

November 18, 2021

Delta Conveyance Design and Construction Authority
Board of Directors

Subject: ***Final Materials for the November 18, 2021 Regular Board Meeting***

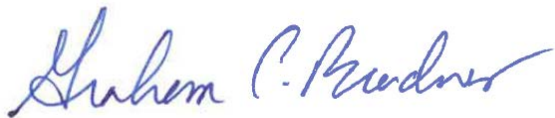
Members of the Board:

The next regular meeting of the Delta Conveyance Design and Construction Authority (DCA) Board of Directors is scheduled for **Today, November 18, 2021 at 2:00p.m.** and will be held completely online via conference line and video through Ring Central (Zoom). The call-in and video information is provided in the attached agenda and a link will also be posted on the dcdca.org website.

Please note that given the current COVID-19 guidelines, the DCA will comply with public health recommendations regarding public meetings and social distancing efforts. Any meeting changes or cancellation will be communicated.

Enclosed are the final materials for the Board meeting in a PDF file, which has been bookmarked for your convenience.

Regards,



Graham Bradner
DCA Interim Executive Director



**DELTA CONVEYANCE DESIGN AND CONSTRUCTION AUTHORITY
BOARD OF DIRECTORS MEETING**

REGULAR MEETING

Thursday, November 18, 2021

2:00 p.m.

Teleconference Meeting Only; No Physical Meeting Location
(Authorized by AB 361 / Government Code Section 54953(e))

Additional information about participating by telephone or via the remote meeting solution is available here: <https://www.dcdca.org>

Conference Access Information:

Phone Number: (916)262-7278 Access Code: 1447831438#

Electronic Meeting Link:

Please join my meeting from your computer, tablet, or smartphone

<https://meetings.ringcentral.com/j/1447831438>

AGENDA

In compliance with Government Code Section 54953(e), the meeting will be held electronically only through the listed meeting link and telephone number. Assistance to those wishing to participate in the meeting in person or remotely will be provided to those requiring accommodations for disabilities in compliance with the Americans with Disabilities Act of 1990. Interested person must request the accommodation as soon as possible in advance of the meeting by contacting the DCA support staff at (888) 853-8486 or info@dcdca.org. Members of the public may speak regarding items on the agenda when recognized by the Chair as set forth below. Speakers are limited to three minutes each; however, the Chair may limit this time when reasonable based on the circumstances. Persons wishing to provide public comment remotely on Agenda Items are encouraged to complete a public comment request form at: <https://tinyurl.com/dcapubliccomment> by 2:15 pm. In addition, members of the public may use the “raise hand” function (*9 if participating by telephone only) during the meeting to request the opportunity to speak. Additional information will be provided at the commencement of the meeting.

1. **CALL TO ORDER**
2. **ROLL CALL**
3. **PLEDGE OF ALLEGIANCE**
4. **PUBLIC COMMENT**

Members of the public may address the Authority on matters that are within the Authority’s jurisdiction whether they are on or off the agenda. Speakers are limited to three minutes each; however, the Chair may limit this time when reasonable based on the circumstances. Persons wishing to speak may do so remotely through the electronic meeting link or teleconference number when recognized by the Chair.

5. APPROVAL OF MINUTES:

- (a) September 16, 2021 Regular Board Meeting

6. CONSENT CALENDAR

Items on the Consent Calendar are considered to be routine by the Board of Directors and will be enacted by one motion and one vote. There will be no separate discussion of these items unless a director so requests, in which event the item will be removed from the Consent Calendar and considered separately.

- (a) Approve Resolution Authorizing Virtual Board and Committee Meetings Pursuant to AB 361.

7. DISCUSSION ITEMS

- (a) DCA FY 2020/2021 Audit Report
Recommended Action: Information Only
- (b) November DCA Monthly Report
Recommended Action: Information Only
- (c) Approval of Second Amendment to Management Partners Agreement for Executive Director Services
Recommended Action: Adopt Resolution
- (d) Sr. Leadership Spotlight – Ruth Douzinas, Project Controls Manager
Recommended Action: Information Only
- (e) Stakeholder Engagement Committee Update
Recommended Action: Information Only

8. STAFF REPORTS AND ANNOUNCEMENTS

- (a) General Counsel's Report
- (b) Treasurer's Report
- (c) DWR Environmental Manager's Report
- (d) Verbal Reports, if any

9. FUTURE AGENDA ITEMS

10. ADJOURNMENT

* * * * *

Next scheduled meeting: January 20, 2022 Regular Board Meeting at 2:00 p.m. (1:30 p.m. if there is a closed session) and will be held at the DCA Board Room, Park Tower, 980 9th Street, Suite 100, Sacramento, CA 95814 (subject to change)

BOARD OF DIRECTORS MEETING

MINUTES

REGULAR MEETING

Thursday, September 16, 2021

1:30 PM

(Paragraph numbers coincide with agenda item numbers)

1. CALL TO ORDER

The regular meeting of the Delta Conveyance Design and Construction Authority (DCA) Board of Directors was called to order remotely - Conference Access Information: Phone Number: (916)262-7278, code:1449447998#, <https://meetings.ringcentral.com/j/1449447998> at 1:30pm.

2. ROLL CALL

Board members in attendance were Richard Atwater, Martin Milobar, Sarah Palmer, Gary Martin, Robert Cheng, Adnan Anabtawi and Alternate Director Barbara Keegan sitting in for Director Tony Estremera, constituting a quorum of the Board.

DCA staff members in attendance were Graham Bradner, Joshua Nelson, and Nazli Parvizi.

Department of Water Resources (DWR) staff member in attendance was Carrie Buckman.

3. CLOSES SESSION

(a) Conference With Labor Negotiators

Agency designated representatives: Richard Atwater, Shane Chapman

Unrepresented contractor: Executive Director

Mr. Nelson reported there were no reportable actions from closed session.

4. PLEDGE OF ALLEGIANCE

President Richard Atwater convened the open session at approximately 2:00 p.m. and led all present in reciting the Pledge of Allegiance.

5. PUBLIC COMMENT

President Atwater opened Public Comment, there was one public comment request received from Mr. Dan Whaley; however, Mr. Whaley was not on the call during this agenda item. President Atwater asked that we move forward with the agenda and could take Mr. Whaley's comment when he joins the call. No other public comment requests were received. President Atwater closed Public Comment.

6. APPROVAL OF MINUTES: July 15, 2021, Regular Board Meeting

Recommendation: Approve the July 15, 2021, Regular Board Meeting

Motion to Approve Minutes from July 15, 2021, as

Noted: Palmer
Second: Martin
Yeas: Atwater, Milobar, Palmer, Martin, Cheng, Anabtawi
Nays: None
Abstains: Keegan
Recusals: None
Absent: None
Summary: 6 Yeas; 0 Nays; 1 Abstain; 0 Absent. (Motion passed as MO 21-09-01).

7. CONSENT CALENDAR

None.

8. DISCUSSION ITEMS:

a) September DCA Monthly Report

Informational Item

Mr. Bradner walked through some key points in the September monthly report. Starting on the Section 1: Work Performed page, Mr. Bradner highlighted that we added a program sequence at the bottom of the report to provide some context with regards to where we are in the overall sequence of activities. We are currently in the planning and permitting step and part way through the project definition phase of CEQA and will continue to update and reference the sequence as we step through the program. The engineering team continues to work closely with the Delta Conveyance Office (DCO) on responding to request for information (RFI), clarifications on the engineering and technical backup, updating the engineering project reports (EPRs) and continuing to develop various technical studies all in support of the preparation of the Environmental Impact Report (EIR).

Mr. Brader went on to mention that we are also very engaged in a range of outreach efforts which will be covered further in the meeting agenda.

Moving on to the budget summary in Section 3, the revised budget of \$23.5M is based on the July approval from the Board for the \$2M underspend that was rolled over from FY 20/21. We have committed approximately \$17M and have about \$6.5M in reserve.

In Section 5, Mr. Bradner highlighted the Field section of the program schedule, working on a relatively small investigative and testing program this fall as we continue to collect subsurface data and confirm assumption for conceptual design. These are locations we currently have temporary entry permits (TEPs). The court order entry process continues to move at a slow pace due to court backlogs. DCA is optimistic that we will have access to more locations for a more comprehensive and robust investigation program in the spring and summer of 2022.

President Atwater confirmed that the Board Members are comfortable with the amount of information they are receiving in the monthly report. There were no questions or comments on the Monthly Board Report.

b) **Sr. Leadership Spotlight – Karen Askeland, DCA Environmental Liaison**

Informational Item

Mr. Bradner briefly introduced DCA’s Environmental Liaison, Karen Askeland. She has a key role especially given where we are in the environmental process. Karen is really a critical link between the engineering and environmental teams. She helps keep the teams connected ensuring priorities are clearly understood and needs are being met.

Ms. Askeland provided an overview of her education and career background, her role with the DCA, and her current priorities. She has a bachelor’s degree in Environmental Science and Management from UC Davis with an emphasis on watershed science and minor in soil science. She also obtained a Master of Environmental Science and Management from UC Santa Barbara’s Bren School. She focused on watershed sciences with an emphasis on market-based approaches to water resources management. This was an interdisciplinary program that focused on the natural sciences, statistics, economics, law, policy, and business. Instead of an individual master’s thesis, she was part of a group project where they had a real client and worked on real issues. Ms. Askeland’s thesis was to develop an operational plan on a potential groundwater mitigation bank or exchange in the Gallatin Valley in Montana. This experience really prepared her for a career in consulting.

Prior to going to grad school, Ms. Askeland worked in communications and outreach for an environmental nonprofit organization, Environmental Defense Fund. She worked on wildlife conservation issues, specifically on a project that paid landowners to provide ecosystem services, such as wildlife habitat. She mentioned this was a great experience and got to work on a project she was passionate about. She learned a lot about effective communication and outreach amongst diverse groups of stakeholders.

She started working for CH2M Hill right after grad school, which is now Jacobs Engineering and continues to be part of their team. Ms. Askeland has worked on a variety of water resource projects including several climate change resiliency projects, such as City of San Francisco’s sea level rise vulnerability and consequences assessment. She also worked with other Bay Area counties or water agencies on resiliency projects, including projects on flood risk reduction. Additionally, she worked on environmental permitting for the City of San Mateo’s clean water program.

Ms. Askeland joined the DCA in summer of 2019. In her current role, she serves as that connection between the DCA engineering team and DWR’s environmental team. She facilitates the transfer of engineering information to DWR for use in the environmental documents, analyses, and permit applications. She provides input to DCA’s engineering and field work team related to environmental considerations or permitting requirements. Additionally, she is DCA’s environmental lead for field work by coordinating biological and cultural monitors for the soil investigations program. Ms. Askeland also provides information to DWR for environmental analysis and other documentation. Additionally, she works on developing materials for the Stakeholder Engagement Committee meetings.

Ms. Askeland's current priorities are working with the DCA engineering team on the project engineering reports and summarizing engineering design information that will be given to the DWR Environmental team, which provide technical information to be included in different analyses. She helps write the narrative summaries and worked on technical memorandums.

Additionally, she works with the GIS team when pulling in design drawing and information into GIS for use by the DCA and DWR. Another priority is to review information in DWR's environmental analysis and responding to information requests.

President Atwater expressed his appreciation for all of Ms. Askeland's work, background, and professionalism.

Director Anabtawi expressed how nice it is to have the Spotlights and see the team. He mentioned that Ms. Askeland is super qualified and exceptional person working as part of a large and complex team. Kudos to all the work that has gone in to finding great people to work on this complicated project. He went on to mention that Karen's background is fantastic.

Director Palmer mentioned that it is fabulous to hear about Ms. Askeland's background and what she is doing. Ms. Palmer is a mentor to high school students and would like to invite Ms. Askeland to speak at a round table discussion.

Director Cheng thanked Ms. Askeland for her background.

Director Martin thanked Ms. Askeland for her presentation and echoed Director Anabtawi's comments. He mentioned that the DCA is fortunate to have her as part of the team: such a young person to have such a broad background in education and work experience; and appreciated the presentation.

Director Milobar welcomed Ms. Askeland and is sure that she will do a great job and will help to get the permits. Looks forward to all the good work she will be involved in.

President Atwater again thanked Ms. Askeland for her work and presentation.

c) Stakeholder Outreach Efforts

Informational Item

Ms. Parvizi introduced Julie Spezia, Delta Liaison now working with the DCA Communications Team. She will be helping with community outreach, is a Delta expert and is involved in any number of organizations in the Delta.

Ms. Parvizi mentioned that the DCA decided that as SEC work winds down and as we head towards the EIR, to spend time going back to some of the communities and newer communities to go over materials that have been presented. This is a really complicated project from a design and engineering perspective. There is a lot of misinformation and there are folks that have not had time to participate in public meetings.

Ms. Parvizi further explained that based on recommendations from the SEC and other folks that have reached out to us, we have organized small meetings. The purpose of the meetings are not to change anyone's hearts and minds; however, to provide facts about the project, specific to these communities and neighborhoods. We talk about traffic, facility siting, construction schedules and get feedback from people that live nearby and will be affected by the project. We have had a few meetings and will continue to have them over the next few months, as well as make information accessible to folks.

Ms. Spezia mentioned that your local librarian is a great community resource. She expressed that we were missing out on an asset in the community that could get the most accurate information out to people. She mentioned that people in the SEC expressed concern that not everyone is computer savvy or able to logon online. Taking a proactive approach to address the concern, we thought why not put materials out in the libraries and have them available and get them updated quarterly. The team looked at the libraries that the DCA have been working with, there are five (5) libraries that are in the heart of the Delta in the traditional Legacy Communities and realized it wasn't adequate. Depending on the alignment chosen by DWR, it will go quite a bit outside the area that those five (5) libraries cover.

Ms. Spezia worked with the library managers and developed a list of 20 libraries that they thought would serve the people we are trying to reach. A binder was developed and includes all materials that can be printed, plus a flash drive with all videos and materials, to make a complete set of everything. It includes DWR documents, tribal engagement, EIR process, and all items we have produced at the DCA. There is also a map book available. All of the materials will be updated on a quarterly basis, and we will keep refreshing the thumb drives as more videos are produced. Also, if/when the EIR does come out we will help DWR get materials out to the libraries.

Ms. Spezia mentioned that we are also exploring a training session with the Sacramento librarians and have received positive responses. As previously mentioned, the librarians see it as their mission to get information out to people when they are looking for it.

Ms. Parvizi, reminded the group that the next SEC meeting is set for September 22, 2021, from 3:00 p.m. to 6:00 p.m.

Ms. Spezia mentioned that virtual tours are now available in Cantonese and Spanish on the DCA website.

Ms. Parvizi went on to explain that virtual tours are a great way to show where the proposed facilities are and allows folks to get an in-depth view of the proposed project.

President Atwater thanked Ms. Parvizi and Ms. Spezia for their efforts and enthusiasm.

Director Cheng asked about participation and attendance of the small community briefings.

Ms. Parvizi mentioned that they are purposefully meant to be small meetings and are requested by a few people, usually between five (5) and 15 people. It is really just neighbors spreading the word about the meetings. There is nothing like living in the neighborhood, the feedback and concerns that folks bring up at the briefings is great, otherwise we may not be aware of them. It

is incredibly helpful to have these smaller meetings. It is really surprising how little is known about the proposed project and the misinformation as well.

d) **SEC Report Out – David Gloski, At Large Contra Costa Representative**

Ms. Parvizi introduces Mr. David Gloski, SEC member representing Contra Costa at Large. Mr. Gloski has been a homeowner in Bethel Island for 22 years, make a lot of use of the water, boating, skiing, fishing, and just hanging out. He is an engineer by training both nuclear and mechanical, and focuses on flows, energy, and environmental issues in his career. He is mostly retired now. He ended up the last half of his career working on environmental software and helping large companies manage environmental data.

Mr. Gloski mentioned that he is active in the area, with DWR on the initial false river barrier and some of the flows that they are seeing. He is also involved in the Franks Tract futures project, which they are currently looking for funding.

Mr. Gloski went on to discuss his tenure on the SEC. He mentioned that he addressed the DCA Board in 2020 and his primary message was to try to be a good neighbor and show empathy for the people in the communities out in the Delta. He has always pushed for a discussion on community benefits and is happy to see how that discussion is progressing with the Delta Fund.

One of the things that he has been talking about since last year, and will continue until he gets some resolution himself, is he believes that beyond the financial benefits, there is an opportunity for this project to deliver more solid physical benefits. One is the ability to deliver fresh water to the South Delta to address algae blooms, water quality and stagnant water. He thinks there is an opportunity to bring positive benefits there in this design and to improve emergency response to levee breaks, terrorism, and climate change. Some of the people he has spoken to about have mentioned that the initial Peripheral Canal Design of the 70's had some of this concept built in.

The second one is he strongly believes that there should be redundancy between any new pumping station and the current Banks Pumping station. It is better economics, it can help water quality, emergency response, all kinds of things, DWR, the people in the area, exporters, and everybody benefits. He is really surprised there isn't any traction in looking at this and having complete operational redundancy between those two pumping stations.

Mr. Gloski provided an excerpt and table from the Peripheral Canal Design Environmental Impact Report (EIR) from the 70's. The table shows how the original design of the 70's planned to have 6300 cfs available to push into the Delta to help with fresh water and quality. There are still ways to have the new design have available capabilities to support this type of concept when it is needed. There is a reason why they had this design in the original plan of the 70's. It would be interesting to hear about the work—that knowledge should be recovered to affect the new design.

He attended half a dozen meetings arranged by the DCA staff. A lot of the driver was to get to people that could set him straight; however, it did the opposite and supported his feelings. He asked the board to get informed about what he presented and mentioned that he could participate in a discussion should the Board like for him to participate.

Mr. Gloski mentioned that he learned about emergency response and met people that handle emergency operations plans for the Delta. He stated that in the event of a levee break or terrorism there would be high salinity in the South Delta and having the ability to put fresh water in would be a great tool to have and would save a lot of time and recovery. These design changes will cost time and money, but they are really smart. He believes that if anybody, like an organization or a project as such, are going to be a good neighbor and partner in the Delta, then you are going to think of good things you can do, not just financially but operationally.

He has spoken to a lot of people and would like to convey that he has not seen an organization that represents the Delta as a whole. Most of the organizations have their own charter and swim lane. He is not sure of any organizations that have a charter to raise new ideas or things, such as what he presented, could actually be put on the table, or looked in to. He has not seen an organization that could advocate on that behalf. That is not resolvable by the DCA, which has their own charter and swim lane. It is unfortunate that there is not a member of the Board who lives and works in the Delta and cares about it and has that voice.

Director Palmer provided a comment regarding Mr. Gloski's suggestions. She mentioned that in terms of if one were to put something like this in, it is not a Peripheral Canal, it is a different physical entity in terms of something that is 150 feet down to pull water up to put water in the South Delta. She understands his rationale and it makes sense but thinks the cost would be astronomical. She asked what the realities are now with climate change in terms of environmental issues for doing something like he proposes?

Mr. Gloski responded to Director Palmer's inquiry. He believes it could be a tool used to fight off issues of climate change which will result in higher waters and more problems with salinity; and this would be a tool to hold it off. In terms of cost, he doesn't think it will be a huge cost based on the size of the project. One of the initial designs of the Southern Forebay was a spill over into Indian Slough which was a crude form of taking water that is fresh and putting in the South Delta. Having a design that handles that a little bit cleaner, not just an overflow into Indian Slough, would be good. As well as having a way to move water from underground in the Bethany Alternative over into the forebay. Everything should be connected to have complete operational flexibility.

Ms. Buckman wanted to echo Ms. Parvizi's comments about Mr. Gloski's regarding his creative ideas and interesting things to try and add and contribute to the project. It is helpful to have that push and pull in terms of looking at creative ideas and out of the box things. Regarding the design suggestions, the ability to add fresh water, there are two pieces to that. One is the Peripheral Canal along the edges into the Delta tributaries and the second is at the southern forebay. At the southern forebay, this is something that could be included in the community benefits program however not as part directly of the Delta Conveyance Project (DCP) because it is not what the project is trying to accomplish. The purpose and objectives are to improve reliability of the State Water Project (SWP) infrastructure in the face of the many challenges that are coming.

Ms. Buckman added that it was helpful to meet with the emergency response team, they have a system that whenever there is an emergency action, like a levee break, they have a model that looks at different tools they have available and how to respond to that emergency action. They did acknowledge that having a connection to the Southern Forebay would be another tool in the toolbox that would be beneficial, however only in a small area close to the forebay. It is all

something we can consider in the community benefits program. Regarding the Peripheral Canal, putting water into the tributaries on the west side of the Delta, that was a different kind of action. The Peripheral Canal, as mentioned, had a much higher capacity 20,000 cfs it was at the ground surface, it was fairly easy to have a connection in to a stream or water way. It is different now that we are looking at a facility that is 150 ft below ground. Have concerns about putting water in the west side due to migrating fish.

9. STAFF REPORTS AND ANNOUNCEMENTS:

a. General Counsel's Report

A written report was provided in the Board package. Mr. Nelson highlighted the future of remote only meetings; the executive order will expire at the end of the month. While it is possible that the order may be extended, the Governor may also sign the AB361 emergency legislation which was passed at the end of the legislative cycle. This would allow local agencies to meet in a remote only format during state emergency when social distancing is recommended or meeting in person would present imminent risks to health or safety of attendees. There are some procedural requirements, agencies would need to make findings every 30 days to support remote meetings. We are waiting for the Governor to take action. Staff is working to accommodate future hybrid meetings in the Board room.

b. Treasurer's Report

Three written reports were provided in the Board package. Ms. Katano provided an overview of the total disbursements and ending cash balance of \$1,943,223.00. The DCA intends to refund seed money and provide \$500K to prepay bills such as the lease and items that can't wait for reimbursement.

c. DWR Environmental Manager's Report

A written report was provided in the Board package. Ms. Buckman mentioned that they are continuing to develop impact analysis and mitigation measures towards a draft EIR next summer. There is a technical webinar to talk about environmental justice and how DWR is approaching impacts. Field investigations that are a part of the soil investigations in the Delta, are starting up next week.

d. Verbal Reports

Ms. Palmer mentioned it was interesting to hear about the library project and really letting people know about the project and how many people do not know about the project. She finds this is true outside of the Delta as well and this is still people that will be affected by it. We have to reach more folks, engage our various agencies for output and to do outreach. Ms. Palmer will be giving a presentation to the Dublin Rotary in October. She mentioned that we need to get the word out on what we are planning, get the word out on why and educate more.

10. FUTURE AGENDA ITEMS:

None.

11. ADJOURNMENT:

President Atwater adjourned the meeting at 2:56p.m., remotely - Conference Access Information:
Phone Number: (916)262-7278, code:1449447998#
<https://meetings.ringcentral.com/j/1449447998>

Board Memo

Contacts: Josh Nelson, General Counsel

Date: November 18, 2021 Board Meeting

Item No. 6a

Subject:

Consider Passing Resolution Authorizing Virtual Board and Committee Meetings Pursuant to AB 361.

General:

Starting in March 2020 in response to the spread of COVID-19 in the State, the Governor issued several executive orders aimed at containing the novel coronavirus which, among other things, waived certain requirements of the Brown Act to allow legislative bodies to meet virtually. Pursuant to the Governor's executive orders, the DCA has been holding remote-only meetings during the pandemic in the interest of protecting the health and safety of the public, staff, and Directors. The Governor's executive order allowing for such virtual meetings expired on September 30, 2021.

On September 16, 2021, the Governor signed AB 361, which allows legislative bodies to meet virtually provided there is a state of emergency, and either (1) state or local officials have imposed or recommended measures to promote social distancing or (2) the legislative body determines by majority vote that meeting in person would present imminent risks to the health and safety of attendees. AB 361 took effect October 1st as an emergency bill. As a result, if DCA desires to have virtual Board meetings going forward, it must do so consistent with the requirements of AB 361.

AB 361 preserves many of the provisions of the earlier executive orders, while also adding new requirements to the management of remote and teleconference public meetings in order to better achieve the levels of transparency that the Brown Act demands. Specifically, AB 361 imposes two new rules on remote public meetings:

1. Local governments and agencies hosting teleconference meetings in lieu of traditional in-person public meetings must permit direct public comment during the teleconference and must leave open the opportunity for public comment until the comment period for a given item is closed during the ordinary course of the meeting. The opportunity to make public comment must be of a sufficient duration to ensure actual public participation.

2. Any action by the governing body during a public teleconference meeting must occur while the agency is actively and successfully broadcasting to members of the public through a call-in option or an internet-based service option. If a technical disruption within the agency's control prevents members of the public from either viewing the meeting of the public agency or prevents members of the public from offering public comment, the agency must cease all action on the meeting agenda until the disruption ends and the broadcast is restored. Action taken during an agency-caused disruption may be challenged as a violation of the Brown Act.

In order to continue to qualify for AB 361's waiver of in-person meeting requirements, the Board must, within thirty days of its first meeting under AB 361, and every thirty days thereafter, make findings that (a) state or local officials continue to recommend measures to promote social distancing, or that (b) an in-person meeting would constitute an imminent risk to the safety of attendees. The findings need not be in the form of a resolution, but a resolution is helpful in formalizing these findings.

Because the Board generally meets once every other month, more than thirty days will elapse between consecutive meetings. AB 361 is silent as to whether special meetings are required on a more frequent basis to keep up with the thirty-day renewal of findings requirement, although scheduling such meetings would ensure strict compliance. Alternatively, if the Board does not meet within thirty days after its prior meeting, the Board could make its renewed findings at the beginning of its next meeting prior to any other action or discussion. In an abundance of caution to strictly comply with AB 361, staff will be scheduling special meetings as necessary to ensure the Board's findings do not lapse. These meetings will be very short (i.e., 5-10 minutes) and scheduled when convenient for the Board.

Lastly, it is important to note that AB 361 is optional. If the Board wishes, it may meet in person. In addition, hybrid meetings are permissible. Staff is working to upgrade the audio-visual equipment at the Board Chambers to better accommodate hybrid meetings and hopes to finish this work by early 2022.

Recommended Action:

Adopt the attached Resolution authorizing the Board President to execute the enclosed First Amendment to the Management Partners Agreement.

Attachments:

Resolution 21-XX

BOARD OF DIRECTORS OF THE DELTA CONVEYANCE DESIGN AND CONSTRUCTION AUTHORITY
RESOLUTION NO. 21-XX

Introduced by Director XXXX

Seconded by Director XXXX

AUTHORIZING VIRTUAL BOARD AND COMMITTEE MEETINGS PURSUANT TO AB 361

Whereas, the Delta Conveyance Design and Construction Authority (DCA) is committed to preserving and nurturing public access and participation in meetings of the Board of Directors; and

Whereas, all meetings of DCA's legislative bodies are open and public, as required by the Ralph M. Brown Act (Cal. Gov. Code 54950 – 54963), so that any member of the public may attend and participate in DCA's meetings; and

Whereas, starting in March 2020, in response to the spread of COVID-19 in the State of California, the Governor issued several executive orders aimed at containing the COVID-19 virus; and

Whereas, among other things, these orders waived certain requirements of the Brown Act to allow legislative bodies to meet virtually; and

Whereas, pursuant to the Governor's executive orders, DCA has been holding virtual meetings during the pandemic in the interest of protecting the health and safety of the public, staff, and Directors; and

Whereas, the Governor's executive order related to the suspension of certain provisions of the Brown Act expired on September 30, 2021; and

Whereas, on September 16, 2021 the Governor signed AB 361 (in effect as of October 1, 2021 – Government Code Section 54953(e)), which allows legislative bodies to meet virtually provided there is a state of emergency, and either (1) state or local officials have imposed or recommended measures to promote social distancing; or (2) the legislative body determines by majority vote that meeting in person would present imminent risks to the health and safety of attendees; and

Whereas, such conditions now exist in the DCA, specifically, a state of emergency has been proclaimed related to COVID-19, Sacramento County officials are imposing and recommending measures to promote social distancing, and because of the ongoing threat of COVID-19, meeting in person would present imminent risks to the health and safety of attendees;

Now, therefore, be it resolved by the DCA Board of Directors as follows:

Section 1. Recitals. The Recitals set forth above are true and correct and are incorporated into this Resolution by this reference.

Section 2. Remote Teleconference Meetings. Consistent with the provisions of Government Code Section 54953(e), the Board of Directors finds and determines that (1) a state of emergency related to COVID-19 is currently in effect; (2) local officials in Sacramento County have imposed or recommended measures to promote social distancing in connection with COVID-19, including indoor mask requirements; and (3) due to the COVID-19 emergency, meeting in person would present imminent risks to the health and safety of attendees. Based on such facts, findings and determinations, the Board authorizes staff to conduct remote teleconference meetings of the Board of Directors, the Stakeholder Engagement Committee and all other DCA legislative bodies, under the provisions of Government Code Section 54953(e).

Section 3. Effective Date of Resolution. This Resolution shall take effect upon its adoption, and shall be effective for 30 days or until this Resolution is extended by a majority vote of the Board of Directors in accordance with Section 4 of this Resolution.

Section 4. Extension by Motion. The Board of Directors may extend the application of this Resolution by motion and majority vote by up to thirty days at a time, provided that it makes all necessary findings consistent with and pursuant to the requirements of Section 54953(e)(3).

* * * * *

This Resolution was passed and adopted this 18th day of November, 2021, by the following vote:

Ayes:

Noes:

Absent:

Abstain:

Attest:

Richard Atwater, Board President

Martin Milobar, Board Secretary

Board Memo

Contacts: Graham Bradner, Executive Director
Josh Nelson, General Counsel

Date: November 18, 2021 Board Meeting

Item No. 7a

Subject:
Fiscal Year 2020-21 Audit Report.

General:

The DCA's auditors have completed their draft Fiscal Year 2020-21 Audit Report. This report will be presented to the Board for review. The draft audit and presentation are enclosed.

Recommended Action:

Receive the DCA's Fiscal Year 2020-21 Audit.

Attachments:

Attachment 1 – Statements of Cash Receipts and Disbursements Report

Attachment 2 – KPMG Audit Report-out Presentation



**DELTA CONVEYANCE DESIGN AND CONSTRUCTION
JOINT POWERS AUTHORITY**

Statements of Cash Receipts and Disbursements
(Cash Basis of Accounting)

Years Ended June 30, 2021 and 2020

(With Independent Auditors' Report Thereon)

**DELTA CONVEYANCE DESIGN AND CONSTRUCTION
JOINT POWERS AUTHORITY**

June 30, 2021 and 2020

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KPMG LLP
Suite 1500
550 South Hope Street
Los Angeles, CA 90071-2629

Independent Auditors' Report

The Members of the
Delta Conveyance Design and Construction Joint Powers Authority:

Report on the Financial Statements

We have audited the accompanying statements of cash receipts and disbursements of the Delta Conveyance Design and Construction Joint Powers Authority for the years ended June 30, 2021 and 2020, and the related notes to the statements of cash receipts and disbursements (the financial statements).

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with the cash basis of accounting described in Note 1; this includes determining that the cash basis of accounting is an acceptable basis for the preparation of the financial statements in the circumstances. Management is also responsible for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express an opinion on these financial statements based on our audits. We conducted our audits in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditors' judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinion

In our opinion, the financial statements referred to above present fairly, in all material respects, the cash receipts and disbursements of the Delta Conveyance Design and Construction Joint Powers Authority for the years ended June 30, 2021 and 2020, in accordance with the cash basis of accounting described in Note 1.



Emphasis of Other Matter

Basis of Accounting

We draw attention to Note 1 of the financial statements, which describes the basis of accounting. The financial statements are prepared on the cash basis of accounting, which is a basis of accounting other than U.S. generally accepted accounting principles. Our opinion is not modified with respect to this matter.

Supplementary Information

Our audit was conducted for the purpose of forming an opinion on the financial statements. The supplementary information is presented for purposes of additional analysis and is not a required part of the financial statements. The supplementary information has not been subjected to the auditing procedures applied in the audit of the financial statements, and accordingly, we do not express an opinion or provide any assurance on it.

KPMG LLP

Los Angeles, California
November 11, 2021

**DELTA CONVEYANCE DESIGN AND CONSTRUCTION
JOINT POWERS AUTHORITY**

Statements of Cash Receipts and Disbursements
(Cash Basis of Accounting)

For the years ended June 30, 2021 and 2020

	<u>2021</u>	<u>2020</u>
Receipts:		
Contributions	\$ 32,383,371	28,367,685
Disbursements:		
Environmental planning and design:		
Program management	2,353,193	3,849,865
Stakeholder engagement	1,172,888	1,759,139
Project controls	2,691,129	3,174,280
Office administration	3,779,855	4,793,259
Property access and acquisition	557,895	215,015
Permitting management	798,706	—
Health and safety	11,700	—
Quality management	5,621	—
Engineering	17,414,965	13,209,277
Fieldwork	3,625,932	1,439,197
Total disbursements	<u>32,411,884</u>	<u>28,440,032</u>
Net change in cash	(28,513)	(72,347)
Cash at beginning of year	<u>723,240</u>	<u>795,587</u>
Cash at end of year	\$ <u><u>694,727</u></u>	<u><u>723,240</u></u>

See accompanying notes to statements of cash receipts and disbursements.

**DELTA CONVEYANCE DESIGN AND CONSTRUCTION
JOINT POWERS AUTHORITY**

Notes to Statements of Cash Receipts and Disbursements

Years ended June 30, 2021 and 2020

(1) Reporting Entity and Summary of Significant Accounting Policies

The Delta Conveyance Design and Construction Joint Powers Authority (the Authority) is a separate governmental entity composed of participating State Water Project Contractors (SWC) formed on May 14, 2018. The Authority's original purpose under the Amended and Restated Joint Exercise of Powers Agreement (JEPA) was to assist the California Department of Water Resources (DWR) in the design and construction of a new Delta water conveyance facilities, also known as California WaterFix (CWF), to be owned and operated by DWR. The CWF would convey water from the Sacramento River north of the Delta directly to the existing State Water Project (SWP) and Central Valley Project (CVP) pumping plants located in the south Delta. The purposes of the CWF were to make physical and operational improvements to the SWP and the CVP necessary to protect and maintain the Sacramento-San Joaquin Delta (Delta) ecosystem health, maintain water quality, and restore and protect water supplies for the more than 25 million people and 2 million acres of highly productive farm land that currently depend upon the water conveyed through the Delta. With the State's new water policy for California and the withdrawal of CWF, the Authority's purpose was changed to provide services to DWR in support of the new planning and environmental work during the Planning Phase as stated on Amendment No. 1 of the JEPA for a potential Delta Conveyance Project.

By means of the Amended and Restated Joint Powers Agreement (the Agreement) effective December 31, 2020, the participating SWC, which include the Alameda County Flood Control Zone 7 Water District, Alameda County Water District, Antelope Valley-East Kern Water Agency, Coachella Valley Water District, Crestline-Lake Arrowhead Water Agency, Desert Water Agency, Dudley Ridge Water District, Kern County Water Agency, Mojave Water Agency, Palmdale Water District, Santa Clara Valley Water District, Santa Clarita Valley Water Agency, San Bernardino Valley Municipal Water District, San Gabriel Valley Municipal Water District, San Geronimo Pass Water Agency, and the Metropolitan Water District of Southern California (Metropolitan), agreed to bear some of the financial obligations for the Planning Phase of the proposed Delta Conveyance Project. In December 2020, the SWC approved funding for the Planning Phase. The Authority is governed by a seven-member Board of Directors (the Board), including one member from Metropolitan, Santa Clara Valley Water District, and Kern County and four members from other SWC agencies selected by classes of SWC as set forth in the Agreement.

Pursuant to the Agreement and its predecessor, the Board contracted Metropolitan to perform treasury services through an Inter-Agency Agreement between Metropolitan and the Authority dated June 5, 2018. The Inter-Agency Agreement designates Metropolitan as trustee over all funds of the Authority and requires that such monies be placed in a special fund designated the "DCA Fund" (the Fund). Disbursements from the Fund are made by Metropolitan at the direction of the Authority.

The Authority's policy is to prepare its statements of cash receipts and disbursements on the cash basis of accounting, which is a comprehensive basis of accounting other than U.S. generally accepted accounting principles (U.S. GAAP). Consequently, receipts are recognized when received rather than when earned, and disbursements are recognized when paid rather than when the obligation is incurred. Accordingly, the accompanying statements of cash receipts and disbursements is not intended to present the Authority's financial position and results of operations, in conformity with U.S. GAAP.

**DELTA CONVEYANCE DESIGN AND CONSTRUCTION
JOINT POWERS AUTHORITY**

Notes to Statements of Cash Receipts and Disbursements

Years ended June 30, 2021 and 2020

(2) Delta Conveyance Project

On April 29, 2019, Governor Newsom issued an executive order calling for the development of a water resilience portfolio that meets the needs of California's communities, economy, and environment through the 21st century. This includes the governor's vision for a modern water supply infrastructure, a more climate resilient water supply for people and the environment, and the SWP must be updated to respond to climate change.

Accordingly, DWR launched the Planning Phase as defined above and released a Notice of Preparation on January 15, 2020, which initiated the California Environmental Quality Act (CEQA) scoping period that later concluded on April 17, 2020. The DWR is continuing to develop an EIR under the CEQA, which is expected for release in mid-2022. A range of reasonable alternatives to consider and analyze in the EIR has been identified and current efforts are focused on analyzing the alternatives' potential impacts on environmental resources. On June 15, 2020, DWR submitted a revised permit application to request authorization for the proposed Delta Conveyance project activities in the waters of the United States. The Section 404 permit application was submitted in order to formally engage the USACE in early coordination with DWR's CEQA process regarding environmental review under USACE's process for compliance with the National Environmental Policy Act and the Clean Water Act and Rivers and Harbors Act.

Eighteen State Water Project contractors took action in November and December 2020 and approved their participation in the planning and pre-construction costs for the Delta Conveyance Project and authorized the execution of a funding agreement with the DWR for such purpose. Funding for completing the environmental process, including the EIR and EIS and the endangered species permits, is estimated at \$340.7 million for calendar years 2021 through 2024. Future funding for the project will be approved by the SWC at the start of each phase.

**DELTA CONVEYANCE DESIGN AND CONSTRUCTION
JOINT POWERS AUTHORITY**

Supplementary Information – Summary of DWR Charges on Behalf of the Authority (Unaudited)

		For the years ended June 30,	
		2021	2020
Disbursements:			
Environmental planning and design:			
Project controls	\$	1,080	\$ 60
Property access and acquisition		133,320	1,471
Office administration		—	105,196
Fieldwork		—	72,242
Power, roads and utilities		—	29,575
Total disbursements	\$	<u>134,400</u>	<u>\$ 208,544</u>

Note to Supplementary Information

DWR incurred certain costs in support of the planning and environmental phase of the Delta Conveyance Project as well as the previous CWF project that were not billed to the Authority. These costs were excluded from the disbursements in the statements of cash receipts and disbursements because they were not paid with Authority monies.



Delta Conveyance Design and Construction Joint Powers Authority Discussion with Those Charged with Governance

Audit results for the year ended June 30, 2021

November 18, 2021 | Agenda Item 7a | Attachment 2



With you today



Brianne Wiese
Lead Engagement Managing Director



Liezl Malabanan
Lead Engagement Senior Manager

Scope & Timing of Audit

We were engaged to perform an audit of the Delta Conveyance Construction Joint Powers Authority (DCA) for the fiscal year ended June 30, 2021

The DCA financial statements are prepared on the cash receipts and disbursement basis of accounting which is an other comprehensive basis of accounting

The audit fieldwork was conducted during August & September 2021, with the assistance of MWD internal staff.

The supplemental information included in the financial statements is unaudited and included for additional



Required Communications to Those Charged with Governance



Summary: Audit results required communications and other matters

		Response
Audit results	Auditors' reports	Unmodified opinion
	Outstanding matters	No matters to communicate
	Significant unusual transactions	No significant unusual transactions identified during the audits
	Uncorrected audit misstatements	No matters to communicate
	Corrected audit misstatements	No matters to communicate
	Financial presentation and disclosure omissions	No matters to communicate
	Non-GAAP policies and practices	No matters to communicate
	Changes to our planned risk assessment and audit strategy	No matters to communicate
	Significant accounting policies and practices	See note 1 to the financial statements
	Significant accounting estimates	No matters to communicate
	Significant financial statement disclosures	See notes to the financial statements
	Related parties	No matters to report
	Going concern	No matters to report
	Other information	No matters to report

Summary: Audit results required communications and other matters

Response	
Audit results	Subsequent events
	No matters to report.
	Illegal acts or fraud
	No actual or suspected fraud involving management, employees with significant roles in internal control, or where fraud results in a material misstatement in the financial statements were identified during the audit.
	Noncompliance with laws and regulations
	No matters to report.
	Significant difficulties encountered during the audit
	No matters to report.
	Significant findings or issues discussed, or the subject of correspondence, with management
	No matters to report.
	Management's consultation with other accountants
	No matters to report.
	Difficult or contentious matters for which the auditor consulted
	No matters to report.
	Disagreements with management
	No matters to report.
	Other significant matters
	No matters to report.
	Written communications
	Engagement letter and management representation letter.
Independence	
No matters to report.	
Inquiries	
See slide 6.	

Inquiries

The following inquiries are in accordance with AU-C 260

Are those charged with governance aware of:

- Matters relevant to the audit, including, but not limited to, violations or possible violations of laws or regulations?
- Any significant communications with regulators?
- Any developments in financial reporting, laws, accounting standards, corporate governance, and other related matters, and the effect of such developments on, for example, the overall presentation, structure, and content of the financial statements, including the following:
 - The relevance, reliability, comparability, and understandability of the information presented in the financial statements
 - Whether all required information has been included in the financial statements, and whether such information has been appropriately classified, aggregated or disaggregated, and presented?

Do those charged with governance have knowledge of:

- Fraud, alleged fraud, or suspected fraud affecting the Company?

Additional inquiries:

- What are those charged with governance's views about fraud risks in the Company?
- Who is the appropriate person in the governance structure for communication of audit matters during the audit?
- How are responsibilities allocated between management and those charged with governance?
- What are the Company's objectives and strategies and related business risks that may result in material misstatements?
- Are there any areas that warrant particular attention during the audit and additional procedures to be undertaken?
- What are those charged with governance's attitudes, awareness, and actions concerning (a) the Company's internal controls and their importance in the entity, including oversight of effectiveness of internal controls, and (b) detection of or possibility of fraud?
- Have there been any actions taken based on previous communications with the auditor?
- Has the Company entered into any significant unusual transactions?
- Whether the entity is in compliance with other laws and regulations that have a material effect on the financial statements?
- What are the other document(s) that comprise the annual report, and what is the planned manner and timing of issuance of such documents?
- Have any subsequent events occurred that might affect the financial statements?

Questions?

For additional information and audit committee resources, including National Audit Committee Peer Exchange series, a Quarterly webcast, and suggested publications, visit the KPMG Audit Committee Institute (ACI) at www.kpmg.com/ACI

This presentation to those charged with governance is intended solely for the information and use of those charged with governance and management and is not intended to be and should not be used by anyone other than these specified parties. This presentation is not intended for general use, circulation or publication and should not be published, circulated, reproduced or used for any purpose without our prior written permission in each specific instance.



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Monthly Board Report

This document is fully interactive; use menus to navigate on-screen.

1

SUMMARY OF
WORK

2

STAKEHOLDER
ENGAGEMENT

3

BUDGET

4

CONTRACTS

5

SCHEDULE



Agenda Item 7b

NOVEMBER 2021

(ACTIVITIES IN OCTOBER)

Section 1 | Work Performed (October 2021 Activities)

Program Management. The team continued to support implementation of the Program Management Information System.

- Continue processing invoices for FY21/22
- Continue processing of Task Orders and Purchase Orders for FY21/22
- Continue to work on closeout of FY20/21

Administration. The team continued to support Administrative functions including IT support, in-person and virtual meetings, Social Media content and updates, COVID response/preparedness.

- Supported Community Outreach Library Project
- Supported technical needs for Procurement activities
- Coordination of DCA Change Board meetings/actions
- DCA Website updates
- Pushed DCA updates via Social Media Outlets, created reminders about resource materials
- Created information videos about DCA formation, governance and work
- Activities for Board Room Hybrid Meeting project
- Assisted remote workforce

Engineering. The engineering team primarily focused on responding to questions and requests for information from the Delta Conveyance Office (DCO) environmental team and developing several technical memoranda (TMs) for the Central and Eastern Corridors (C/E) and the Bethany Reservoir Alternative.

- Developed responses to comments on C/E and Bethany Engineering Project Reports (EPRs); worked on revisions for new baseline version
- Provided documentation on climate change modeling inputs
- Continued flood runs and coordinated technical memorandum (TM) content with The Delta Conveyance Office (DCO).
- Reviewed shaft concepts against geotechnical data received end of September, confirmed feasibility based on new data, and continued to develop TMs and associated figures.
- Completed computational Fluid Dynamics (CFD) analysis confirming formed suction inlets (FSI) performance. Completed draft concept sketches and started developing TM.
- Initiated development of criteria materials for DCO workshop for ancillary buildings on C/E and Bethany pumping plant sites.
- Began addressing comments for final draft Facility Operations and Maintenance Spacing Requirements TM

- Completed initial analysis for wind/wave analysis for the Southern Forebay
- Prepared draft outline for reusable tunnel material (RTM) Management TM.
- Conducted internal review of Post Construction Land Reclamation Pilot Plan outlines.
- Prepare final draft of TM with Southern Forebay Overflow Flood impacts information to be submitted with baseline documents.
- Developed majority of Integrated Catchment Modelling (ICM) model of canals and control structures between Southern Forebay and Banks and Jones approach channel
- Met with DCO related to utility power modeling data requirements

Field Work. The field work team continued efforts to collect and evaluate Delta-wide subsurface conditions and validate parameters assumed during development of conceptual designs.

- Continued with Cone Penetration Test (CPT) and Standard Penetration Test (SPT) data analysis.
- Reviewed the Geotechnical Data Report (GDR).
- Added new data to Leapfrog database.
- Continued to support execution of Fall 2021 Investigation Program.
- Conducted biological and cultural surveys and biological monitoring for Fall 2021 Investigation Program.
- Compiled daily and monthly monitoring reports.



Section 1 | One Month Look-Ahead (November 2021 Activities)

Program Management

- The team will continue to support implementation of the Program Management Information System.
- Continue processing invoices for FY21/22
- Continue processing of Task Orders changes and Purchase Orders for FY21/22
- Prepare for final closeout of FY20/21 and finalization of unused funds amount. Develop final DCO Annual Report.

Administration

- Continuing support to DCA office including all Administrative, Facility and IT functions
- Continuing support for DCA Board of Directors meetings, monthly report generation, SEC Meeting
- Continuing coordination of DCA Change Control Board meetings/actions
- Support for DCA Procurement Activities
- Continue to manage and coordinate content for Social Media outlets

Engineering

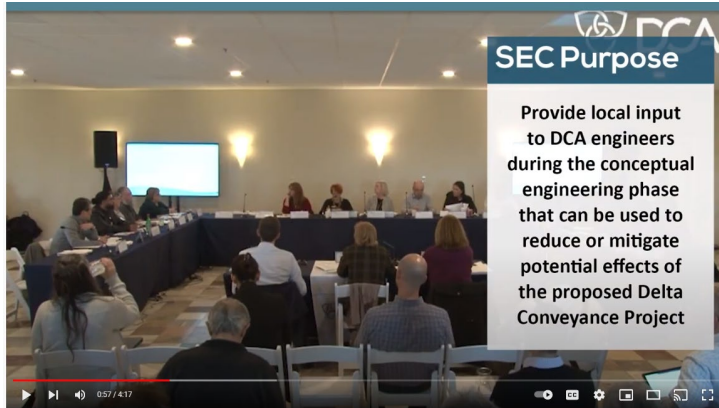
- Continue preparation of C/E and Bethany EPR documents including narrative, TMs, and drawings.
- Continue to work on technical documentation of climate change data, and water temperature data.
- Continue to work on hydraulic analyses and coordinate TM content with DCO.
- Complete reconfigured South Delta Pumping Plant concept sketches and draft TM for QC and Consistency reviews.
- Develop draft TM describing facility criteria for all ancillary buildings on C/E and Bethany Pumping Plant sites.
- Complete DCO comment adjudication for Facility O&M spacing requirements for Pumping Plant and update TM.
- Initiate aqueduct leakage testing TM efforts.

- Provide response to DCO comments on CA Division of Safety of Dams (DSOD) Requirements TM.
- Complete internal review draft of wind/wave analysis and TM.
- Prepare draft of Post Construction Reclamation Pilot plans.
- Adjudicate DCO review comments to conformed steady-state model TM and submit final TM draft.
- Complete ICM model of South Delta conveyance between Southern Forebay and Banks and Jones. Develop model controls to simulate operation of control gate structures and perform hydraulic analysis. Initiate development of TM.
- Initiate development of response to utility power modeling data request from Ulteig.

Field Work

- Continue development of 3-D geologic model.
- Prepare list for 2022 Investigation Program, including piezometers.
- Continue with CPT-SPT comparison.
- Continue to support execution of Fall 2021 Investigation Program.
- Conduct biological and cultural surveys and biological monitoring for Fall 2021 Investigation Program.
- Compile daily and monthly monitoring reports.

Section 2 | Stakeholder Engagement



DCA Social Media Updates

- The DCA Facebook page now has 1,300 followers
- The DCA YouTube channel now has 59 subscribers
- The latest video on YouTube, "What is the SEC?", has 179 views
- The YouTube virtual tours now have 996 total views

Community Engagement

- DCA Staff continue to coordinate meetings with Delta communities to provide an overview of the current proposed project and an opportunity for question-and-answer sessions. Upcoming November meetings include:
 - Courtland Town Association Meeting – 11/09/2021
- Twenty Delta area libraries have a variety of informational materials and resources, including: updated map books, virtual tours, SEC agendas, and more. These materials are available in print and on flash drives. DCA has conducted training for Delta librarians, so they are able to better understand navigating the material.

Upcoming SEC Meeting

Date: Wednesday, December 8, 2021

Time: 3 to 6 PM

Location: Online via Ring Central

Topics:

- Overall Review of Current Configurations
- 2022 Outreach and Engagement Plans

SEC Meeting Calendar

- Future meetings are TBD

SEC Meeting Materials & Updates

<https://www.dcdca.org/>

**Dates are subject to change, please continue to check the dcdca.org website for updates*

Note: DCA will comply with public health recommendations regarding public meetings and COVID-19 response. Any meeting changes or cancellation will be communicated to members.

Section 3 | Budget

Budget. The FY21/22 DCA budget is \$23.5M. We are now currently forecasting an Estimate at Completion budget of about \$23.5M. The DCA has committed approximately \$17M (details in Table 2) and has incurred \$4.3M in expenditures

through September (details in Table 2). Actual and planned cash flow curves are shown in Figure 1.

Table 1 | Monthly Budget Summary (FY 21/22)

Category	Original Budget	Current Budget	Current Commitments	Incurred to Date	EAC	Variance
Program Management Office						
Executive Office	\$ 2,202,245	\$ 3,385,245	\$ 2,662,431	\$ 1,058,077	\$ 3,475,548	\$ 90,303
Community Engagement	775,305	775,305	513,305	104,967	775,305	-
Program Controls	1,472,640	1,472,640	1,472,640	453,483	1,472,640	-
Administration	2,568,238	2,635,238	2,632,196	839,295	2,544,935	(90,303)
Procurement and Contract Administration	74,112	74,112	74,112	26,523	74,112	-
Property	516,280	393,640	516,280	128,640	393,640	-
Permitting Management	560,534	560,534	560,534	130,180	560,534	-
Health and Safety	21,600	21,600	21,600	-	21,600	-
Quality Management	14,016	14,016	14,016	-	14,016	-
Program Initiation Office						
Engineering	7,617,456	8,490,096	7,617,450	1,422,091	8,490,096	-
Fieldwork	5,677,574	5,677,574	1,129,574	146,214	5,677,574	-
	\$ 21,500,000	\$ 23,500,000	\$ 17,214,138	\$ 4,309,472	\$ 23,500,000	\$ -

Note: The undefined allowance includes a \$500K cash advance from DWR to be used for prepayments that have short payment windows.

Section 3 | Budget *continued*

Table 2 | Budget Detail

Work Breakdown Structure	Original Budget	Current Budget	Commitments	Pending Commitments	Actuals Received	Remaining Budget	% of Budget Remaining	Estimate At Completion	Variance (Surplus)/Deficit
Delta Conveyance	\$ 21,500,000	\$ 23,500,000	\$ 17,214,138	\$ -	\$ 4,309,472	\$ 19,190,528	18%	\$ 23,500,000	\$ -
Executive Office	2,202,245	3,385,245	2,662,431	-	1,058,077	2,327,168	31%	3,475,548	90,303
Management	87,884	1,272,884	1,267,880	-	386,925	885,959	30%	1,272,884	-
Legal	556,915	556,915	556,915	-	70,778	486,137	13%	556,915	-
Audit	30,000	30,000	0	-	0	30,000	0%	30,000	-
Treasury	254,726	254,726	249,916	-	91,261	163,465	36%	254,726	0
Human Resources	87,720	87,720	87,720	-	9,114	78,606	10%	87,720	-
Undefined Allowance	1,185,000	1,183,000	500,000	-	500,000	683,000	42%	1,273,303	90,303
Community Engagement	775,305	775,305	513,305	-	104,967	670,338	14%	775,305	-
Management	118,877	118,877	118,877	-	44,137	74,740	37%	118,877	-
Community Coordination	250,000	250,000	0	-	0	250,000	0%	250,000	-
Outreach	406,428	406,428	394,428	-	60,830	345,598	15%	406,428	-
Program Controls	1,472,640	1,472,640	1,472,640	-	453,483	1,019,157	31%	1,472,640	-
Management	387,840	387,840	387,840	-	101,055	286,785	26%	387,840	-
Cost Management	754,560	754,560	754,560	-	243,552	511,008	32%	754,560	-
Document Management	330,240	330,240	330,240	-	108,876	221,364	33%	330,240	-
Administration	2,568,238	2,635,238	2,632,196	-	839,295	1,795,943	32%	2,544,935	(90,303)
Management	641,280	641,280	641,280	-	215,740	425,540	34%	641,280	-
Facilities	1,283,297	1,285,297	1,391,190	-	512,628	772,669	40%	1,284,165	(1,132)
Information Technology	643,661	708,661	599,726	-	110,927	597,734	16%	619,489	(89,172)
Procurement & Contract Administration	74,112	74,112	74,112	-	26,523	47,589	36%	74,112	-
Procurement Management	74,112	74,112	74,112	-	26,523	47,589	36%	74,112	-
Property	516,280	393,640	516,280	-	128,640	265,000	33%	393,640	-
Management	245,280	122,640	245,280	-	47,358	75,282	39%	122,640	-
Property Agents	150,000	150,000	150,000	-	56,283	93,717	38%	150,000	-
Temporary Entrance Permits	121,000	121,000	121,000	-	25,000	96,000	21%	121,000	-

Section 3 | Budget *continued*

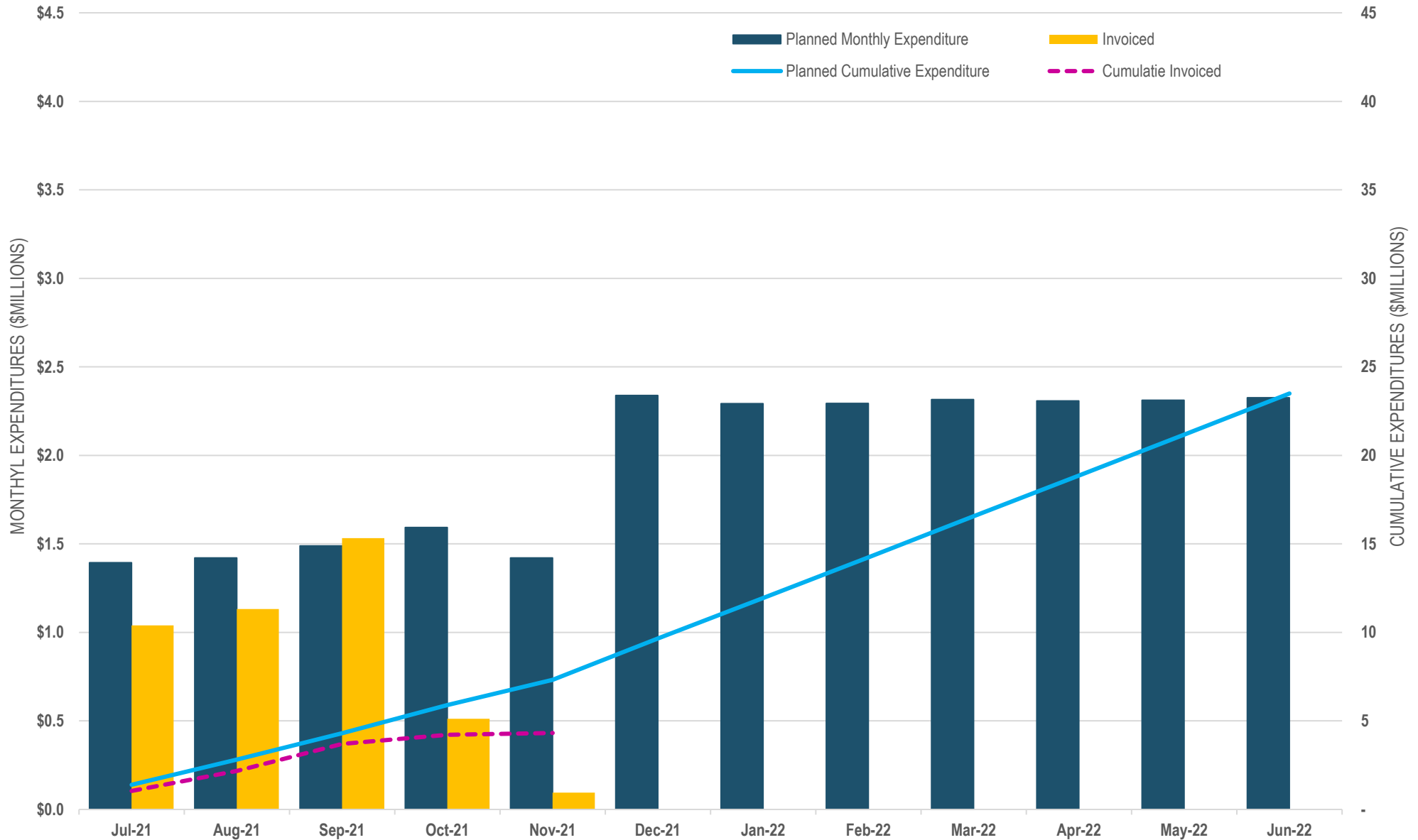
Table 2 | Budget Detail

Work Breakdown Structure	Original Budget	Current Budget	Commitments	Pending Commitments	Actuals Received	Remaining Budget	% of Budget Remaining	Estimate At Completion	Variance (Surplus)/Deficit
Permitting Management	560,534	560,534	560,534	-	130,180	430,354	23%	560,534	-
Management	560,534	560,534	560,534	-	130,180	430,354	23%	560,534	-
Health & Safety	21,600	21,600	21,600	-	0	21,600	0%	21,600	-
Management	21,600	21,600	21,600	-	0	21,600	0%	21,600	-
Quality Management	14,016	14,016	14,016	-	0	14,016	0%	14,016	-
Management & Auditing	14,016	14,016	14,016	-	0	14,016	0%	14,016	-
Engineering	7,617,456	8,490,096	7,617,450	-	1,422,091	7,068,005	17%	8,490,096	-
Management & Administration	900,316	1,022,956	900,316	-	204,188	818,768	20%	1,022,956	-
Engineering Support	4,436,666	4,436,666	4,436,660	-	742,773	3,693,893	17%	4,436,666	-
Facility Studies	2,280,474	2,280,474	2,280,474	-	475,130	1,805,344	21%	2,280,474	-
Undefined Allowance	0	750,000	0	-	0	750,000	0%	750,000	-
Fieldwork	5,677,574	5,677,574	1,129,574	-	146,214	5,531,360	3%	5,677,574	-
Management	544,675	544,675	544,675	-	109,813	434,862	20%	544,675	-
Geotechnical Work	4,548,000	4,548,000	0	-	0	4,548,000	0%	4,548,000	-
Environmental Monitoring	584,899	584,899	584,899	-	36,402	548,498	6%	584,899	-

Note: The undefined allowance includes a \$500K cash advance from DWR to be used for prepayments that have short payment windows.

Section 3 | Budget *continued*

Figure 1 | FY 21/22 Cash Flow



Section 4 | Contracts

Contracts. Table 3 summarizes the status of all active commitments within the DCA for the current fiscal year. Any pending or approved commitment changes are summarized in Table 4. SBE/DVBE participation in major contracts is

summarized in Table 5. This was anticipated at this stage in the fiscal year. There is one active procurement for Geotechnical Exploration and Reporting Services, see Table 6.

Table 3 | Contract Summary

Contract Description	Commitment Amount	Pending Commitments	Invoiced to Date	Percent Invoiced
180006 - Jacobs Engineering Group	\$ 9,266,843	-	\$ 1,670,261	18%
180008 - Hamner, Jewell & Associates	\$ 20,000	-	\$ 3,742	19%
180009 - Bender Rosenthal, Inc.	\$ 120,000	-	\$ 50,956	42%
190005 - Management Partners	\$ 567,800	-	\$ 178,000	31%
190009 - Parsons	\$ 3,394,236	-	\$ 1,057,452	31%
190011 - GV/HI Park Tower Owner, LLC	\$ 1,144,787	-	\$ 471,679	41%
190014 - Direct Technology	\$ 164,224	-	\$ 47,872	29%
190015 - Audio Visual Innovations, Inc.	\$ 128,648	-	\$ 8,540	7%
190016 - Consolidated Communications, Inc.	\$ 51,951	-	\$ 12,388	24%
190017 - AT&T	\$ 35,451	-	\$ 7,918	22%
190019 - VMACommunications, Inc.	\$ 282,400	-	\$ 29,500	10%
190021 - RingCentral	\$ 144,522	-	\$ 15,333	11%

Section 4 | Contracts *continued*

Table 3 | Contract Summary

Contract Description	Commitment Amount	Pending Commitments	Invoiced to Date	Percent Invoiced
190022 - Caltronics Government Services	\$ 119,029	- \$	7,943	7%
190023 - JAMBO-Silvacom LTD	\$ 22,000	- \$	-	0%
190024 - Stakeholder Engagement Committee Stipened	\$ 17,000	- \$	3,250	19%
200003 - Best Best & Krieger	\$ 556,915	- \$	70,778	13%
200013 - Metropolitan Water District of S. California	\$ 312,512	- \$	65,705	21%
200014 - Dept of Water Resources	\$ 121,000	- \$	25,000	21%
210005 - Alliant Insurance	\$ 26,190	- \$	26,190	100%
210010 - Gwen Buchholz, Permit Engineer Inc	\$ 150,000	- \$	41,000	27%
210014 - Spark Street Digital	\$ 32,600	- \$	-	0%
- Agreements <\$15K	\$ 36,029	- \$	15,965	44%

Table 4 | Commitment Changes

There are no commitment changes to report this month.

Section 4 | Contracts *continued*

Table 5 | S/DVBE Status (FY 21/22)

	Commitment Amount	Invoiced to Date	Percent Committed	Percent Invoiced
Delta Conveyance	\$ 17,214,138	\$ 4,309,472		
SBE Participation	1,726,909	492,685	10.0%	11.4%
DVBE Participation	\$ 170,000	\$ 74,938	1.0%	1.7%

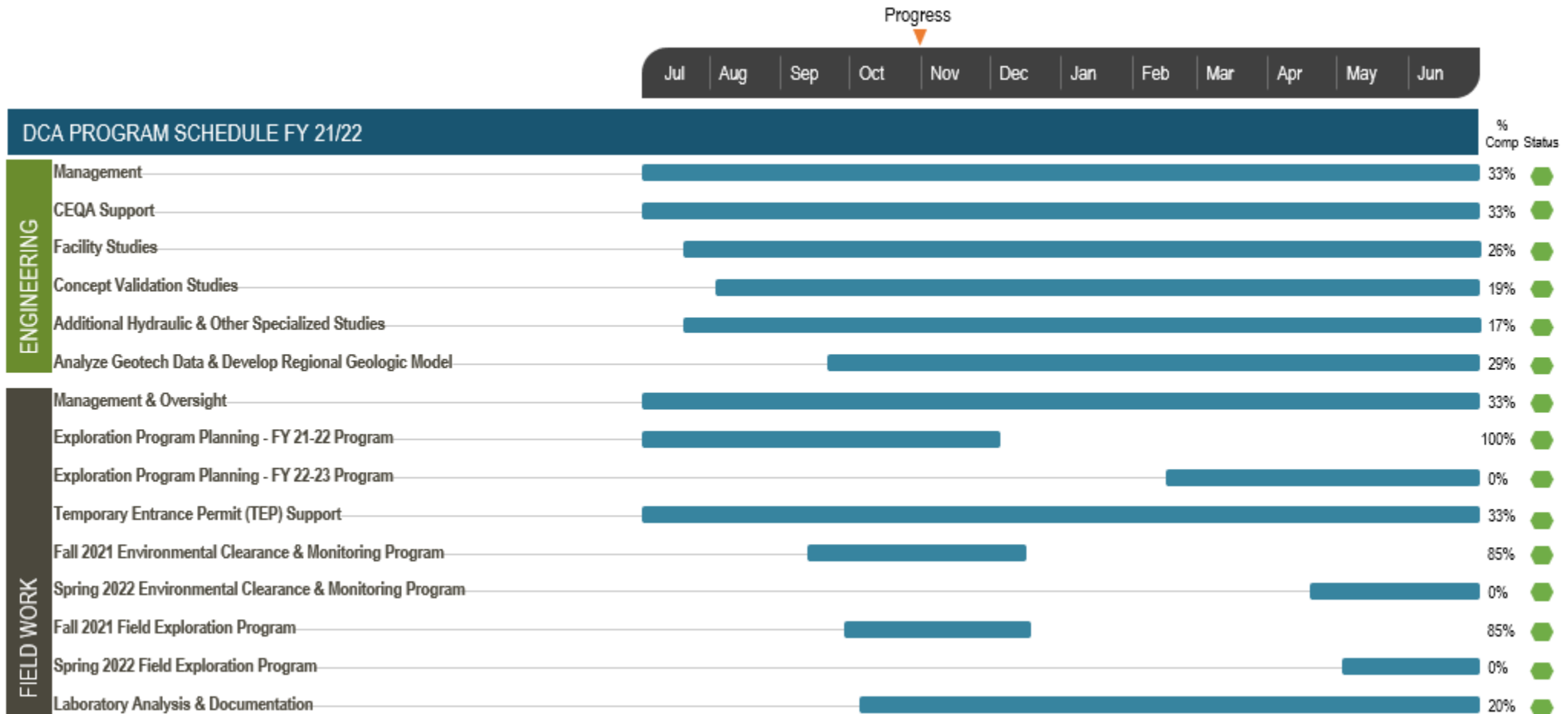
Consultant	Curent Commitment	Percent of Total Commitment	Invoiced to Date	Percent Invoiced SBE/DVBE	SBE/DVBE Status
Jacobs Engineering Group	9,266,843	2.8%	1,206,683	10.3%	
Anchor	170,000	1.8%	74,938	6.2%	SBE/DVBE
EETS	10,000	0.1%	797	0.1%	SBE
JMA	10,000	0.1%	4,942	0.4%	SBE
JASpezia	25,000	0.3%	28,033	2.3%	SBE
Nazparv	45,000	0.5%	16,104	1.3%	SBE
Parsons	3,394,236	31%	642,679	55.2%	
Chaves	1,060,480	31%	354,888	55%	SBE
Associated Right of Way Services, Inc.	10,000	100%	1,584	100%	SBE
Caltronics Government Services	119,029	100%	6,190	100%	SBE
Hamner Jewell & Associates	20,000	100%	3,742	100%	SBE
VMA Communications	282,400	100%	29,500	100%	SBE

Table 6 | Contract Procurement Summary

WBS	Description	Contract Type	Planning/Estimated Value	Annual Budget (FY 2021/22)	Pending Contract Value	Anticipated Term	Procurement Method	Procurement Start	Target NTP Date	Status
Field Work										
Geotechnical	Geotechnical Exploration and Reporting Services	Professional Services	TBD	\$5,000,000	TBD	Up to 3 Years	RFQ - Most Qualified	Oct-21	Feb-22	In Progress

Section 5 | Program Schedule

Schedule. The overall program for FY 21/22 is on schedule. The engineering team continues to press ahead with supporting the Environmental Team with environmental and permitting activities. The field work activities are continuing to move forward with a Fall 2021 Exploration Program in coordination with DCO, however will continue to lag somewhat due to on-going COVID-related delays with the Court Ordered Entry process.



Board Memo

Contacts: Shane Chapman, Agreement Administrator
Josh Nelson, General Counsel

Date: November 18, 2021 Board Meeting

Item No. 7c

Subject:

Consider Passing Resolution Approving the Second Amendment to the Management Partners Agreement for Executive Director Services.

General:

In January 2019, the Board of Directors approved an agreement with Management Partners to act as Executive Director. Graham Bradner acts in this capacity on behalf of Management Partners. DCA and Management Partners have discussed extending some of the notice provisions in the agreement. Specifically, the DCA can currently terminate the agreement with sixty days' notice. In addition, while Management Partners must obtain the DCA's approval for any changes in key personnel (i.e., Mr. Bradner), there is no specific notice provision for doing so.

The enclosed draft Second Amendment to the Management Partners agreement would change both provisions. First, it would extend the notice provision for the DCA to terminate the agreement without cause from sixty days to ninety days. Second, it would require Management Partners provide at least sixty days' notice to DCA of any proposed change in key personnel. The DCA's approval would still be required for any change. These changes are shown in underline on the attachment.

Recommended Action:

Adopt the attached Resolution authorizing the Board President to execute the attached Second Amendment to the Management Partners Agreement.

Attachments:

Attachment 1 - Resolution 21-XX

Exhibit A - First Amendment to Management Partners Agreement

BOARD OF DIRECTORS OF THE DELTA CONVEYANCE DESIGN AND CONSTRUCTION AUTHORITY
RESOLUTION NO. 21-XX

Introduced by Director XXXX

Seconded by Director XXXX

SECOND AMENDMENT TO AGREEMENT FOR EXECUTIVE DIRECTOR SERVICES

Whereas, the Delta Conveyance Design and Construction Authority (DCA) currently contracts with Management Partners for Executive Director services; and

Whereas, the parties wish to amend the Management Partners Agreement to modify certain notice provisions in the agreement.

Now, therefore, be it resolved that the DCA Board of Directors hereby authorizes the President to execute the Second Amendment to the Management Partners Agreement for Executive Director services as Exhibit A and incorporated by this reference.

* * * * *

This Resolution was passed and adopted this 18th day of November, 2021, by the following vote:

Ayes:

Noes:

Absent:

Abstain:

Attest:

Richard Atwater, Board President

Martin Milobar, Board Secretary

EXHIBIT A

Second Amendment to Management Partners Agreement

[attached behind this page]



THE DELTA CONVEYANCE DESIGN AND CONSTRUCTION AUTHORITY
SECOND AMENDMENT TO AGREEMENT NO. 190005
FOR CONSULTING SERVICES

This amendment to Agreement No. 190005, hereinafter referred to as Second Amendment, is between THE DELTA CONVEYANCE DESIGN AND CONSTRUCTION JOINT POWERS AUTHORITY, a public agency organized pursuant to the Joint Exercise of Powers Act (California Government Code Sections 6500, et seq.), hereinafter referred to as the Delta Conveyance Design and Construction Authority or DCA, and Management Partners, Incorporated, hereinafter referred to as Consultant.

Explanatory Recitals

1. There is now in effect between the parties an agreement for services dated March 8, 2019 and amended as set forth in that First Amendment dated March 30, 2021, and hereinafter referred to as Agreement.
2. The parties desire to amend the Agreement with this Second Amendment to update various terms and conditions.

NOW, THEREFORE, in consideration of the mutual covenants contained in the Agreement including this Second Amendment, the parties agree as follows:

Terms of Agreement

1. Amendment. Section 4 of the Agreement is hereby amended in its entirety to read as follows:

“4. Key Personnel

It is the intent of both parties to this Agreement that Consultant shall make available the professional services of Mr. Graham Bradner, who shall administer all work under this Agreement and shall coordinate directly with the DCA. Any substitution of key personnel must be approved in advance by the DCA’s Agreement Administrator and the

Agreement shall be amended to reflect the changes. Consultant shall provide at least sixty (60) days' notice of any proposed change in key personnel."

2. Amendment. Section 27 of the Agreement is hereby amended in its entirety to read as follows:

"27. Termination

The DCA may terminate this Agreement for any or no reason by providing written notice to Consultant not less than ninety (90) days prior to the effective termination date. The DCA's only obligation in the event of termination will be payment of fees and expenses incurred in conformity with this Agreement up to and including the effective date of termination."

3. This Second Amendment modifies the Agreement only as expressly set forth above. This Second Amendment does not modify, alter, or amend the Agreement in any other way whatsoever. All other Agreement terms and conditions not expressly set forth above remain unchanged.

SIGNATURES ON FOLLOWING PAGE

SIGNATURE PAGE TO SECOND AMENDMENT TO AGREEMENT NO. 190005

IN WITNESS WHEREOF, the parties have executed and entered into this Second Amendment as of the date last written below.

MANAGEMENT PARTNERS

THE DCA

By:_____

By:_____

Printed Name:_____

Printed Name:_____

Date:_____

Date:_____



DCA

DELTA CONVEYANCE DESIGN & CONSTRUCTION AUTHORITY



DCA Sr. Leadership Spotlight Ruth Douzinas

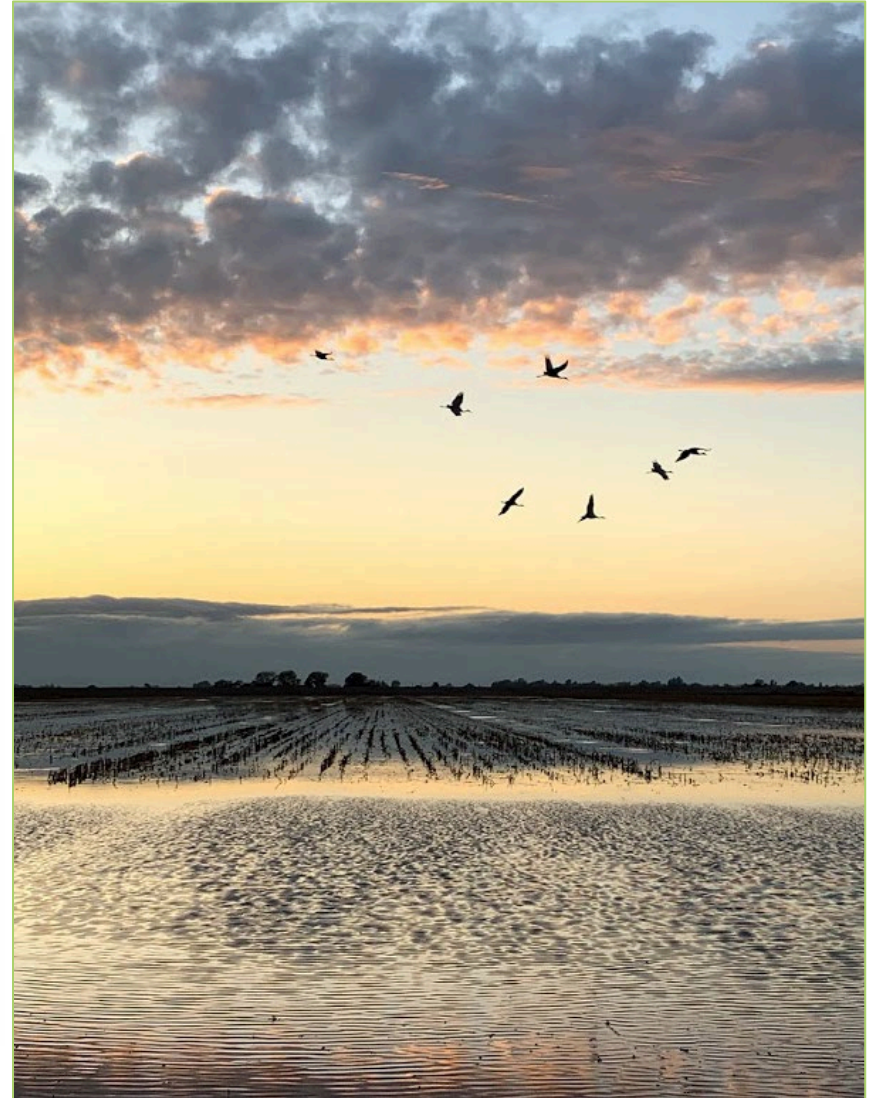
DCA PROGRAM CONTROLS MANAGER

AGENDA ITEM 7d

November 18, 2021

Agenda

1. Background
2. Overview of Program Controls Manager Role
3. Current Priorities
4. Questions



Ruth Douzinas

- Education
 - BA Dartmouth College
Environmental Biology
 - MS Stanford University
Environmental
Engineering



Ruth Douzinas

• Career

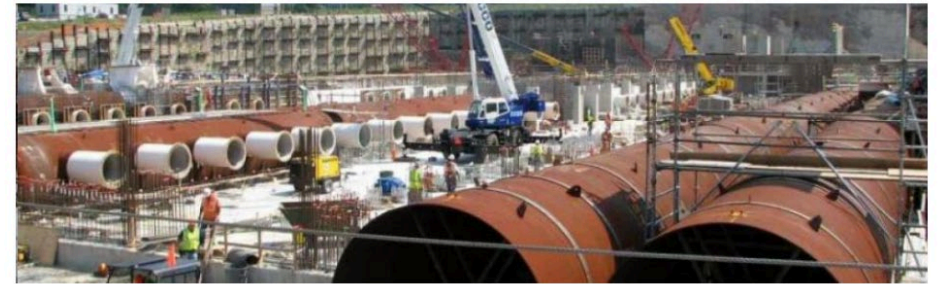
- PE – Environmental Engineering (MA)
- CH2M HILL
 - Deputy Program Manager, Military Privatization
 - Quality Manager CM Team, Cat/Del UV Plant
- NYC Department of Environmental Protection, Bureau of Engineering Design & Construction
 - Director, Program Controls
- Founder & Partner, Trireme Consulting
 - Bloomberg LP
 - DCA

THE NATIONAL TRAINING CENTER AND
FORT IRWIN



U.S. ARMY FORT CAMPBELL

Home of the 101st Airborne Division (Air Assault)



Catskill/Delaware Ultraviolet Light Disinfection Facility



*Investigators Eye Possible \$100 Million
Construction Fraud*

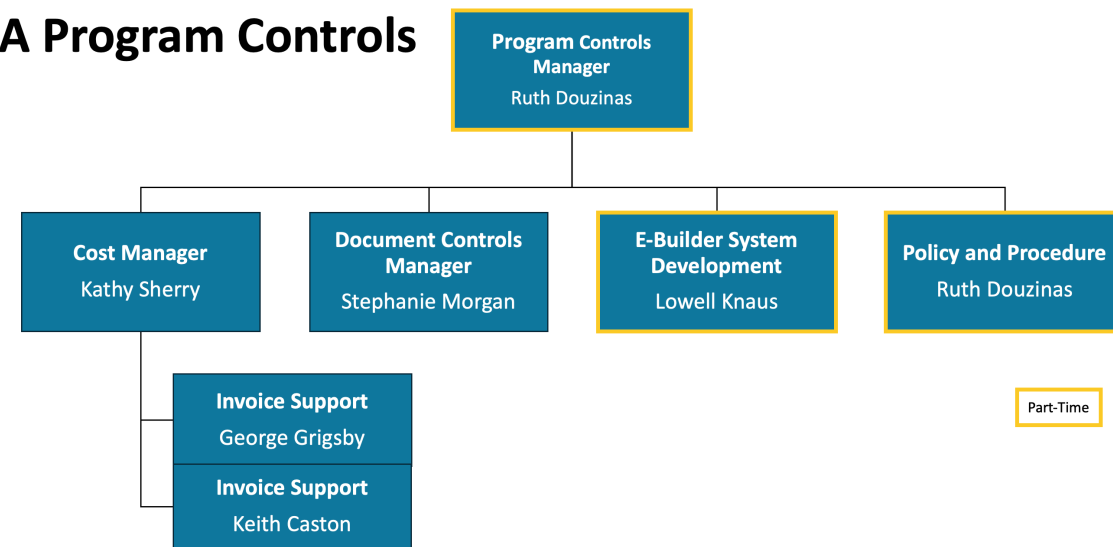


DCA Program Controls Manager

• Primary Functions

- Ensure vendor agreements and invoices handled in timely manner
- Develop Management Plans and Standard Operating Procedures
- Implement & operate Program Controls Information System (e-Builder)
- Manage cost team
- Support ED and Contract Managers
- Monthly & Annual Reporting
- Program Change Control Board
- Oversee monthly forecasting

DCA Program Controls



KAREN ASKELAND | DCA ENVIRONMENTAL LIAISON

Questions?



DCA

DELTA CONVEYANCE DESIGN & CONSTRUCTION AUTHORITY

Stakeholder Engagement Committee Update

Item 7e

November 18, 2021

WWW.DCDCA.ORG | WWW.WATER.CA.GOV/DELTA CONVEYANCE

Stakeholder Engagement Committee

Purpose:

Provide feedback to the DCA on engineering work with focus on reducing potential construction-related impacts.

Emphasis on facility siting, traffic effects, waterway effects, and land area/use effects.

Summary of Board Actions for SEC

#	Date	Description	Resolution
1	09/19/2019	Passed Resolution to Establish the DCA Delta Stakeholder Engagement Committee and Forming and Ad Hoc Committee	19-12
2	10/17/2019	Passed Resolution to Appoint and Formalize DCA Delta Stakeholder Engagement Committee	19-17
3	11/21/2019	Appointment of DCA Delta Stakeholder Engagement Committee Member (Mike Hardesty)	19-19
4	02/06/2020	Appointment Of A Delta Stakeholder Engagement Committee Member (Peter Robertson)	20-02
5	09/17/2020	Expansion Of The Delta Stakeholder Engagement Committee And Appointment Of Ex Officio Member (David Welch)	20-10
6	10/26/2020	Appointment of a Delta Stakeholder Engagement Committee Member from Hood (Gia Moreno)	20-12
7	05/20/2021	Modify Size Of The Delta Stakeholder Engagement Committee	21-04

Stakeholder Engagement Committee



- Up to 20 Committee Members
- Represent wide array of interests and geographies
- DCA Board Representatives
 - Chair Sarah Palmer
 - Vice Chair Barbara Keegan
- 19 Committee Meetings
- November 2019 thru December 2021
- Over 65 agendized presentations

Work to Date

✓ Introduction to the Delta Conveyance System

✓ Detailed review of key project elements:

- Intakes
- Tunnel and Shafts
- Southern Facilities
- Bethany Complex

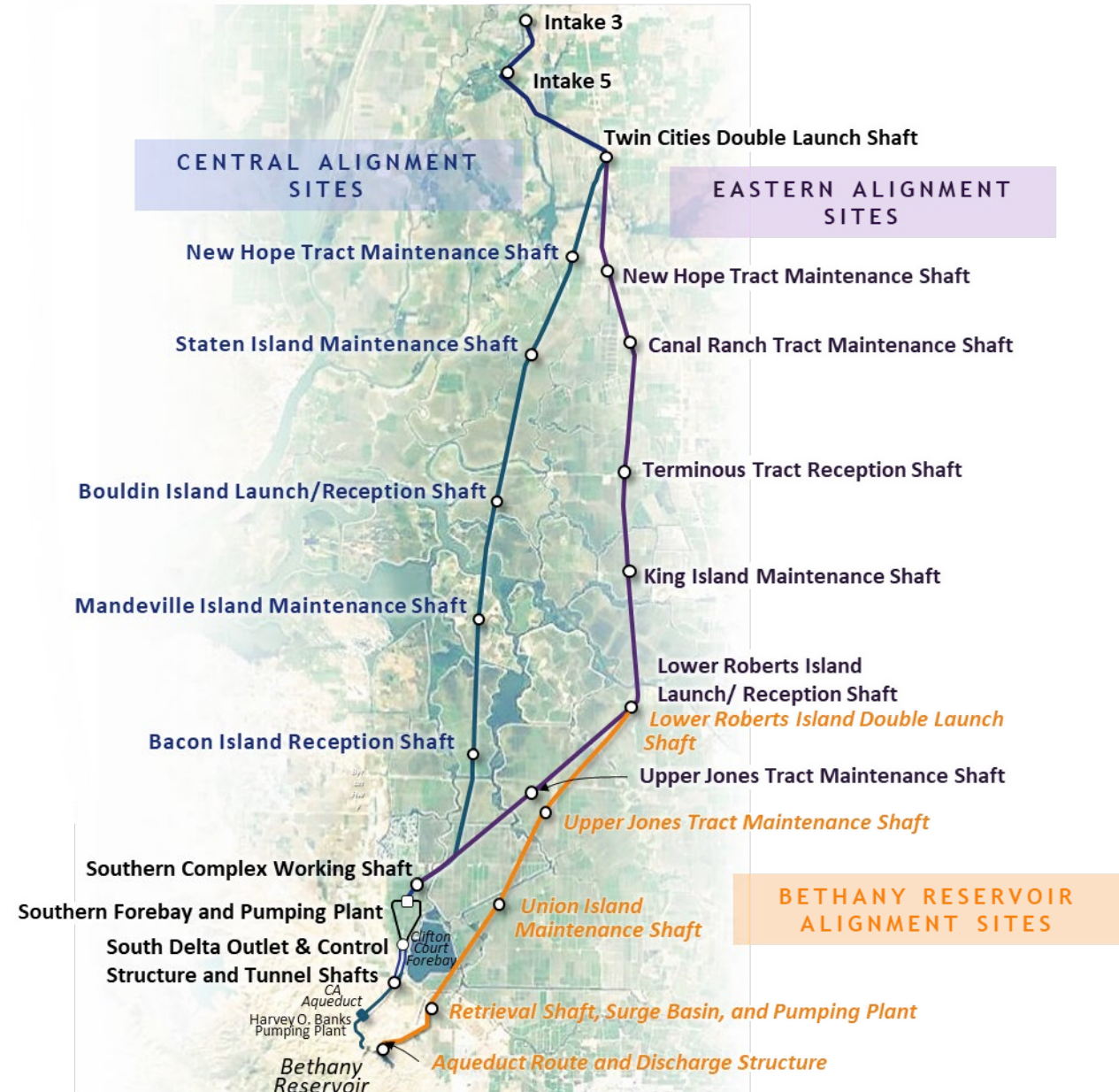
✓ Siting Alternative Studies

✓ Construction Footprints

✓ Logistics Plans and Traffic Impacts

- Proposed roads, barge landings and rail spurs
- Routes to each site
- Traffic histograms
- Project Impacts to Level of Service
- RTM Management

✓ Design changes to reflect SEC comments



Incorporated Valuable Input

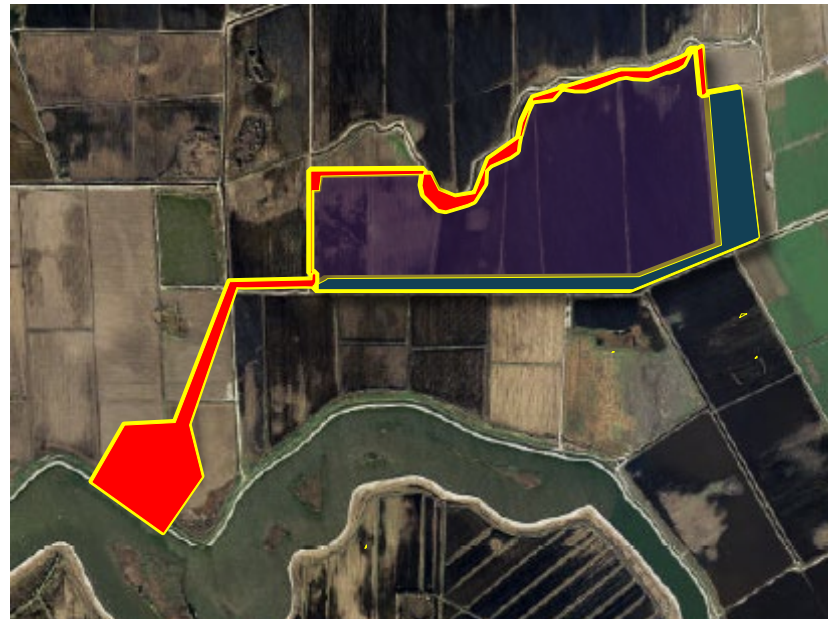
Examples of Included Adjustments:

- Reduced site footprints throughout and maximized reclamation of impacted agricultural land
- Shifted facilities away from natural areas including Stone Lakes and Woodbridge Reserves
- Eliminated most barging and associated effects to recreational boating
- Added rail, expanded roads, or eliminated structures to maintain acceptable levels of service
- Reduced borrow and import requirements to reduce traffic loads
- Focus on “eco-friendly” tunnel conditions
- Reduced pile driving impacts at intakes by 80% through cofferdam re-design

Moved shaft one mile from Woodbridge Reserve Boundary to Canal Ranch Maintenance Shaft Site

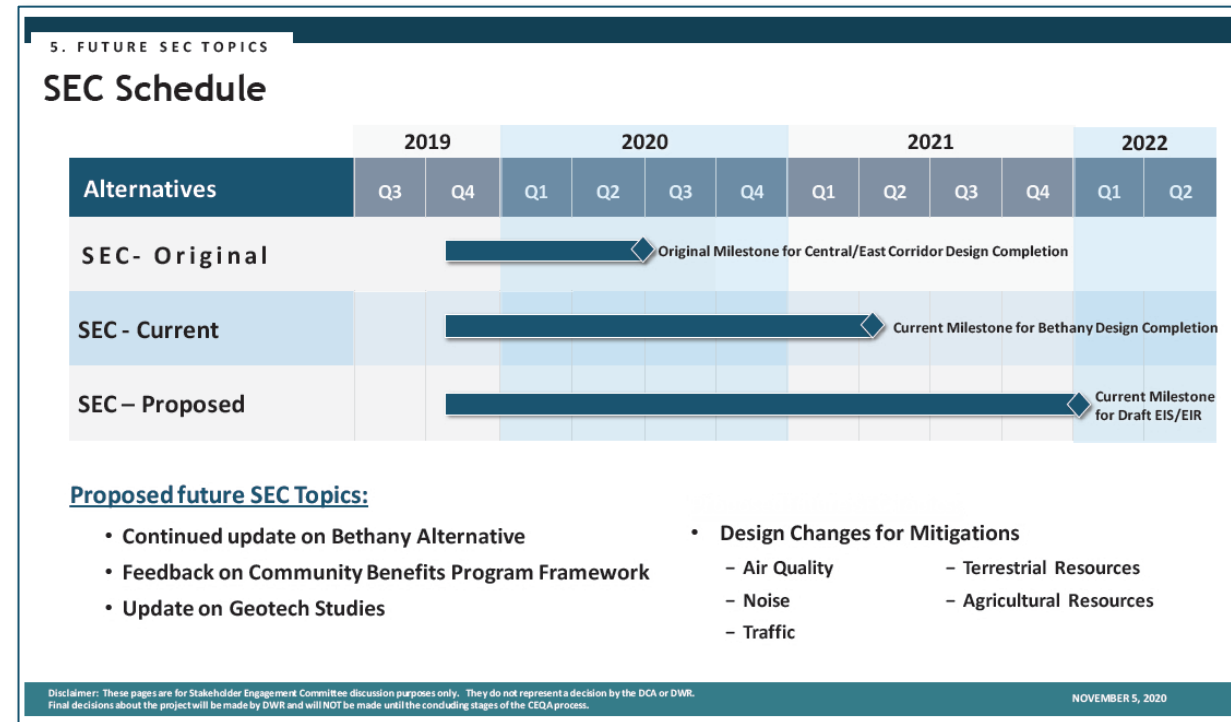


Eliminated the Barge Landing at Bouldin Island Launch Shaft Site



Key Takeaways from SEC

- DCA completed conceptual designs for Central/Eastern and Bethany in mid-2021
- Extended SEC through 2021 to provide design updates based on impact analyses
- SEC provided forum for valuable input and dialogue about Delta issues/concerns



Reference: Excerpt from November 2020 SEC meeting noting intention to extend to end of 2021

DCA Outreach and Engagement Next Steps

- **Continue to expand access to current engineering information**
 - Community Engineering Briefings
 - Local library materials distribution
 - Expanded access to Virtual Tours
- **Support DWR outreach and engagement efforts**





Public Outreach and Community Engagement Plan for 2022

Public outreach in 2022 will focus on the release of the Draft EIR

Public Information

- Provide informational resources to help the public review, understand and react to the DEIR.
- Videos, website updates, fact sheets, graphics, social media, flyers, eblasts.

Public Outreach + Engagement

- Proactive outreach to inform and engage.
- Encourage and assist in participation.
- Emails, phone calls, meetings, briefings, presentation.

Public Participation + Notification

- Provide meaningful opportunities to access public review documents and respond through formal public input processes.
- Workshops, publicity, flyers, libraries, translations.



General Counsel's Report

Contact: Josh Nelson, General Counsel

Agenda Date: November 18, 2021, Board Meeting

Item No. 8a

Subject: Status Update

Summary:

The General Counsel continues to assist the DCA on legal matters as requested.

Detailed Report:

The General Counsel continues to assist staff as necessary. Importantly, this concluded reviewing and implementing AB 361. As the Board will remember from prior briefings and the agenda item this month, this urgency legislation permits remote meetings without the default Brown Act requirements during a state of emergency if (1) social distancing is recommended or (2) meeting in person would present imminent risks to health or safety of attendees. Agencies must make findings to support remote meetings once at least every thirty days. To ensure strict compliance with the law, staff will be scheduling special meetings as necessary to ensure our findings do not lapse. Ms. Rodriguez will be reaching out to arrange these meetings as necessary.

The other two noteworthy items since our last report are (1) legal assistance related to the on-going geotechnical procurement and (2) support for the Stakeholder Engagement Committee item on the agenda this month. Lastly and as noted in prior reports, we continue to assist with other legal matters as necessary. These matters are confidential and not appropriate for discussion in a public report.

Recommended Action:

Information, only.

Treasurer's Report

Contact: Katano Kasaine, Treasurer

Date: November 18, 2021

Item No. 8b

Subject: Treasurer's Monthly Report, September/October 2021

Summary:

The beginning cash balance for the Delta Conveyance Design and Construction Joint Powers Authority (Authority) at September 1, 2021 was \$1,943,223. During September and October 2021, receipts totaled \$2,382,099 representing contributions from the Department of Water Resources, Delta Conveyance Office (DCO), of which, \$1,882,099 were for payment of the Authority's obligations and \$500,000 were for advance funding of accounts approved by the DCO for prepayment. Total disbursements for the two months were \$3,045,575. The ending cash balance at October 31, 2021 was \$1,279,747.

As of October 31, 2021, the Authority's receivables totaled \$2,080,064 consisting of 10 invoices to the DCO.

Balances for prepaid expenses and accounts payable, as of October 31, 2021, were \$76,071 and \$2,150,360, respectively. For the same period, advances totaled \$500,000 and total net position was \$785,522.

Attachment 1 consists of financial statements for the two months ended October 2021, a schedule of Invoices Paid through October 2021, and Aging Schedules for Accounts Payable and Accounts Receivable as of October 31, 2021.

Attachment 2 consists of Budget versus Actuals by Appropriation through October 2021. Year-to-date actual expenses were \$.2 million lower than budget.

Detailed Report:

See attached statements.

Recommended Action:

Information, only.

Attachments:

Attachment 1 – September/October 2021 Authority Financial Statements

Attachment 2 – September/October 2021 Budget versus Actuals by Appropriation



DELTA CONVEYANCE DESIGN AND CONSTRUCTION JOINT POWERS AUTHORITY

Statement of Net Position

As of October 31, 2021

Assets:

Cash	\$	1,279,747
Accounts receivable		2,080,064
Prepays		<u>76,071</u>
Total assets	\$	<u><u>3,435,882</u></u>

Liabilities:

Accounts payable	\$	2,150,360
Advance for prepayments		<u>500,000</u>
Total liabilities		2,650,360

Net position:

		<u>785,522</u>
Total liabilities and net position	\$	<u><u>3,435,882</u></u>

**DELTA CONVEYANCE DESIGN AND CONSTRUCTION JOINT POWERS AUTHORITY**

Statements of Cash Receipts and Disbursements

	Sep. 1, 2021 - Oct. 31, 2021	Year to Date Oct. 31, 2021
Receipts:		
Contributions ⁽¹⁾	\$ 2,382,099	\$ 6,775,499
Disbursements:		
Program management office		
Executive office	176,300	447,388
Community engagement	89,132	224,291
Program controls	207,622	324,067
Administration	403,703	712,403
Property	85,389	273,574
Permitting management	92,506	224,149
Program initiation		
Engineering	1,202,110	2,649,694
Fieldwork	788,813	1,334,913
Total disbursements	3,045,575	6,190,479
Net changes in cash	(663,476)	585,020
Cash at July 1, 2021	—	694,727
Cash at September 1, 2021	1,943,223	—
Cash at October 31, 2021	\$ 1,279,747	\$ 1,279,747

⁽¹⁾ California Department of Water Resources (DWR) contributions invoiced through the Delta Conveyance Office (DCO).

**DELTA CONVEYANCE DESIGN AND CONSTRUCTION JOINT POWERS AUTHORITY**

Statements of Revenues, Expenses and Changes in Net Position

	Sep. 1, 2021 - Oct. 31, 2021	Year to Date Oct. 31, 2021
Revenues:		
Contributions ⁽¹⁾	\$ 2,406,936	\$ 5,529,821
Expenses:		
Program management office		
Executive office	293,631	546,685
Community engagement	67,909	192,512
Program controls	228,844	436,466
Administration	402,978	845,743
Procurement	12,363	12,363
Property	64,758	159,178
Permitting management	86,547	195,553
Program initiation		
Engineering	940,347	2,142,457
Fieldwork	347,919	1,136,732
Total expenses	2,445,296	5,667,689
Changes in net position	(38,360)	(137,868)
Net position at June 30, 2021	—	923,390
Net position at August 31, 2021	823,882	—
Net position at October 31, 2021	\$ 785,522	\$ 785,522

* Balances may include prior month accruals that were not previously captured due to timing.

⁽¹⁾ DWR contributions invoiced through the DCO.



DELTA CONVEYANCE DESIGN AND CONSTRUCTION JOINT POWERS AUTHORITY

Schedule of Invoices Paid
for the Four Months Ended October 31, 2021

Vendor	Invoice #	Invoice Date	Payment Date	Period of Expense	Invoice Amount	Amount Paid
1 Caltronics Business Systems	3276389	06/21/21	07/01/21	05/21/21-06/20/21	\$ 1,796	\$ 1,796
2 Consolidated Communications	06152021	06/15/21	07/01/21	06/15/21-07/14/21	3,097	3,097
3 ARWS	19283	05/05/21	07/07/21	04/01/21-04/30/21	6,329	6,329
4 Bender Rosenthal, Inc.	18250.03-9	04/30/21	07/07/21	03/27/21-04/30/21	21,210	21,210
5 DirectApps Inc. (Direct Technology)	186339	04/30/21	07/07/21	04/01/21-04/30/21	13,854	13,854
6 Jacobs	W8X97003-10	05/17/21	07/07/21	03/26/21-04/30/21	975,478	975,478
7 Liberty Mutual Insurance	BKS61612217-1	06/08/21	07/07/21	07/01/21-06/30/22	10,966	10,966
8 Management Partners	INV09385	05/04/21	07/07/21	04/01/21-04/30/21	44,500	44,500
9 Metropolitan Water District of So. Ca	501762	05/19/21	07/07/21	04/01/21-04/30/21	19,100	19,100
10 RingCentral	CD_000262108	06/21/21	07/07/21	05/28/21-06/27/21	3,829	3,829
11 VMA Communications	DCA21Apr	04/30/21	07/07/21	04/01/21-04/30/21	31,271	31,271
12 Prime US-Park Tower LLC	20210701	07/01/21	07/14/21	07/01/21-07/31/21	94,284	94,284
13 ARWS	19326	06/03/21	07/21/21	05/01/21-05/31/21	9,440	9,440
14 Bank of America	N/A**	07/21/21	07/21/21	07/21/21	482	482
15 Bender Rosenthal, Inc.	18250.03-10	05/31/21	07/21/21	05/01/21-05/28/21	20,516	20,516
16 Best, Best, & Krieger	905963	05/28/21	07/21/21	04/01/21-04/30/21	18,418	18,418
17 Best, Best, & Krieger	903496	04/29/21	07/21/21	03/01/21-03/31/21	41,651	41,651
18 Liberty Mutual Insurance	BKS61612217-2	07/07/21	07/21/21	07/01/20-06/30/21	1	1
19 Management Partners	INV09464	06/01/21	07/21/21	05/01/21-05/31/21	44,500	44,500
20 Parsons	2106A866	06/10/21	07/21/21	05/01/21-05/28/21	235,792	235,792
21 Hamner, Jewell & Associates	200926	05/20/21	07/26/21	04/01/21-04/30/21	14,669	14,669
22 Stakeholder Committee Member	017	07/06/21	07/26/21	06/23/21	3,000	3,000
23 Carahsoft Technology Corp	IN972968	06/14/21	08/02/21	05/06/21-05/06/22	1,449	1,449
24 Bank of America	N/A**	08/02/21	08/02/21	08/02/21	8,306	8,306
25 Hamner, Jewell & Associates	200984	06/18/21	08/09/21	05/01/21-05/31/21	17,026	17,026
26 Gwen Buchholz, Permit Engineer, Inc.	002	06/18/21	08/09/21	05/01/21-05/31/21	19,125	19,125
27 Jacobs	W8X97003-11	06/11/21	08/09/21	05/01/21-05/28/21	750,938	750,938
28 Fugro USA Land, Inc.	04.00172510-12	06/10/21	08/09/21	05/01/21-05/28/21	429,588	429,588
29 AT&T	3650113603	06/19/21	08/09/21	06/19/21-07/18/21	1,979	1,979
30 Prime US-Park Tower LLC	20210801	08/01/21	08/09/21	08/01/21-08/31/21	94,283	94,283
31 Alliant	1688756	07/02/21	08/09/21	07/01/21-07/01/22	26,190	26,190
32 DirectApps Inc. (Direct Technology)	186694B	07/08/21	08/09/21	05/01/21-05/31/21	12,361	12,361
33 Metropolitan Water District of So. Ca	501768	06/16/21	08/09/21	05/01/21-05/31/21	24,399	24,399
34 AT&T	7592383605	07/19/21	08/11/21	07/19/21-08/18/21	1,979	1,979
35 Consolidated Communications	07152021	07/15/21	08/11/21	07/15/21-08/14/21	3,097	3,097
36 RingCentral	CD_000273835	07/21/21	08/11/21	06/28/21-07/27/21	3,844	3,844
37 Caltronics Business Systems	3296982	07/21/21	08/13/21	06/21/21-07/20/21	2,126	2,126
38 Best, Best, & Krieger	908166	06/23/21	08/27/21	05/01/21-05/31/21	16,883	16,883
39 Best, Best, & Krieger	909014	07/04/21	08/27/21	06/01/21-06/30/21	19,692	19,692
40 Spark Street Digital	3025	07/08/21	08/27/21	02/18/21-06/23/21	775	775
41 Gwen Buchholz, Permit Engineer, Inc.	003	07/01/21	08/27/21	06/01/21	28,250	28,250
42 VMA Communications	DCA21June	07/02/21	08/27/21	06/01/21-06/30/21	35,471	35,471
43 Bender Rosenthal, Inc.	18250.03-11	06/30/21	08/27/21	05/29/21-06/30/21	25,827	25,827
44 ARWS	19444	07/02/21	08/27/21	06/01/21-06/30/21	7,133	7,133
Subtotal July - August*					3,144,904	3,144,904
45 Parsons	2107B343	07/19/21	09/01/21	05/29/21-06/30/21	264,475	264,475
46 Consolidated Communications	08152021	08/15/21	09/01/21	08/15/21-09/14/21	3,097	3,097
47 RingCentral	CD_000285699	08/21/21	09/01/21	07/28/21-08/27/21	3,830	3,830
48 Jacobs	W8X97003-12	07/15/21	09/07/21	05/01/21-06/30/21	918,005	918,005
49 Management Partners	INV09534	07/01/21	09/07/21	06/01/21-06/20/21	29,875	29,875
50 DirectApps Inc. (Direct Technology)	187057	06/30/21	09/07/21	06/01/21-06/30/21	15,000	15,000
51 VMA Communications	DCA21May	05/31/21	09/07/21	05/01/21-05/31/21	10,346	10,346
52 Hamner, Jewell & Associates	201004	07/13/21	09/07/21	06/01/21-06/30/21	8,802	8,802
53 AT&T	6878914605	08/19/21	09/07/21	08/19/21-09/18/21	1,979	1,979
54 Prime US-Park Tower LLC	20210901	09/01/21	09/07/21	09/01/21-09/30/21	94,371	94,371
55 Caltronics Business Systems	3320635	08/26/21	09/07/21	07/21/21-08/20/21	2,032	2,032
56 Fugro USA Land, Inc.	04.00172510-13	07/12/21	09/29/21	05/29/21-07/02/21	543,428	543,428
57 Parsons	2107C110	07/26/21	10/04/21	05/29/21-06/30/21	2,034	2,034
58 Gwen Buchholz, Permit Engineer, Inc.	004	08/10/21	10/04/21	07/01/21-07/31/21	9,500	9,500
59 Parsons	2108B390	08/11/21	10/04/21	07/01/21-07/30/21	221,424	221,424
60 Management Partners	INV09624	08/04/21	10/04/21	07/01/21-07/31/21	44,500	44,500
61 Audio Visual Innovations, Inc.	1561910	07/29/21	10/04/21	07/01/21-07/31/21	8,540	8,540

* Totals may not foot due to rounding.

**Auto-withdrawal for Bank of America Line of Credit fee.



DELTA CONVEYANCE DESIGN AND CONSTRUCTION JOINT POWERS AUTHORITY

Schedule of Invoices Paid
for the Four Months Ended October 31, 2021
(Continued)

Vendor	Invoice #	Invoice Date	Payment Date	Period of Expense	Invoice Amount	Amount Paid
62 Metropolitan Water District of So. Ca	501782	07/30/21	10/04/21	06/01/21-06/30/21	20,261	20,261
63 Keogh Multimedia	MK-2021-03	07/21/21	10/04/21	04/01/21-06/30/21	1,300	1,300
64 Jacobs	W8X97004-01	08/17/21	10/04/21	07/01/21-07/30/21	542,104	542,104
65 Fugro USA Land, Inc.	04.00172510-14	08/10/21	10/04/21	07/03/21-07/30/21	123,386	123,386
66 DirectApps Inc. (Direct Technology)	187605	07/31/21	10/04/21	07/01/21-07/31/21	11,909	11,909
67 VMA Communications	DCA21July	08/01/21	10/04/21	07/01/21-07/31/21	9,000	9,000
68 ARWS	19508	08/03/21	10/04/21	07/01/21-07/31/21	784	784
57 Bender Rosenthal, Inc.	18250.04-1	07/31/21	10/04/21	07/01/21-07/31/21	7,021	7,021
58 Hamner, Jewell & Associates	201055	08/18/21	10/04/21	07/01/21-07/31/21	1,247	1,247
59 AT&T	9279655604	09/19/21	10/06/21	09/19/21-10/18/21	1,979	1,979
60 Caltronics Business Systems	3340147	09/24/21	10/06/21	08/21/21-09/20/21	2,032	2,032
61 RingCentral	CD_000297744	09/21/21	10/06/21	08/28/21-09/27/21	3,830	3,830
62 Convergent Systems	40373	09/03/21	10/13/21	07/01/20-09/30/20	534	534
63 Convergent Systems	40374	09/03/21	10/13/21	10/01/20-12/31/20	534	534
64 Convergent Systems	40375	09/03/21	10/13/21	01/01/21-03/31/21	534	534
65 Convergent Systems	40376	09/03/21	10/13/21	04/01/21-06/30/21	534	534
66 Convergent Systems	40377	09/03/21	10/13/21	07/01/21-09/30/21	534	534
67 ARWS	19627	09/07/21	10/13/21	08/01/21-08/31/21	634	634
68 Bender Rosenthal, Inc.	18250.04-2	08/31/21	10/13/21	07/31/21-08/27/21	14,242	14,242
57 Gwen Buchholz, Permit Engineer, Inc.	005	09/10/21	10/13/21	08/01/21-08/31/21	11,750	11,750
58 AirTouch Cellular (Verizon)	9886480395	09/24/21	10/13/21	07/18/21-08/17/21	120	120
59 AirTouch Cellular (Verizon)	9888657505	09/24/21	10/13/21	08/18/21-09/17/21	120	120
60 Prime US-Park Tower LLC	20211001	10/01/21	10/13/21	10/01/21-10/31/21	94,371	94,371
61 Stakeholder Committee Member	018	09/23/21	10/18/21	09/22/21	3,250	3,250
62 DirectApps Inc. (Direct Technology)	187996	08/31/21	10/22/21	08/01/21-08/31/21	12,391	12,391
63 DirectApps Inc. (Direct Technology)	187857	08/31/21	10/22/21	06/01/21-06/30/21	186	186
64 Stakeholder Committee Member ⁽¹⁾	017	07/06/21	10/27/21	06/23/21	(250)	(250)
Subtotal Septmber - October *					\$ 3,045,575	\$ 3,045,575
Total July - October*					6,190,479	6,190,479

* Totals may not foot due to rounding.

⁽¹⁾ Per the request of a stakeholder committee member, the check issued on July 26, 2021 for invoice 017 was voided on October 27, 2021 and reissued on November 1, 2021.

**DELTA CONVEYANCE DESIGN AND CONSTRUCTION JOINT POWERS AUTHORITY**Accounts Payable Aging Schedule
As of October 31, 2021

<u>Payable To:</u>	<u>1 - 30</u>	<u>31 - 60</u>	<u>61 - 90</u>	<u>> 90</u>	<u>Total</u>
ARWS					
Invoice #19721	\$ 166	\$ —	\$ —	\$ —	166
AT&T					
Invoice #7590906607	1,979	—	—	—	1,979
Bender Rosenthal, Inc.					
Invoice #18250.04-3	10,961	—	—	—	10,961
Best, Best, & Krieger					
Invoice #913321	15,603	—	—	—	15,603
Invoice #915548	19,070	—	—	—	19,070
Caltronics Business Systems					
Invoice #3359192	1,753	—	—	—	1,753
Commuter Industries, Inc.					
Invoice #210041	152	—	—	—	152
Invoice #210089	478	—	—	—	478
Consolidated Communications					
Invoice #9152021	3,097	—	—	—	3,097
Invoice #10152021	1,548	—	—	—	1,548
Convergent Systems					
Invoice #40627	177	—	—	—	177
DirectApps Inc. (Direct Technology)					
Invoice #188453	12,083	—	—	—	12,083
Fugro USA Land, Inc.					
Invoice #04.00172510-15	168,440	—	—	—	168,440
Invoice #04.00172510-16	75,234	—	—	—	75,234
Gwen Buchholz, Permit Engineer, Inc.					
Invoice #006	19,750	—	—	—	19,750
Hamner, Jewell & Associates					
Invoice #201122	944	—	—	—	944
Jacobs					
Invoice #W8X97004-02	564,146	—	—	—	564,146
Invoice #W8X97004-03	564,011	—	—	—	564,011
Management Partners					
Invoice #INV09710	44,500	—	—	—	44,500
Invoice #INV09566	14,625	—	—	—	14,625
Invoice #INV09804	44,500	—	—	—	44,500
Metropolitan Water District of So. Ca					
Invoice #501787	15,510	—	—	—	15,510
Invoice #501791	19,709	—	—	—	19,709
Invoice #501792	21,698	—	—	—	21,698
Parsons					
Invoice #2109B118	270,084	—	—	—	270,084
Invoice #2110B447	244,562	—	—	—	244,562
Ring Central					
Invoice #CD_000311242	3,830	—	—	—	3,830
Stakeholder Committee Member					
Invoice #017	250	—	—	—	250
VMA Communications					
Invoice #DCA21Aug	11,500	—	—	—	11,500
	\$ 2,150,360	\$ —	\$ —	\$ —	\$ 2,150,360

*Totals may not foot due to rounding.

**DELTA CONVEYANCE DESIGN AND CONSTRUCTION JOINT POWERS AUTHORITY**Accounts Receivable Aging Schedule ⁽¹⁾
As of October 31, 2021

<u>Receivable From:</u>	<u>1 - 30</u>		<u>31 - 60</u>		<u>61 - 90</u>		<u>> 90</u>		<u>Total</u>
Department of Water Resources									
Invoice #DCA-256	\$	168,440	\$	—	\$	—	\$	—	\$ 168,440
Invoice #DCA-260		75,233		—		—		—	75,233
Invoice #DCA-261		564,146		—		—		—	564,146
Invoice #DCA-262		23,000		—		—		—	23,000
Invoice #DCA-263		270,084		—		—		—	270,084
Invoice #DCA-264		244,562		—		—		—	244,562
Invoice #DCA-265		69,892		—		—		—	69,892
Invoice #DCA-266		67,709		—		—		—	67,709
Invoice #DCA-267		564,011		—		—		—	564,011
Invoice #DCA-268		32,987		—		—		—	32,987
	\$	2,080,064	\$	—	\$	—	\$	—	\$ 2,080,064

*Totals may not foot due to rounding.

⁽¹⁾ Approval date by the DCO determines aging classification.

DELTA CONVEYANCE DESIGN AND CONSTRUCTION JOINT POWERS AUTHORITY

Statements of Cash Receipts and Disbursements

	Sep. 1, 2021 - Oct. 31, 2021	Year to Date Oct. 31, 2021
Receipts:		
Contributions ⁽¹⁾	\$ 2,382,099	\$ 6,775,499
Disbursements:		
Program management office		
Executive office	176,300	447,388
Community engagement	89,132	224,291
Program controls	207,622	324,067
Administration	403,703	712,403
Property	85,389	273,574
Permitting management	92,506	224,149
Program initiation		
Engineering	1,202,110	2,649,694
Fieldwork	788,813	1,334,913
Total disbursements	3,045,575	6,190,479
Net changes in cash	(663,476)	585,020
Cash at July 1, 2021	—	694,727
Cash at September 1, 2021	1,943,223	—
Cash at October 31, 2021	\$ 1,279,747	\$ 1,279,747

Statements of Revenues, Expenses and Changes in Net Position

	Sep. 1, 2021 - Oct. 31, 2021	Year to Date Oct. 31, 2021
Revenues:		
Contributions ⁽¹⁾	\$ 2,406,936	\$ 5,529,821
Expenses:		
Program management office		
Executive office	293,631	546,685
Community engagement	67,909	192,512
Program controls	228,844	436,466
Administration	402,978	845,743
Procurement	12,363	12,363
Property	64,758	159,178
Permitting management	86,547	195,553
Program initiation		
Engineering	940,347	2,142,457
Fieldwork	347,919	1,136,732
Total expenses	2,445,296	5,667,689
Changes in net position	(38,360)	(137,868)
Net position at June 30, 2021	—	923,390
Net position at August 31, 2021	823,882	—
Net position at October 31, 2021	\$ 785,522	\$ 785,522

* Balances may include prior month accruals that were not previously captured due to timing.

⁽¹⁾ California Department of Water Resources (DWR) contributions invoiced through the Delta Conveyance Office (DCO).



DELTA CONVEYANCE DESIGN AND CONSTRUCTION JOINT POWERS AUTHORITY

	Statements of Cash Receipts and Disbursements	Statements of Cash Receipts and Disbursements	Statements of Revenues, Expenses and Changes in Net Position	Statements of Revenues, Expenses and Changes in Net Position
	Sep. 1, 2021 - Oct. 31, 2021	Year to Date Oct. 31, 2021	Sep. 1, 2021 - Oct. 31, 2021	Year to Date Oct. 31, 2021
Receipts/Revenues:				
Contributions ⁽¹⁾	\$ 2,382,099	\$ 6,775,499	\$ 2,406,936	\$ 5,529,821
Disbursements/Expenses:				
Program management office				
Executive office	176,300	447,388	293,631	546,685
Community engagement	89,132	224,291	67,909	192,512
Program controls	207,622	324,067	228,844	436,466
Administration	403,703	712,403	402,978	845,743
Procurement	—	—	12,363	12,363
Property	85,389	273,574	64,758	159,178
Permitting management	92,506	224,149	86,547	195,553
Program initiation				
Engineering	1,202,110	2,649,694	940,347	2,142,457
Fieldwork	788,813	1,334,913	347,919	1,136,732
Total disbursements/expenses	3,045,575	6,190,479	2,445,296	5,667,689
Net changes in cash	(663,476)	585,020		
Cash at July 1, 2021	—	694,727		
Cash at September 1, 2021	1,943,223	—		
Cash at October 31, 2021	\$ 1,279,747	\$ 1,279,747		
Changes in net position			(38,360)	(137,868)
Net position at June 30, 2021			—	923,390
Net position at August 31, 2021			823,882	—
Net position at October 31, 2021			\$ 785,522	\$ 785,522

* Balances may include prior month accruals that were not previously captured due to timing.

⁽¹⁾ DWR contributions invoiced through the DCO.



Delta Conveyance Design and Construction Joint Powers Authority

Budget vs Cost by Appropriation - PTD, YTD

Current Period: SEP-21 & OCT-21

Appropriation	Period-to-Date				Year-to-Date				Fiscal Year	
	Actual	Budget	Variance	Variance %	Actual	Budget	Variance	Variance %	Total Budget	
Program management office										
Executive office	\$ 293,631	\$ 448,507	\$ 154,876	34.5%	\$ 546,685	\$ 869,610	\$ 322,925	37.1%	\$ 3,452,245	
Community engagement	67,909	105,651	37,742	35.7%	192,512	186,052	(6,460)	-3.5%	775,305	
Program controls	228,844	245,440	16,596	6.8%	436,466	490,880	54,414	11.1%	1,472,640	
Administration	402,978	587,197	184,219	31.4%	845,743	998,658	152,915	15.3%	2,568,238	
Procurement	12,363	12,352	(11)	-0.1%	12,363	24,704	12,341	50.0%	74,112	
Property	64,758	86,047	21,289	24.7%	159,178	172,093	12,915	7.5%	516,280	
Permitting management	86,547	93,422	6,875	7.4%	195,553	186,845	(8,708)	-4.7%	560,534	
Health and safety	—	3,600	3,600	100.0%	—	7,200	7,200	100.0%	21,600	
Quality management	—	2,336	2,336	100.0%	—	4,672	4,672	100.0%	14,016	
Program initiation										
Engineering	940,347	1,308,186	367,839	28.1%	2,142,457	2,577,761	435,304	16.9%	8,367,456	
Fieldwork	347,919	188,262	(159,657)	-84.8%	1,136,732	376,525	(760,207)	-201.9%	5,677,574	
Total	\$ 2,445,296	\$ 3,081,000	\$ 635,704	20.6%	\$ 5,667,689	\$ 5,895,000	\$ 227,311	3.9%	\$ 23,500,000	



Delta Conveyance Design and Construction Joint Powers Authority
Appropriation - Trend
Current Period: SEP-21 & OCT-21

Appropriation	Period To Date																									
	JUL-21		AUG-21		SEP-21		OCT-21		NOV-21		DEC-21		JAN-22		FEB-22		MAR-22		APR-22		MAY-22		JUN-22		Total	
Program management office																										
Executive office	\$	125,609	\$	127,445	\$	33,329	\$	260,302		—		—		—		—		—		—		—		—	\$	546,685
Community engagement		84,899		39,704		11,500		56,409		—		—		—		—		—		—		—		—		192,512
Program controls		119,185		88,437		—		228,844		—		—		—		—		—		—		—		—		436,466
Administration		220,619		222,146		141,420		261,558		—		—		—		—		—		—		—		—		845,743
Procurement		—		—		—		12,363		—		—		—		—		—		—		—		—		12,363
Property		67,019		27,401		24,872		39,886		—		—		—		—		—		—		—		—		159,178
Permitting management		65,373		43,633		11,750		74,797		—		—		—		—		—		—		—		—		195,553
Health and safety		—		—		—		—		—		—		—		—		—		—		—		—		—
Quality management		—		—		—		—		—		—		—		—		—		—		—		—		—
Program initiation																										
Engineering		720,365		481,745		26,700		913,647		—		—		—		—		—		—		—		—		2,142,457
Fieldwork		623,458		165,355		168,440		179,479		—		—		—		—		—		—		—		—		1,136,732
Total	\$	2,026,527	\$	1,195,866	\$	418,011	\$	2,027,285		—		—		—		—		—		—		—		—	\$	5,667,689

* Totals may not foot/crossfoot due to rounding.

Environmental Manager's Report

Contact: Carolyn Buckman, DWR Environmental Manager

Date: November 18, 2021, Board Meeting

Item No. 8c

Subject: Environmental Manager's Report

Summary:

The Department of Water Resources (DWR) is working through the California Environmental Quality Act (CEQA) process to analyze a single-tunnel solution to modernizing and rehabilitating the State Water Project infrastructure in the Delta.

Detailed Report:

DWR is continuing to develop an Environmental Impact Report (EIR) under CEQA. DWR has identified a range of reasonable alternatives to analyze in the EIR, and current efforts are focused on assessing the alternatives' potential impacts on environmental resources and identifying mitigation measures, if needed. The U.S. Army Corps of Engineers (USACE), as part of its permitting review under the Clean Water Act and Rivers and Harbors Act, has started preparation of an Environmental Impact Statement to comply with the National Environmental Policy Act (NEPA). DWR and USACE are planning to release draft environmental documents for public review in mid-2022.

Field activities under the Initial Study/Mitigated Negative Declaration for Soil Investigations in the Delta (including cone penetration tests, soil borings, and geophysical surveys) are proceeding. DWR has added a link to our public information website to help provide information to interested members of the public and are updating a map weekly of the near-term planned explorations (<https://water.ca.gov/Programs/State-Water-Project/Delta-Conveyance/Public-Information>). Field investigations have experienced a short delay because of wet weather but the current round of investigations are expected to be complete in November. Additionally, DWR and the DCA are continuing work to obtain temporary entry for additional soil surveys on private lands. DWR is also continuing to pursue permits for soil survey sites that fall under the jurisdiction of the Rivers and Harbors Act (Section 408). Investigations at any given site will not occur until property owners have been notified and required permits and approvals for that site have been obtained.

DWR conducted four technical webinars to inform the public and interested stakeholders about the current progress related to preparation of the Draft EIR. If people missed the meetings, the meeting materials and videos (in English and Spanish) are available on DWR's website: <https://water.ca.gov/Programs/State-Water-Project/Delta-Conveyance/DCP-Informational-Webinars>

Recommended Action:

Information only.