, 2021	\$ 396,010	\$ 396,010

⁽¹⁾ California Department of Water Resources (DWR) contributions invoiced through the Delta Conveyance Office (DCO).

**DELTA CONVEYANCE DESIGN AND CONSTRUCTION JOINT POWERS AUTHORITY**

Statements of Revenues, Expenses and Changes in Net Position

	Nov. 1, 2021 - Dec. 31, 2021	Year to Date Dec. 31, 2021
Revenues:		
Contributions ⁽¹⁾	\$ 1,990,855	\$ 7,520,676
Expenses:		
Program management office		
Executive office	283,271	829,956
Community engagement	61,470	253,982
Program controls	228,008	664,474
Administration	387,569	1,233,312
Procurement	33,840	46,203
Property	50,993	210,171
Permitting management	62,985	258,538
Program initiation		
Engineering	768,259	2,910,716
Fieldwork	63,140	1,199,872
Total expenses	1,939,535	7,607,224
Nonoperating expense		
DCA Return of Stand-up Costs	800,000	800,000
Changes in net position	(748,680)	(886,548)
Net position at June 30, 2021	—	923,390
Net position at October 31, 2021	785,522	—
Net position at December 31, 2021	\$ 36,842	\$ 36,842

* Balances may include prior month accruals that were not previously captured due to timing.

⁽¹⁾ DWR contributions invoiced through the DCO.



DELTA CONVEYANCE DESIGN AND CONSTRUCTION JOINT POWERS AUTHORITY

Schedule of Invoices Paid
for the Six Months Ended December 31, 2021

Vendor	Invoice #	Invoice Date	Payment Date	Period of Expense	Invoice Amount	Amount Paid
1 Caltronics Business Systems	3276389	06/21/21	07/01/21	05/21/21-06/20/21	\$ 1,796	\$ 1,796
2 Consolidated Communications	06152021	06/15/21	07/01/21	06/15/21-07/14/21	3,097	3,097
3 ARWS	19283	05/05/21	07/07/21	04/01/21-04/30/21	6,329	6,329
4 Bender Rosenthal, Inc.	18250.03-9	04/30/21	07/07/21	03/27/21-04/30/21	21,210	21,210
5 DirectApps Inc. (Direct Technology)	186339	04/30/21	07/07/21	04/01/21-04/30/21	13,854	13,854
6 Jacobs	W8X97003-10	05/17/21	07/07/21	03/26/21-04/30/21	975,478	975,478
7 Liberty Mutual Insurance	BKS61612217-1	06/08/21	07/07/21	07/01/21-06/30/22	10,966	10,966
8 Management Partners	INV09385	05/04/21	07/07/21	04/01/21-04/30/21	44,500	44,500
9 Metropolitan Water District of So. Ca	501762	05/19/21	07/07/21	04/01/21-04/30/21	19,100	19,100
10 RingCentral	CD_000262108	06/21/21	07/07/21	05/28/21-06/27/21	3,829	3,829
11 VMA Communications	DCA21Apr	04/30/21	07/07/21	04/01/21-04/30/21	31,271	31,271
12 Prime US-Park Tower LLC	20210701	07/01/21	07/14/21	07/01/21-07/31/21	94,284	94,284
13 ARWS	19326	06/03/21	07/21/21	05/01/21-05/31/21	9,440	9,440
14 Bank of America	N/A**	07/21/21	07/21/21	07/21/21	482	482
15 Bender Rosenthal, Inc.	18250.03-10	05/31/21	07/21/21	05/01/21-05/28/21	20,516	20,516
16 Best, Best, & Krieger	905963	05/28/21	07/21/21	04/01/21-04/30/21	18,418	18,418
17 Best, Best, & Krieger	903496	04/29/21	07/21/21	03/01/21-03/31/21	41,651	41,651
18 Liberty Mutual Insurance	BKS61612217-2	07/07/21	07/21/21	07/01/20-06/30/21	1	1
19 Management Partners	INV09464	06/01/21	07/21/21	05/01/21-05/31/21	44,500	44,500
20 Parsons	2106A866	06/10/21	07/21/21	05/01/21-05/28/21	235,792	235,792
21 Hamner, Jewell & Associates	200926	05/20/21	07/26/21	04/01/21-04/30/21	14,669	14,669
22 Stakeholder Committee Member	017	07/06/21	07/26/21	06/23/21	3,000	3,000
23 Carahsoft Technology Corp	IN972968	06/14/21	08/02/21	05/06/21-05/06/22	1,449	1,449
24 Bank of America	N/A**	08/02/21	08/02/21	08/02/21	8,306	8,306
25 Hamner, Jewell & Associates	200984	06/18/21	08/09/21	05/01/21-05/31/21	17,026	17,026
26 Gwen Buchholz, Permit Engineer, Inc.	002	06/18/21	08/09/21	05/01/21-05/31/21	19,125	19,125
27 Jacobs	W8X97003-11	06/11/21	08/09/21	05/01/21-05/28/21	750,938	750,938
28 Fugro USA Land, Inc.	04.00172510-12	06/10/21	08/09/21	05/01/21-05/28/21	429,588	429,588
29 AT&T	3650113603	06/19/21	08/09/21	06/19/21-07/18/21	1,979	1,979
30 Prime US-Park Tower LLC	20210801	08/01/21	08/09/21	08/01/21-08/31/21	94,283	94,283
31 Alliant	1688756	07/02/21	08/09/21	07/01/21-07/01/22	26,190	26,190
32 DirectApps Inc. (Direct Technology)	186694B	07/08/21	08/09/21	05/01/21-05/31/21	12,361	12,361
33 Metropolitan Water District of So. Ca	501768	06/16/21	08/09/21	05/01/21-05/31/21	24,399	24,399
34 AT&T	7592383605	07/19/21	08/11/21	07/19/21-08/18/21	1,979	1,979
35 Consolidated Communications	07152021	07/15/21	08/11/21	07/15/21-08/14/21	3,097	3,097
36 RingCentral	CD_000273835	07/21/21	08/11/21	06/28/21-07/27/21	3,844	3,844
37 Caltronics Business Systems	3296982	07/21/21	08/13/21	06/21/21-07/20/21	2,126	2,126
38 Best, Best, & Krieger	908166	06/23/21	08/27/21	05/01/21-05/31/21	16,883	16,883
39 Best, Best, & Krieger	909014	07/04/21	08/27/21	06/01/21-06/30/21	19,692	19,692
40 Spark Street Digital	3025	07/08/21	08/27/21	02/18/21-06/23/21	775	775
41 Gwen Buchholz, Permit Engineer, Inc.	003	07/01/21	08/27/21	06/01/21	28,250	28,250
42 VMA Communications	DCA21June	07/02/21	08/27/21	06/01/21-06/30/21	35,471	35,471
43 Bender Rosenthal, Inc.	18250.03-11	06/30/21	08/27/21	05/29/21-06/30/21	25,827	25,827
44 ARWS	19444	07/02/21	08/27/21	06/01/21-06/30/21	7,133	7,133
45 Parsons	2107B343	07/19/21	09/01/21	05/29/21-06/30/21	264,475	264,475
46 Consolidated Communications	08152021	08/15/21	09/01/21	08/15/21-09/14/21	3,097	3,097
47 RingCentral	CD_000285699	08/21/21	09/01/21	07/28/21-08/27/21	3,830	3,830
48 Jacobs	W8X97003-12	07/15/21	09/07/21	05/01/21-06/30/21	918,005	918,005
49 Management Partners	INV09534	07/01/21	09/07/21	06/01/21-06/20/21	29,875	29,875
50 DirectApps Inc. (Direct Technology)	187057	06/30/21	09/07/21	06/01/21-06/30/21	15,000	15,000
51 VMA Communications	DCA21May	05/31/21	09/07/21	05/01/21-05/31/21	10,346	10,346
52 Hamner, Jewell & Associates	201004	07/13/21	09/07/21	06/01/21-06/30/21	8,802	8,802
53 AT&T	6878914605	08/19/21	09/07/21	08/19/21-09/18/21	1,979	1,979
54 Prime US-Park Tower LLC	20210901	09/01/21	09/07/21	09/01/21-09/30/21	94,371	94,371
55 Caltronics Business Systems	3320635	08/26/21	09/07/21	07/21/21-08/20/21	2,032	2,032
56 Fugro USA Land, Inc.	04.00172510-13	07/12/21	09/29/21	05/29/21-07/02/21	543,428	543,428
57 Parsons	2107C110	07/26/21	10/04/21	05/29/21-06/30/21	2,034	2,034
58 Gwen Buchholz, Permit Engineer, Inc.	004	08/10/21	10/04/21	07/01/21-07/31/21	9,500	9,500
59 Parsons	2108B390	08/11/21	10/04/21	07/01/21-07/30/21	221,424	221,424
60 Management Partners	INV09624	08/04/21	10/04/21	07/01/21-07/31/21	44,500	44,500
61 Audio Visual Innovations, Inc.	1561910	07/29/21	10/04/21	07/01/21-07/31/21	8,540	8,540
62 Metropolitan Water District of So. Ca	501782	07/30/21	10/04/21	06/01/21-06/30/21	20,261	20,261
63 Keogh Multimedia	MK-2021-03	07/21/21	10/04/21	04/01/21-06/30/21	1,300	1,300

* Totals may not foot due to rounding.

**Auto-withdrawal for Bank of America Line of Credit fee.



DELTA CONVEYANCE DESIGN AND CONSTRUCTION JOINT POWERS AUTHORITY

Schedule of Invoices Paid
for the Six Months Ended December 31, 2021
(Continued)

Vendor	Invoice #	Invoice Date	Payment Date	Period of Expense	Invoice Amount	Amount Paid
64 Jacobs	W8X97004-01	08/17/21	10/04/21	07/01/21-07/30/21	542,104	542,104
65 Fugro USA Land, Inc.	04.00172510-14	08/10/21	10/04/21	07/03/21-07/30/21	123,386	123,386
66 DirectApps Inc. (Direct Technology)	187605	07/31/21	10/04/21	07/01/21-07/31/21	11,909	11,909
67 VMA Communications	DCA21July	08/01/21	10/04/21	07/01/21-07/31/21	9,000	9,000
68 ARWS	19508	08/03/21	10/04/21	07/01/21-07/31/21	784	784
57 Bender Rosenthal, Inc.	18250.04-1	07/31/21	10/04/21	07/01/21-07/31/21	7,021	7,021
58 Hamner, Jewell & Associates	201055	08/18/21	10/04/21	07/01/21-07/31/21	1,247	1,247
59 AT&T	9279655604	09/19/21	10/06/21	09/19/21-10/18/21	1,979	1,979
60 Caltronics Business Systems	3340147	09/24/21	10/06/21	08/21/21-09/20/21	2,032	2,032
61 RingCentral	CD_000297744	09/21/21	10/06/21	08/28/21-09/27/21	3,830	3,830
62 Convergent Systems	40373	09/03/21	10/13/21	07/01/20-09/30/20	534	534
63 Convergent Systems	40374	09/03/21	10/13/21	10/01/20-12/31/20	534	534
64 Convergent Systems	40375	09/03/21	10/13/21	01/01/21-03/31/21	534	534
65 Convergent Systems	40376	09/03/21	10/13/21	04/01/21-06/30/21	534	534
66 Convergent Systems	40377	09/03/21	10/13/21	07/01/21-09/30/21	534	534
67 ARWS	19627	09/07/21	10/13/21	08/01/21-08/31/21	634	634
68 Bender Rosenthal, Inc.	18250.04-2	08/31/21	10/13/21	07/31/21-08/27/21	14,242	14,242
57 Gwen Buchholz, Permit Engineer, Inc.	005	09/10/21	10/13/21	08/01/21-08/31/21	11,750	11,750
58 AirTouch Cellular (Verizon)	9886480395	09/24/21	10/13/21	07/18/21-08/17/21	120	120
59 AirTouch Cellular (Verizon)	9888657505	09/24/21	10/13/21	08/18/21-09/17/21	120	120
60 Prime US-Park Tower LLC	20211001	10/01/21	10/13/21	10/01/21-10/31/21	94,371	94,371
61 Stakeholder Committee Member	018	09/23/21	10/18/21	09/22/21	3,250	3,250
62 DirectApps Inc. (Direct Technology)	187996	08/31/21	10/22/21	08/01/21-08/31/21	12,391	12,391
63 DirectApps Inc. (Direct Technology)	187857	08/31/21	10/22/21	06/01/21-06/30/21	186	186
64 Stakeholder Committee Member	017	07/06/21	10/27/21	06/23/21	(250)	(250)
Subtotal July - October *					\$ 6,190,479	\$ 6,190,479
65 Stakeholder Committee Member	17	07/06/21	11/01/21	06/23/21	250	250
66 Consolidated Communications	09152021	09/15/21	11/03/21	09/15/21-10/14/21	3,097	3,097
67 AT&T	7590906607	10/19/21	11/03/21	09/19/21-10/18/21	1,979	1,979
68 Caltronics Business Systems	3359192	10/21/21	11/03/21	09/21/21-10/20/21	1,753	1,753
69 Consolidated Communications	10152021	10/15/21	11/03/21	10/15/21-11/14/21	3,097	3,097
70 RingCentral	CD_000311242	10/21/21	11/03/21	09/28/21-10/27/21	3,830	3,830
71 Hamner, Jewell & Associates	201122	09/10/21	11/05/21	08/01/21-08/31/21	944	944
72 Management Partners	INV09710	09/01/21	11/05/21	08/01/21-08/31/21	44,500	44,500
73 Management Partners	INV09566	07/07/21	11/05/21	06/21/21-06/30/21	14,625	14,625
74 VMA Communications	DCA21Aug	08/31/21	11/05/21	08/01/21-08/31/21	11,500	11,500
75 Convergent Systems	40627	10/05/21	11/05/21	10/01/21-12/31/21	534	534
76 Prime US-Park Tower LLC	20211101	11/01/21	11/05/21	11/01/21-11/30/21	94,371	94,371
77 Jacobs	W8X97004-02	10/12/21	11/17/21	07/31/21-08/27/21	564,146	564,146
78 Gwen Buchholz, Permit Engineer, Inc.	006	10/07/21	11/17/21	09/01/21-09/30/21	19,750	19,750
79 AirTouch Cellular (Verizon)	9884310936	07/17/21	11/17/21	06/18/21-07/17/21	60	60
80 AirTouch Cellular (Verizon)	9890850505	10/17/21	11/17/21	09/18/21-10/17/21	120	120
81 Fugro USA Land, Inc.	04.00172510-15	09/10/21	11/19/21	07/31/21-09/03/21	168,440	168,440
82 Fugro USA Land, Inc.	04.00172510-16	09/29/21	11/19/21	09/04/21-09/29/21	75,234	75,234
83 KPMG	8003896148	11/12/21	11/23/21	08/02/21-11/18/21	25,000	25,000
84 Parsons	2109B118	10/13/21	12/03/21	07/31/21-08/27/21	270,084	270,084
85 Parsons	2110B447	10/08/21	12/03/21	08/28/21-09/24/21	244,562	244,562
86 Metropolitan Water District of So. Ca	501787	09/20/21	12/03/21	07/01/21-07/31/21	15,510	15,510
87 Metropolitan Water District of So. Ca	501791	10/06/21	12/03/21	08/01/21-08/31/21	19,709	19,709
88 Best, Best, & Krieger	913321	08/31/21	12/03/21	07/01/21-07/31/21	15,602	15,602
89 Best, Best, & Krieger	915548	09/22/21	12/03/21	08/01/21-08/31/21	19,154	19,070
90 Metropolitan Water District of So. Ca	501792	10/11/21	12/03/21	09/01/21-09/30/21	21,698	21,698
91 Commuter Industries, Inc.	210041	04/09/21	12/03/21	04/09/21	152	152
92 Commuter Industries, Inc.	210089	08/03/21	12/03/21	08/03/21	478	478
93 Bender Rosenthal, Inc.	18250.04-3	09/30/21	12/08/21	08/28/21-09/24/21	10,961	10,961
94 ARWS	19721	10/05/21	12/08/21	09/01/21-09/30/21	166	166
95 Management Partners	INV09804	10/04/21	12/08/21	09/01/21-09/30/21	44,500	44,500
96 DirectApps Inc. (Direct Technology)	188453	09/30/21	12/08/21	09/01/21-09/30/21	12,083	12,083
97 Jacobs	W8X97004-03	10/07/21	12/08/21	08/24/21-09/30/21	564,011	564,011
98 Hamner, Jewell & Associates	201191	10/07/21	12/08/21	09/01/21-09/30/21	1,551	1,551
99 VMA Communications	DCA21Sept	10/18/21	12/08/21	09/01/21-09/30/21	9,000	9,000
100 AT&T	7754356606	11/19/21	12/08/21	11/19/21-12/18/21	1,979	1,979
101 Caltronics Business Systems	3379770	11/22/21	11/30/21	10/21/21-11/20/21	2,051	2,051

* Totals may not foot due to rounding.



DELTA CONVEYANCE DESIGN AND CONSTRUCTION JOINT POWERS AUTHORITY

Schedule of Invoices Paid
for the Six Months Ended December 31, 2021
(Continued)

Vendor	Invoice #	Invoice Date	Payment Date	Period of Expense	Invoice Amount	Amount Paid
101 Consolidated Communications	11152021	11/15/21	11/30/21	11/15/21-12/14/21	3,097	3,097
102 RingCentral	CD_000323911	11/21/21	11/30/21	10/28/21-11/27/21	3,817	3,817
103 Prime US-Park Tower LLC	20211201	12/01/21	11/30/21	12/01/21-12/31/21	94,371	94,371
104 AirTouch Cellular (Verizon)	9893061045	11/17/21	11/30/21	10/18/21-11/17/21	120	120
105 Best, Best, & Krieger	917801	10/20/21	12/02/21	09/01/21-09/30/21	20,539	20,539
106 Best, Best, & Krieger	919503	11/08/21	12/02/21	10/01/21-10/31/21	15,482	15,482
107 ACWA	20210929	09/29/21	12/08/21	01/01/22-12/31/22	1,447	1,447
108 eBuilder	11519	11/24/21	12/10/21	10/26/21-10/25/22	86,285	86,285
Subtotal November - December *					\$ 2,511,639	\$ 2,511,555
Total July - December*					\$ 8,702,118	\$ 8,702,034

* Totals may not foot due to rounding.

**DELTA CONVEYANCE DESIGN AND CONSTRUCTION JOINT POWERS AUTHORITY**Accounts Payable Aging Schedule
As of December 31, 2021

<u>Payable To:</u>	<u>1 - 30</u>	<u>31 - 60</u>	<u>61 - 90</u>	<u>> 90</u>	<u>Total</u>
AT&T					
Invoice #6618207608	\$ 990	\$ —	\$ —	\$ —	\$ 990
Bender Rosenthal, Inc.					
Invoice #18250.04-4	18,732	—	—	—	18,732
Invoice #18250.04-5	11,998	—	—	—	11,998
Caltronics Business Systems					
Invoice #3399317	1,824	—	—	—	1,824
Consolidated Communications					
Invoice #20211215	1,549	—	—	—	1,549
DirectApps Inc. (Direct Technology)					
Invoice #188820	11,489	—	—	—	11,489
Invoice #189069	16,159	—	—	—	16,159
Gwen Buchholz, Permit Engineer, Inc.					
Invoice #007	12,000	—	—	—	12,000
Invoice #008	9,375	—	—	—	9,375
Hamner, Jewell & Associates					
Invoice #201261	665	—	—	—	665
Invoice #201349	767	—	—	—	767
Jacobs					
Invoice #W8X97004-04	852,160	—	—	—	852,160
Management Partners					
Invoice #INV09898	44,500	—	—	—	44,500
Invoice #INV09991	44,500	—	—	—	44,500
Miles Treaster & Associates					
Invoice #45969	2,473	—	—	—	2,473
Parsons					
Invoice #2111A944	321,382	—	—	—	321,382
Invoice #2112B486	245,981	—	—	—	245,981
Ring Central					
Invoice #CD_000336635	3,817	—	—	—	3,817
VMA Communications					
Invoice #DCA21Oct	24,000	—	—	—	24,000
Invoice #DCA21Nov	13,200	—	—	—	13,200
	\$ 1,637,561	\$ —	\$ —	\$ —	\$ 1,637,561

*Totals may not foot due to rounding.

**DELTA CONVEYANCE DESIGN AND CONSTRUCTION JOINT POWERS AUTHORITY**Accounts Receivable Aging Schedule ⁽¹⁾
As of December 31, 2021

<u>Receivable From:</u>	<u>1 - 30</u>		<u>31 - 60</u>		<u>61 - 90</u>		<u>> 90</u>		<u>Total</u>
Department of Water Resources									
Invoice #DCA-272	\$	321,382	\$	—	\$	—	\$	—	\$ 321,382
Invoice #DCA-273		37,000		—		—		—	37,000
Invoice #DCA-276		852,160		—		—		—	852,160
Invoice #DCA-277		85,370		—		—		—	85,370
Invoice #DCA-278		72,147		—		—		—	72,147
Invoice #DCA-279		245,981		—		—		—	245,981
Invoice #DCA-280		29,061		—		—		—	29,061
	\$	1,643,101	\$	—	\$	—	\$	—	\$ 1,643,101

*Totals may not foot due to rounding.

⁽¹⁾ Approval date by the DCO determines aging classification.

DELTA CONVEYANCE DESIGN AND CONSTRUCTION JOINT POWERS AUTHORITY

Statements of Cash Receipts and Disbursements

	Nov. 1, 2021 - Dec. 31, 2021	Year to Date Dec. 31, 2021
Receipts:		
Contributions ⁽¹⁾	\$ 2,427,818	\$ 9,203,317
Disbursements:		
Program management office		
Executive office	348,439	795,827
Community engagement	73,909	298,200
Program controls	228,844	552,911
Administration	442,558	1,154,961
Procurement	12,363	12,363
Property	42,380	315,954
Permitting management	74,797	298,946
Program initiation		
Engineering	940,347	3,590,041
Fieldwork	347,918	1,682,831
Total disbursements	2,511,555	8,702,034
Nonoperating expense		
DCA Return of Stand-up Costs	800,000	800,000
Net changes in cash	(883,737)	(298,717)
Cash at July 1, 2021	—	694,727
Cash at November 1, 2021	1,279,747	—
Cash at December 31, 2021	\$ 396,010	\$ 396,010

Statements of Revenues, Expenses and Changes in Net Position

	Nov. 1, 2021 - Dec. 31, 2021	Year to Date Dec. 31, 2021
Revenues:		
Contributions ⁽¹⁾	\$ 1,990,855	\$ 7,520,676
Expenses:		
Program management office		
Executive office	283,271	829,956
Community engagement	61,470	253,982
Program controls	228,008	664,474
Administration	387,569	1,233,312
Procurement	33,840	46,203
Property	50,993	210,171
Permitting management	62,985	258,538
Program initiation		
Engineering	768,259	2,910,716
Fieldwork	63,140	1,199,872
Total expenses	1,939,535	7,607,224
Nonoperating expense		
DCA Return of Stand-up Costs	800,000	800,000
Changes in net position	(748,680)	(886,548)
Net position at June 30, 2021	—	923,390
Net position at October 31, 2021	785,522	—
Net position at December 31, 2021	\$ 36,842	\$ 36,842

* Balances may include prior month accruals that were not previously captured due to timing.

⁽¹⁾ California Department of Water Resources (DWR) contributions invoiced through the Delta Conveyance Office (DCO).



DELTA CONVEYANCE DESIGN AND CONSTRUCTION JOINT POWERS AUTHORITY

	Statements of Cash Receipts and Disbursements	Statements of Cash Receipts and Disbursements	Statements of Revenues, Expenses and Changes in Net Position	Statements of Revenues, Expenses and Changes in Net Position
	Nov. 1, 2021 - Dec. 31, 2021	Year to Date Dec. 31, 2021	Nov. 1, 2021 - Dec. 31, 2021	Year to Date Dec. 31, 2021
Receipts/Revenues:				
Contributions ⁽¹⁾	\$ 2,427,818	\$ 9,203,317	\$ 1,990,855	\$ 7,520,676
Disbursements/Expenses:				
Program management office				
Executive office	348,439	795,827	283,271	829,956
Community engagement	73,909	298,200	61,470	253,982
Program controls	228,844	552,911	228,008	664,474
Administration	442,558	1,154,961	387,569	1,233,312
Procurement	12,363	12,363	33,840	46,203
Property	42,380	315,954	50,993	210,171
Permitting management	74,797	298,946	62,985	258,538
Program initiation				
Engineering	940,347	3,590,041	768,259	2,910,716
Fieldwork	347,918	1,682,831	63,140	1,199,872
Total disbursements/expenses	2,511,555	8,702,034	1,939,535	7,607,224
Nonoperating expense				
DCA Return of Stand-up Costs	800,000	800,000	800,000	800,000
Net changes in cash	(883,737)	(298,717)		
Cash at July 1, 2021	—	694,727		
Cash at November 1, 2021	1,279,747	—		
Cash at December 31, 2021	\$ 396,010	\$ 396,010		
Changes in net position			(748,680)	(886,548)
Net position at June 30, 2021			—	923,390
Net position at October 31, 2021			785,522	—
Net position at December 31, 2021			\$ 36,842	\$ 36,842

* Balances may include prior month accruals that were not previously captured due to timing.

⁽¹⁾ DWR contributions invoiced through the DCO.



Delta Conveyance Design and Construction Joint Powers Authority

Budget vs Cost by Appropriation - PTD, YTD

Current Period: NOV-21 & DEC-21

Appropriation	Period-to-Date				Year-to-Date				Fiscal Year
	Actual	Budget	Variance	Variance %	Actual	Budget	Variance	Variance %	Total Budget
Program management office									
Executive office	\$ 283,271	\$ 572,749	\$ 289,478	50.5%	\$ 829,956	\$ 1,442,358	\$ 612,402	42.5%	\$ 3,452,245
Community engagement	61,470	114,037	52,567	46.1%	253,982	300,088	46,106	15.4%	775,305
Program controls	228,008	245,440	17,432	7.1%	664,474	736,320	71,846	9.8%	1,472,640
Administration	387,569	403,097	15,528	3.9%	1,233,312	1,401,756	168,444	12.0%	2,568,238
Procurement	33,840	12,352	(21,488)	-174.0%	46,203	37,056	(9,147)	-24.7%	74,112
Property	50,993	86,047	35,054	40.7%	210,171	258,140	47,969	18.6%	516,280
Permitting management	62,985	93,422	30,437	32.6%	258,538	280,267	21,729	7.8%	560,534
Health and safety	—	3,600	3,600	100.0%	—	10,800	10,800	100.0%	21,600
Quality management	—	2,336	2,336	100.0%	—	7,008	7,008	100.0%	14,016
Program initiation									
Engineering	768,259	1,387,943	619,684	44.6%	2,910,716	3,965,705	1,054,989	26.6%	8,367,456
Fieldwork	63,140	837,977	774,837	92.5%	1,199,872	1,214,501	14,629	1.2%	5,677,574
Total	\$ 1,939,535	\$ 3,759,000	\$ 1,819,465	48.4%	\$ 7,607,224	\$ 9,654,000	\$ 2,046,776	21.2%	\$ 23,500,000



Delta Conveyance Design and Construction Joint Powers Authority

Appropriation - Trend

Current Period: NOV-21 & DEC-21

Appropriation	Period To Date																									
	JUL-21		AUG-21		SEP-21		OCT-21		NOV-21		DEC-21		JAN-22		FEB-22		MAR-22		APR-22		MAY-22		JUN-22		Total	
Program management office																										
Executive office	\$	125,609	\$	127,445	\$	33,329	\$	260,302	\$	174,768	\$	108,503		—		—		—		—		—		—	\$	829,956
Community engagement		84,899		39,704		11,500		56,409		33,000		28,470		—		—		—		—		—		—		253,982
Program controls		119,185		88,437		—		228,844		136,203		91,805		—		—		—		—		—		—		664,474
Administration		220,619		222,146		141,420		261,558		201,761		185,808		—		—		—		—		—		—		1,233,312
Procurement		—		—		—		12,363		14,160		19,680		—		—		—		—		—		—		46,203
Property		67,019		27,401		24,872		39,886		20,283		30,710		—		—		—		—		—		—		210,171
Permitting management		65,373		43,633		11,750		74,797		12,000		50,985		—		—		—		—		—		—		258,538
Health and safety		—		—		—		—		—		—		—		—		—		—		—		—		—
Quality management		—		—		—		—		—		—		—		—		—		—		—		—		—
Program initiation																										
Engineering		720,365		481,745		26,700		913,647		26,700		741,559		—		—		—		—		—		—		2,910,716
Fieldwork		623,458		165,355		168,440		179,479		—		63,140		—		—		—		—		—		—		1,199,872
Total	\$	2,026,527	\$	1,195,866	\$	418,011	\$	2,027,285	\$	618,875	\$	1,320,660		—		—		—		—		—		—	\$	7,607,224

* Totals may not foot/crossfoot due to rounding.

Environmental Manager's Report

Contact: Carolyn Buckman, DWR Environmental Manager

Date: January 20, 2022 Board Meeting

Item No. 8c

Subject: Environmental Manager's Report

Summary:

The Department of Water Resources (DWR) is working through the California Environmental Quality Act (CEQA) process to analyze a single-tunnel solution to modernizing and rehabilitating the State Water Project infrastructure in the Delta.

Detailed Report:

DWR is continuing to develop an Environmental Impact Report (EIR) under CEQA. DWR has identified a range of reasonable alternatives to analyze in the EIR, and current efforts are focused on assessing the alternatives' potential impacts on environmental resources and identifying mitigation measures, if needed. The U.S. Army Corps of Engineers (USACE), as part of its permitting review under the Clean Water Act and Rivers and Harbors Act, has started preparation of an Environmental Impact Statement to comply with the National Environmental Policy Act (NEPA). DWR and USACE are planning to release draft environmental documents for public review in mid-2022.

Field activities under the Initial Study/Mitigated Negative Declaration for Soil Investigations in the Delta (including cone penetration tests, soil borings, and geophysical surveys) are proceeding but have been temporarily paused for the winter. Additionally, DWR and the DCA are continuing work to obtain temporary entry for additional soil surveys on private lands. DWR is also continuing to pursue permits for soil survey sites that fall under the jurisdiction of the Rivers and Harbors Act (Section 408). Investigations at any given site will not occur until property owners have been notified and required permits and approvals for that site have been obtained.

As part of DWR's efforts to work with the community to develop a Community Benefits Program, DWR conducted a case study workshop on November 17. The workshop provided an opportunity to learn about other programs. Three panelists spoke about the insights and lessons learned from implementing other programs and answered public questions. If people missed the meetings, the meeting materials and videos (in English and Spanish) are available on DWR's website: <https://water.ca.gov/Programs/State-Water-Project/Delta-Conveyance/Community-Benefits-Program>.

Recommended Action:

Information only.