



December 17, 2020

Delta Conveyance Design and Construction Authority
Board of Directors

Subject: ***Final Materials for the December 17, 2020, Regular Board Meeting***

Members of the Board:

The next regular meeting of the Delta Conveyance Design and Construction Authority (DCA) Board of Directors is scheduled for **Today, December 17, 2020 at 2:00 p.m.** and will be held completely online via conference line and video through Ring Central (Zoom). The call-in and video information is provided in the attached agenda and a link will also be posted on the dcdca.org website.

Please note that given the current COVID-19 outbreak, the DCA will comply with public health recommendations regarding public meetings and social distancing efforts. Any meeting changes or cancellation will be communicated.

Enclosed are the final materials for the Board meeting in a PDF file, which has been bookmarked for your convenience.

Regards,

A handwritten signature in black ink that reads "Kathryn Mallon". The signature is written in a cursive style and is positioned above a faint, light-colored rectangular stamp.

Kathryn Mallon
DCA Executive Director



DELTA CONVEYANCE DESIGN AND CONSTRUCTION AUTHORITY
BOARD OF DIRECTORS MEETING

REGULAR MEETING

Thursday, December 17, 2020

2:00 p.m.

Teleconference Meeting Only; No Physical Meeting Location
(Authorized by and in furtherance of Executive Orders N-29-20 and N-33-20)

Additional information about participating by telephone or via the remote meeting solution is available here: <https://www.dcdca.org>

Conference Access Information:

Phone Number: (916)262-7278 Access Code: 1481527907#

Electronic Meeting Link:

Please join my meeting from your computer, tablet or smartphone

<https://meetings.ringcentral.com/j/1481527907>

AGENDA

In compliance with the Governor's Executive Orders and based on the Sacramento County health order and similar orders statewide, the meeting will be held electronically only through the listed meeting link and telephone number. Assistance to those wishing to participate in the meeting in person or remotely will be provided to those requiring accommodations for disabilities in compliance with the Americans with Disabilities Act of 1990. Interested person must request the accommodation as soon as possible in advance of the meeting by contacting the DCA support staff at (888) 853-8486 or info@dcdca.org. Members of the public may speak regarding items on the agenda when recognized by the Chair as set forth below. Speakers are limited to three minutes each; however, the Chair may limit this time when reasonable based on the circumstances. Persons wishing to provide public comment remotely on Agenda Items should complete a public comment request form at: <https://tinyurl.com/dcapubliccomment> by 2:15 pm. Additional information will be provided at the commencement of the meeting.

1. CALL TO ORDER
2. ROLL CALL
3. PLEDGE OF ALLEGIANCE
4. PUBLIC COMMENT

Members of the public may address the Authority on matters that are within the Authority's jurisdiction whether they are on or off the agenda. Speakers are limited to three minutes each; however, the Chair may limit this time when reasonable based on the circumstances. Persons wishing to speak may do so remotely through the electronic meeting link or teleconference number when recognized by the Chair. Parties wishing to provide remote public comment on Agenda Items should complete a public comment request form at: <https://tinyurl.com/dcapubliccomment> by 2:15 p.m.

5. APPROVAL OF MINUTES:

- (a) November 19, 2020 Regular Board Meeting

6. CONSENT CALENDAR

Items on the Consent Calendar are considered to be routine by the Board of Directors and will be enacted by one motion and one vote. There will be no separate discussion of these items unless a director so requests, in which event the item will be removed from the Consent Calendar and considered separately.

- (a) None.

7. DISCUSSION ITEMS

- (a) DCA FY 2019/2020 Audit Report
Recommended Action: Information Only
- (b) December DCA Monthly Report
Recommended Action: Information Only
- (c) DCA Leadership Spotlight – Andrew Finney, Geotech Lead
Recommended Action: Information Only
- (d) Stakeholder Engagement Committee Update
Recommended Action: Information Only
- (e) Stakeholder Engagement Report Out
Recommended Action: Information Only

8. STAFF REPORTS AND ANNOUNCEMENTS

- (a) General Counsel’s Report
- (b) Treasurer’s Report
- (c) DWR Environmental Manager’s Report
- (d) Verbal Reports, if any

9. FUTURE AGENDA ITEMS

10. ADJOURNMENT

* * * * *

Next scheduled meeting: January 21, 2020 Regular Board Meeting at 2:00 p.m. (1:30 p.m. if there is a closed session) in the DCA Board Room, Park Tower, 980 9th Street, Suite 100, Sacramento, CA 95814

BOARD OF DIRECTORS MEETING

MINUTES

REGULAR MEETING

Thursday, November 19th, 2020

2:00 PM

(Paragraph numbers coincide with agenda item numbers)

1. CALL TO ORDER

The regular meeting of the Delta Conveyance Design and Construction Authority (DCA) Board of Directors was called to order remotely - Conference Access Information: Phone Number: (916)262-7278 Access Code: 1480542176# <https://meetings.ringcentral.com/j/1480542176>.

2. ROLL CALL

Board members in attendance were Richard Atwater, Tony Estremera, Sarah Palmer, and Steve Blois constituting a quorum of the Board.

DCA staff members in attendance were Kathryn Mallon, Joshua Nelson, Nazli Parvizi, Phil Ryan, and Katano Kasaine.

DWR staff member in attendance was Carrie Buckman.

3. PLEDGE OF ALLEGIANCE

President Richard Atwater convened the open session at approximately 2:01 p.m. and led all present in reciting the Pledge of Allegiance.

4. PUBLIC COMMENT

President Atwater opened Public Comment, limiting speaking time to three minutes each.

No public comment request were received for non-agendized items.

President Atwater closed Public Comment.

5. APPROVAL OF MINUTES: October 15, 2020 Regular Board Meeting and October 26, 2020 Special Board Meeting

Recommendation: Approve the October 15, 2020 Regular Board Meeting and October 26, 2020 Special Board Meeting Minutes

Move to Approve Minutes from October 15, 2020 and October 26, 2020 as

Noted: Palmer

Second: Estremera

Yeas: Estremera, Palmer, Blois, Atwater

Nays: None

Abstains: None
Recusals: None
Absent: None
Summary: 4 Yeas; 0 Nays; 0 Abstains; 0 Absent. (Motion passed as MO 20-11-01).

6. CONSENT CALENDAR

None.

7. DISCUSSION ITEMS:

a. DCA FY 20/21 Budget Amendment

Recommended Action: Motion to Approve Budget Amendment

Ms. Mallon proposed the DCA annual budget for the current fiscal year be reduced from 34M to 27M. Once approved by the Board, the DCA will take the steps to modify the budget in our reporting and modify contracts accordingly.

Ms. Palmer commended the DCA for the efforts made in trimming the budget.

Motion to Adopt the DCAFY 20/21 Budget Amendment

as Noted: Estremera
Second: Palmer
Yeas: Estremera, Atwater, Palmer, Blois
Nays: None
Abstains: None
Recusals: None
Absent: None
Summary: 4 Yeas; 0 Nays; 0 Abstains; 0 Absent. (Motion passed as MO 20-11-02).

b. November DCA Monthly Report

Ms. Mallon noted this will be the last report with the original budgeted scope of services. Over the next month the DCA will be modifying budgets, commitments, and the schedule to reflect the budget changes that were approved.

c. DCA Leadership Spotlight – Phil Ryan, Engineering Design Manager

Mr. Ryan provided an overview of his educational background, receiving a BS and MS in Agricultural Engineering, as well as having 37 years of professional experience both nationally and internationally. While working for one company (CH2M/Jacobs) most of his career, he was selected as the Global Technology Leader for Conveyance Design before he became the Intakes Lead for the DCA in 2019. Mr. Ryan has worked on different iterations of the Delta Conveyance Project and is presently the Engineering Design Manager.

10 Minutes Recess

Mr. Ryan was the Project Manager for the Freeport Regional Water Project, similar to the type of configurations to be used on the Delta Conveyance Project. Mr. Ryan was also the Project Manager for the Natomas Mutual Water Company (Sankey Division) and the Sacramento Regional Sanitation District (Echo Water Program). Mr. Ryan worked as the Design Manager for the Southern Nevada Water Authority (Raw Water Pumping System) and Tarrant Regional Water District (Lake Intakes and Pump Stations). Additionally, Mr. Ryan was the Senior Technical Consultant for the Great Lakes Water Authority (Transmission Pipeline Relocation). Mr. Ryan emphasized the importance for an Engineering Design Manager to be well rounded in many disciplines, including administrative and project management.

Mr. Ryan provides technical management for the DCA EDM team and offers technical leadership and consistency for the project. He participates in leadership meetings and workshops to give support for the entire DCA/DCO team. Mr. Ryan is key in the delivery of SEC technical presentations about the engineering, having the details be at a level for public consumption. Additionally, Mr. Ryan supports the Executive Director with external technical communications. Mr. Ryan led the delivery of the DCA's draft conceptual engineering for the Central and Eastern Corridors, including over 50 TMs and 300 drawings. Currently, he is also leading the DCA's preparation of similar materials for the Bethany Reservoir Alternative. Mr. Ryan assisted in developing innovative solutions for the DCA to help reduce the cost and effects of the project and maximize the potential for success as the project moves forward.

Mr. Atwater thanked Mr. Ryan for his hard work on the DCA.

Mr. Blois was impressed with Mr. Ryan's resume and what he has accomplished in his career.

Ms. Palmer commented on Mr. Ryan's ability to deliver information in a way that is easy to understand. Ms. Palmer referenced the detailed map book Mr. Ryan made possible for the SEC and the public. This has been instrumental in promoting the project and being able to receive critical input.

In response to technical issues with the RingCentral platform, the Board ratified its prior action items 5 and 7a. For Item 5, motions was made by Ms. Palmer, seconded by Mr. Estremera. The vote was 4-0. For Item 7a, motion was made by Ms. Palmer, seconded by Mr. Estremera. The vote was 4-0.

d. Stakeholder Engagement Committee Update

Ms. Parvizi gave an update on the November 5th SEC meeting which included a presentation about the logistics for the Bethany Alternative. The future of the SEC was discussed with topics relating to a community benefits framework, Geotech studies, and potential design changes. Ms. Parvizi noted the DCA has recently made an effort for the presentations to be less dense in order to receive productive and fruitful feedback from the SEC and this was accomplished at this last meeting. Ms. Parvizi referenced letters sent to the Board by Karen Mann, the Delta Counties Coalition, and the Whaley's (three letters have been attached to the minutes). There has been mounting frustration from different groups about the narrow parameters of the SEC. Unfortunately, we are unable to change the mandate of the SEC. Ms. Parvizi clarified anything outside of the SEC purview, including other alternatives, must be done by DWR in another forum. The DCA appreciates the SEC forum and the ways the feedback has been implemented in the design.

e. Stakeholder Engagement Report Out

None.

8. STAFF REPORTS AND ANNOUNCEMENTS:

a. General Counsel’s Report

A written report was provided in the Board package. Mr. Nelson noted that the DCA continues to comply with the State and County Covid-19 health orders as Sacramento County moves into the “purple” tier.

b. Treasurer’s Report

A written report was provided in the Board package. Ms. Kasaine stated that as of November 10th, the DCA has a cash balance of approximately 2.5M. Additionally, the auditors will present the DCA financials at the December Board meeting.

c. DWR Environmental Manager’s Report

A written report was provided in the Board package. Ms. Buckman highlighted the continued work for the CEQA documentation with the EIR and the main activities right now are describing the existing conditions and identifying the methods to be used to analyze impacts associated with the different alternatives. The Army Corp of Engineers (ACOE) received 90 scoping comment letters and emails that they are processing to start preparation for the EIS. DWR is working on soil explorations along with the DCA. Ms. Buckman mentioned the geophysical surveys done and soil borings on Bouldin Island and are completing geotechnical activities on public Right of Way (ROW) and other areas and working to obtain temporary entry permits on private property. Ms. Buckman noted the Environmental Justice Survey due date has been extended to December 11th and they should have information on results around the end of the year. Additionally, DWR is working on developing a conceptual approach to form a community benefits program. This will be presented at the SEC meeting in December. DWR wants to work collaboratively with the community as the part of the development of this effort.

d. Verbal Reports

Ms. Palmer indicated that at the recent Zone 7 Board meeting, they authorized the General Manager to inform DWR that they would like to participate in the Delta Conveyance Project at a 2.2% participation level. They have committed 2 years and \$2.8M in funding. The General Manager will execute the Joint Powers Agreement (JPA) and Zone 7 Water Agency will remain a member of the DCA.

Ms. Osha Meserve, Local Agencies of the North Delta, expressed her frustration that the CEQA process would not look at other alternatives, including Alternative 9. Ms. Meserve previously recommended intakes should be located away from the Delta communities and towards high flow locations in the west. Ms. Meserve was concerned with the limited scope of the SEC and said this needs to be made clear and not overstated in other venues. Ms. Meserve referenced the recent Santa Clara Board meeting and felt there were disrespectful comments made by Board members about the Sierra Club. She also felt the Board was not fully aware of the various groups concerned

with the project, aside from the environmental groups. Ms. Meserve recommended there be better communication with the Board about what is happening in Sacramento.

9. FUTURE AGENDA ITEMS:

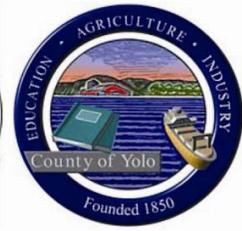
None.

10. ADJOURNMENT:

President Atwater adjourned the meeting at 3:02p.m., remotely - Conference Access Information:

Phone Number: (916)262-7278 Access Code: 1480542176#

<https://meetings.ringcentral.com/j/1480542176>.



Delta Counties Coalition

Contra Costa County · Sacramento County · San Joaquin County · Solano County · Yolo County
"Working together on water and Delta issues"

October 27, 2020

Karla Nemeth, Director
California Department of Water
Resources
1416 9th Street, 11th Floor
Sacramento, CA 95814

Kathryn Mallon, Executive Director
Delta Conveyance Design and
Construction Authority
Park Tower
980 9th Street
Sacramento, CA 95814

Re: Delta Conveyance Alternatives and the Delta Conveyance Design and Construction Authority's Stakeholder Engagement Committee

Dear Director Nemeth and Executive Director Mallon,

This letter addresses two related concerns of the Delta Counties Coalition (DCC).¹ First, the DCC is concerned that a meaningful analysis of non-tunnel alternatives is not occurring in the Delta Conveyance planning process. Second, the DCC is also concerned about the overstatement of the value of the Delta Conveyance Design and Construction Authority Stakeholder Engagement Committee ("DCA's Committee"). Specifically, that the Delta Conveyance Design and Construction Authority ("DCA") and the Department of Water Resources ("DWR") continue to misconstrue the DCA's Committee process as meaningful engagement with Delta communities and local governments broadly. We are bringing these issues forward to correct the record.

¹ The DCC is an alliance of the Counties of Contra Costa, Sacramento, San Joaquin, Solano, and Yolo. These counties work collaboratively to give one voice on behalf of the Sacramento-San Joaquin River Delta and its four million area-wide residents. The DCC advocates to protect and enhance Delta communities and existing land uses, improve the Delta ecosystem and provide a more reliable water supply for the State.

Background

"New" Delta Conveyance Planning Process

On April 29, 2019, Governor Newsom adopted Executive Order N-10-19,² directing that the:

California Natural Resources Agency, the California Environmental Protection Agency, the California Department of Food and Agriculture, in consultation with the Department of Finance, shall together prepare a water resilience portfolio that meets the needs of California's communities, economy, and environment through the 21st century.

The Executive Order includes instruction to these entities to "first inventory and assess current planning to modernize conveyance through the Bay Delta with a new single tunnel project." Three days later, at the direction of the Governor, DWR rescinded its project approvals for the former California WaterFix Project ("CWF"). The *Modernizing Delta Conveyance Infrastructure Q&A* released on that same day stated that:

The Newsom administration wants to engage with Delta communities to hear their ideas and concerns Local input and active engagement will be critical to ensuring a solution that meets the project objectives.

(Q&A Question 6.)³

In addition, the May 2, 2019, Press Release stated that:

DWR will work with local public water agencies that are partners in the conveyance project to incorporate the latest science and innovation to design the new conveyance project, and work with Delta communities and other stakeholders to limit local impacts of the project.

² Executive Order N-10-19, available at: <https://www.gov.ca.gov/wp-content/uploads/2019/04/4.29.19-EO-N-10-19-Attested.pdf>.

³ Available at: <https://water.ca.gov/-/media/DWR-Website/Web-Pages/Programs/Delta-Conveyance/Modernizing-Delta-Conveyance-Infrastructure-QA-9419a.pdf?la=en&hash=5DC8C7C9AEF9E27666EC01702EFAD3E26B6D01A7>.

In the Resources Agency's Press Release dated May 2, 2019, Secretary Crowfoot was quoted as saying:

A smaller project, **coordinated with a wide variety of actions** to strengthen existing levee protections, protect Delta water quality, recharge depleted groundwater reserves, and strengthen local water supplies across the state, will build California's water supply resilience.⁴

(Bold added.)

Yet DWR's definition of the project objectives in the January 15, 2020, Notice of Preparation ("NOP") for the Environmental Impact Report ("EIR") for the Delta Conveyance Project ("DCP") was much narrower. The NOP states that the fundamental purpose of the project is to "develop new diversion and conveyance facilities in the Delta necessary to restore and protect the reliability of State Water Project ("SWP") water deliveries." Protecting Delta communities or Delta water quality is not included. (NOP p. 2.⁵) However, the Delta Stewardship Council's Delta Plan Policy DP P2 – Respect Local Land Use When Siting Water or Flood Facilities or Restoring Habitats (23 Cal. Code Regs., § 5011) ("DP P2") does require that:

Water management facilities, ecosystem restoration, and flood management infrastructure must be sited to avoid or reduce conflicts with existing uses or those uses described or depicted in city and county general plans for their jurisdictions or spheres of influence when feasible, considering comments from local agencies and the Delta Protection Commission. Plans for ecosystem restoration must consider sites on existing public lands, when feasible and consistent with a project's purpose, before privately owned sites are purchased. Measures to mitigate conflicts with adjacent uses may include, but are not limited to, buffers to prevent adverse effects on adjacent farmland.

Delta Conveyance Design and Construction Authority Stakeholder Committee

⁴ Available at: https://water.ca.gov/-/media/DWR-Website/Web-Pages/Programs/Delta-Conveyance/CNRA_PressRelease_-5-2-19_a.pdf?la=en&hash=106A38E17FDA97B34F1453E14CE8B26164DE7883

⁵ Available at: https://water.ca.gov/-/media/DWR-Website/Web-Pages/Programs/Delta-Conveyance/Delta_Conveyance_Project_NOP_20200115_508.pdf?la=en&hash=74B80DAAE5B9C4BC2EB0619B6A252011F72D1087.

On September 19, 2020, the DCA formed the Stakeholder Engagement Committee ("Committee").⁶ The resolution forming the Committee specified that:

- B. The Committee's business discussions shall be only focused on DCA Activities and the Committee is expressly not the proper forum for:
 - 1. Debates or deliberations regarding whether or not DWR should undertake a Conveyance Project.
 - 2. DWR's consideration of the Conveyance Project and any alternatives under the California Environmental Quality Act or other applicable laws. A separate public process will be undertaken by DWR for this purpose.

According to the Joint Exercise of Powers Agreement between DWR and the DCA, the "Conveyance Project" is defined as a project "that would convey water from the Sacramento River in the north Delta through the Delta directly to the existing SWP and federal Central Valley Project ("CVP") pumping plants located in the South Delta."⁷ This definition does not encompass any other project configuration other than one with diversions on the Sacramento River.

As explained in its October 2, 2019 letter, the DCC elected not to participate in the DCA's Committee due to the restrictive scope of the Committee and, more generally, the narrow focus of the DCA.⁸ The DCC also explained that limiting the Committee to technical, engineering and design issues appeared to skip a step in the decision-making process and conflicted with the Governor's instruction for the water resilience portfolio report to "first inventory and assess current planning to modernize conveyance through the Bay Delta with a new single tunnel project."⁹

⁶ Agenda Item 7c: <https://dcdca.org/wp-content/uploads/2020/06/2019-09-19DCABODVF.pdf>.

⁷ See handouts here: <https://dcdca.org/wp-content/uploads/2020/07/2020-07-22-SECMetingPresentation.pdf> (pp. 7-30), <https://dcdca.org/wp-content/uploads/2020/07/2020-07-22-DCP-Alternatives-Considered.pdf>, <https://dcdca.org/wp-content/uploads/2020/07/2020-07-22-StakeholderEngagementMeetingMaterials.pdf>.

⁸ Available at: <https://delta.saccounty.net/content/Documents/2019-10-02%20DCA%20Stakeholder%20Engagement%20Committee.pdf>.

⁹ Executive Order N-10-19, available at: <https://www.gov.ca.gov/wp-content/uploads/2019/04/4.29.19-EO-N-10-19-Attested.pdf>.

The limited scope of DCA's Committee is outlined on each committee agenda:

The purpose of the Stakeholder Engagement Committee is to create a forum for Delta stakeholders to provide input and feedback on technical/engineering issues related to the DCA's current activities. Please note, **this meeting is not part of the Department of Water Resources' California Environmental Quality Act public outreach process related to a potential Delta Conveyance project and therefore comments made in this meeting will not be recorded or tracked for those purposes.** All items are information only.

(Bold added.)

Presentations by DWR and DCA to other agencies regarding the DCP and the Committee process, however, appear to overstate the input received from the DCA's Committee. For instance, an August 20, 2020, presentation to the Delta Stewardship Council ("DSC") by the DCA and DWR emphasized the design changes undertaken in response to the DCA's Stakeholder input; these did not include any changes to the intake locations or other "immovable" project components. In response, DSC staff explained that the context for the presentation was the DCP's compliance with Delta Plan Policy 2 ("DP P2") – Respect Local Land Uses, and indicated that the changes to the project in the design phase were responsive to stakeholder concerns regarding existing land uses.¹⁰ We disagree. In no way has DWR or the DCA attempted to investigate whether intake locations that avoid existing Delta legacy communities may be feasible, and the tunnel project, as configured, is not consistent with DP P2.

Consultation with the DCA's Committee members does not substitute for consideration of comments from local agencies, as required by DP P2. In addition, the DCA's Committee process cannot be used to satisfy broader statutory requirements under the California Environmental Quality Act ("CEQA") or the 2009 Delta Reform Act regarding the nature and scope of the project because the DCA's Committee, by its own rules and definitions, is not permitted to have input beyond technical design aspects of the DCP.

¹⁰ August 20, 2020 DSC Meeting Video, at hour 1:56:50, available at: [https://cal-span.org/unipage/index.php?site=cal-span&owner=DSC&date=2020-08-27&target=.](https://cal-span.org/unipage/index.php?site=cal-span&owner=DSC&date=2020-08-27&target=)

Alternatives Being Considered Do Not Track Prior Commitments by Resources and DWR Regarding New Process for DCP

Through DCA's Committee, DWR is receiving input from stakeholders in the Delta selected by the DCA. The DCC supports thorough planning and reducing impacts but the DCA's Committee process cannot substitute for full consideration of alternatives required both by CEQA and committed to as part of the 2019 CWF reset. At CEQA scoping meetings for the DCP EIR earlier this year, DWR repeatedly stated that the new environmental review process would thoroughly consider the many alternatives to the tunnel project suggested by the public and described in the NOP.

Despite these obligations, a July 22, 2020, presentation by DWR updating the DCA's Committee on alternatives under consideration in the Draft EIR disclosed that the EIR won't consider *any* of the main alternatives to a tunnel that the public has suggested for further analysis.¹¹ Alternatives rejected by DWR without thorough analysis include the Western Delta Intake Concept (Pyke Plan) and a no-tunnel alternative that improves levees and reduces export water demand. The DCA's Committee heard from DWR that it preliminarily rejected these alternatives without further analysis because the alternatives did not meet DWR's project objectives.¹² While the DCC has not endorsed any of these alternatives, DCC supports full consideration of alternatives such as these. We believe this is consistent with the Governor and DWR's commitments when the CWF/two-tunnel version of the project was set aside.

While DWR rejects considering any major alternatives suggested by the public, DWR disclosed it *would* fully analyze a Bethany Forebay Alternative. This appears to be an alternative suggested by DWR itself, not a member of the public. This alternative would retain the same intake locations and also increase construction activity near the Mountain House community. Because this alternative would bypass the existing SWP and CVP pumps in the South Delta, DCC is concerned that this alternative could lead to abandonment of water supply and quality obligations upon which construction and operation of the SWP and CVP were initially premised.

In response to the news that the Draft EIR would not consider the alternatives suggested by the public, the DCA's Committee members understandably expressed their frustration with the early rejection of structural alternatives

¹¹ Available at: <https://dcdca.org/wp-content/uploads/2020/07/2020-07-22-SECMeetingPresentation.pdf>, slides 9-30.

¹² A summary of DWR's alternatives presentation is here: <https://nodeltagates.com/2020/07/27/alternatives-to-the-tunnel/>.

to the tunnel project.¹³ Stakeholders identified the lack of objective criteria by which DWR determined that the alternatives would not meet the project objectives of “climate resiliency, seismic resiliency, water supply reliability, and operational resiliency.” With respect to the water supply reliability and climate resiliency objectives, there were also important questions from Committee members as to why DWR assumes that it will not continue to maintain salinity control in the south Delta (through reservoir releases), and whether climate “resilience” for the SWP would be achieved by depriving the Delta of fresh water.

When members of the DCA’s Committee have requested consideration of no tunnel alternatives, the DCA has refused to discuss them:

Consistent with its purposes under California Legislative [sic], DWR's objectives for the Delta Conveyance Project are focused on enabling the State Water Project (SWP) to continue to function in the face of multiple challenges (including sea level rise, climate change, and earthquake risk). Many of the no tunnel alternatives proposed do not meet these objectives because they would not be under DWR's legislative authority and would not help the SWP continue to function. However, these non-tunnel proposals represent actions that may be taken by California public water agencies that contract with DWR for SWP deliveries if Delta Conveyance does not move forward. While DWR is not planning to evaluate these actions (including conservation, recycling, and desalination) in detail as part of an action alternative in the EIR, DWR is going to be developing a robust No Project alternative that considers actions that may be taken if the Delta Conveyance Project does not move forward.

(SEC Member Question/Comment Tracking Master Log Updated 09.23.2020, Id. #11.29, 11.34.¹⁴)

DCC believes there are feasible alternatives that do not include a tunnel. It is unclear why DWR would not at least consider a tunnel alternative that also includes actions such as conservation, recycling, and desalination, which could potentially reduce the scale of a tunnel, for instance.

¹³ Available at: <https://dcdca.org/wp-content/uploads/2020/08/2020-08-26StakeholderEngagementMeetingMaterials.pdf>, July 22, 2020 Meeting Minutes, pp. 4-12.

¹⁴ Available at: <https://dcdca.org/wp-content/uploads/2020/09/2020-09-23-MasterSECTrackingPacket.pdf>.

DWR's focus on a tunnel project to the exclusion of other available alternatives appears inconsistent with Secretary Crowfoot's May 2, 2019, statement about coordination of the tunnel proposal with "a wide variety of actions to strengthen existing levee protections, protect Delta water quality, and recharge depleted groundwater reserves." Coordination of these actions with the tunnel proposal must be implemented, consistent with Executive Order N-10-19, as explained in the DCC's comments on the Draft Water Resilience Portfolio report.¹⁵

In February 2020, the DCC wrote to Secretary Crowfoot to ask that DWR not reference the Delta Stakeholder Roundtable meetings that occurred prior to the COVID-19 pandemic as part of public participation about the tunnel project.¹⁶ DCC feels similarly about the DCA's Committee process. The DCA's Committee, which has no input on alternatives, does not substitute for the collaboration the Governor and DWR has promised with Delta communities. A true collaboration, on the other hand, would consider alternatives and would not begin with a set project definition that irreparably alters the lands, waters and communities of the Delta.

Conclusion

In summary, DWR and DCA are not adequately engaging Delta communities regarding the Delta Conveyance Project/Tunnel. As a result, DWR and the DCA continue to pursue essentially an identical project (then called the CWF) that failed to move forward in 2019 and continue to ignore requests that a reasonable range of alternatives be considered. This is both a legal inadequacy as well as a breach of prior commitments. In addition, the DCA's Committee is no substitute for the consultation and outreach that the DCC and other local stakeholders were promised. The new process for the single tunnel should have had the possibility of yielding a modified or different result. Yet, this "new process" contemplates basically the same, if perhaps more carefully designed, project.

As an area of origin for water exported to vast areas of the state, the DCC expects that our region has a meaningful voice in the decisions made about it. We are disappointed that, in substance, there has not been a substantive role in determining our own fate. While the Water Resiliency Portfolio report was a good start, it was ultimately a missed opportunity to do the hard work of creating equitable solutions for California water management.

¹⁵ Available at: <https://delta.saccounty.net/content/Documents/2020-02-07%20%20DCC%20WRPI%20Comments-%20FINAL.pdf>.

¹⁶ Available at: <https://delta.saccounty.net/content/Documents/2020-02-03%20DCC%20Letter%20to%20Secretary%20Crowfoot.pdf>.

DWR Director Nemeth
DCA Executive Director Mallon
October 27, 2020
Page 9

We hope this information is helpful in forging a more productive path forward.

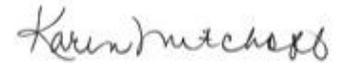
Sincerely,



Patrick Kennedy
Supervisor, Sacramento
County



Skip Thomson
Supervisor, Solano
County



Karen Mitchoff
Supervisor, Contra
Costa County

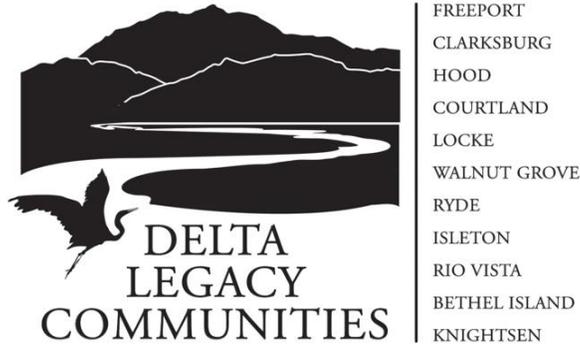


Oscar Villegas
Supervisor, Yolo County



Chuck Winn
Supervisor, San Joaquin
County

cc: Wade Crowfoot, Secretary, Natural Resources Agency
Tom Gibson, Deputy Secretary and Special Counsel for Water, Natural
Resources Agency
Sarah Palmer, Chair, Stakeholder Engagement Committee
Carrie Buckman, Environmental Manager for Delta Conveyance,
Department of Water Resources
Jessica Pearson, Executive Officer, Delta Stewardship Council
Jeff Henderson, Deputy Executive Officer, Delta Stewardship Council
Michael George, Delta Watermaster



A California Nonprofit Mutual Benefit Corporation
 10724 River Road, P.O. Box 128, Hood, CA 95639
deltalegacycommunitiesinc@gmail.com

October 26, 2020

Via email

Richard Atwater, President, and Board members
 Delta Conveyance Design and Construction Authority
 1121 L Street, Suite 1045
 Sacramento, CA 95814

Dear Mr. Atwater and DCA Board members,

The Delta Conveyance Design and Construction Authority (“DCA”) is a Joint Powers Authority with Board members from Metropolitan Water District, Santa Clara Valley Water District, and other State Water Project contractors. Metropolitan Water District is notoriously colonial in their approach to planning of water projects, and the DCA’s planning for the Delta Conveyance has been no different.

The DCA appointed “representatives” for Delta residents to a “Stakeholder Engagement Committee” (“SEC”) rather than providing a way for their own voices to be heard. As part of the false narrative since the start of the single tunnel project, the DCA is appointing a new member from Hood to a position on the Stakeholder Engagement Committee that was created just 30 days ago, long after the Hood community impacts were discussed.

Now literally a year into the DCA’s alleged stakeholder engagement process they are claiming to add someone representing the community at ground zero for this community destroying project. Yet when the DCA’s appointed Stakeholder Engagement Committee members requested that the DCA and DWR pause the stakeholder outreach process during the pandemic shutdown, the DCA and DWR refused. Many of the SEC members told the DCA that they were either extremely limited or completely unable to do outreach because of the pandemic shutdown. SEC member Anna Swenson cited the lack of broadband internet access by many Delta residents, and their inability to even download the maps of the Delta Conveyance project.

The DCA has recently provided maps for Gia Moreno to hand out to Hood residents. But the DCA has basically concluded the process for consideration of the Delta Conveyance project facility siting and construction impacts with the Stakeholder Engagement Committee. The DCA's recent actions are not a substitute for fair and equal inclusion in the process for input into the Delta Conveyance design. The DCA and DWR have also refused to address the request for consideration of alternatives to the intake sites from the current SEC member from Hood, North Delta Business representative, Angelica Whaley.¹ Nor have the DCA or DWR addressed the requests for consideration of alternative intake sites by Sacramento County and the Delta Protection Commission in CEQA scoping comments.

As previously requested by Delta Legacy Communities, Inc., to comply with due process, DWR and the DCA need to provide an appropriately noticed, fair and inclusive process to hear the concerns of all Delta legacy community residents about the proposed facility sites.² DWR's survey of disadvantaged minority groups in the Delta is also not a substitute for full and equal participation in the Delta Conveyance stakeholder input process, and is in violation of Government Code section 11135. DWR and the DCA need to fully address the concerns expressed by the current SEC member from Hood, Angelica Whaley, and restart the stakeholder input process to fully consider the views by Ms. Moreno and any other concerned Delta residents on ways to reduce impacts of the Delta Conveyance project on their homes, their communities, and their lives.

Sincerely,

/s/ Dan Whaley

Dan Whaley, Chair
Delta Legacy Communities, Inc.

/s/ Dave Stirling

Dave Stirling, Vice Chair
Delta Legacy Communities, Inc.

¹ Delta Legacy Communities, Inc., incorporates fully as if set forth herein the September 23, 2020 requests by the North Delta business representative to the DCA for consideration of alternatives.

² Delta Legacy Communities, Inc., incorporates fully as if set forth herein the requests in DLC's September 11, 2020 letter re: Absence of due process in DWR's implementation of Delta Plan Policy DP P2.

cc:

Ms. Gia Moreno

Mario Moreno, Chair, Hood Community Council

Kathryn Mallon, Executive Director, Delta Conveyance Design and Construction Authority
Delta Conveyance Design and Construction Authority Stakeholder Engagement Committee
members

Carrie Buckman, Environmental Program Manager for Delta Conveyance, Department of
Water Resources

Governor Gavin Newsom

The Honorable Wade Crowfoot, Secretary of Natural Resources

Thomas Gibson, Deputy Secretary and Special Counsel for Water, Natural Resources
Agency

Don Nottoli, Sacramento County Supervisor

Oscar Villegas, Chair, Delta Protection Commission

Erik Vink, Director, Delta Protection Commission

Daniel Constable, Environmental Program Manager, Delta Stewardship Council

Karen Mann
4815 Discovery Point
Discovery Bay, CA 94505

November 6, 2020

Kathryn Mallon, Executive Director
Delta Conveyance Design and Construction Authority
1121 L Street, Suite 1045
Sacramento, CA 95814



Dear Ms. Mallon,

I recently saw a letter from Angelica Whaley, dated September 23, 2020, taking issue with the failure of the SEC to give any real consideration to the concerns of Delta Stakeholders so far.

I agree that this committee has not meaningfully addressed any of the concerns of Delta Stakeholders. We have been strangled by your rules that do not allow us to talk about the things that are really important to us and then barraged with a mass of hyper-technical details about tunnel construction that we are asked to comment on.

I think it is fair to say that 80% of the materials presented to the Stakeholder Committee by the construction engineers are not well understood by committee members, thus further limiting any comment to minor items such as the location of a particular access shaft or barge landing because this kind of item is really the only thing that is presented in a way that is understandable by a layperson.

You then label these minor construction details as “key stakeholder concerns” and say they have been addressed. Franz Kafka and George Orwell would both blush at the masterful barrage of bureaucratic mind control deployed by the DCA, as reflected in the glossy reports and minutes produced by you. Make no mistake, we understand that the purpose of these materials is to mislead Governor Newsom and ultimately the courts into thinking you have meaningfully engaged with Delta stakeholders when you have not.

Back in 2019, when DWR abandoned California Waterfix, DWR Director Nemeth issued a series of proclamations regarding the single-tunnel project promising that the “Newsom administration wants to engage with Delta communities to hear their ideas and concerns.” This Committee is supposed to carry that out. But you have not allowed us to speak our ideas and concerns at all.

Instead, you tell us that anything we want to discuss is part of the CEQA process and not to be discussed at these meetings. So where is the Newsom Administration’s effort to engage with Delta communities to hear their ideas and concerns? It doesn’t exist.

At best, so far you have presented to us a proposal for what the DCA wants to build. Now it is time for you to hear our ideas and concerns. I therefore request that you begin, starting with the next meeting, an earnest stakeholder process that includes the following:

- 1) Provides for the Committee to meet and discuss its concerns and ideas.
- 2) Provides for the committee to formulate its own recommendations on issues it wants addressed.
- 3) Provides for the DCA to respond to the concerns and ideas stated by the Stakeholder Committee. A response means responding to the concern. It does not mean saying “you can’t talk about that here.” The DCA is an organ of the Water Contractors. The Water Contractors can respond to the real issues, including: moving the intakes; disposing of the tunnel muck by hauling it to appropriate licensed disposal facilities instead of making up the name “reusable tunnel material” and dumping it on our farmland and islands when your own engineers have told you this material is waste and cannot be reused; developing and considering a Natural Systems Alternative that would reduce the size of the project and its intakes, reducing construction and operating impacts on Delta communities; requiring increased conservation by Water Contractors as a permit condition of any new point of diversion such that the size of the project and construction and operating impacts on Delta communities would be reduced; a design concept that would allow for diversions only at periods of high flow and would thus allow for more flexible siting of the intakes [e.g. intakes could be located in the Yolo Bypass], reducing construction and operating impacts on Delta communities; recognizing that a primary function of the SWP is to repel salinity in the Delta and orienting the project to repel salinity in the Delta and enhance water quality in the Delta, rather than abandoning the south and central Delta to salt water as is the direction of your current plan; and many more issues that you have silenced us on when we tried to bring them up.

Let me take moving the intakes as one example of stonewalling by the DCA. Although you admit that the location of the intakes is a construction feature theoretically within the purview of this Committee, you have said the location of the intakes is largely a matter of administrative requirements and no alternative intake locations can be considered (no locations other than intake locations 2, 3, and 5). Translated into English, what this means is that you believe you can build new intakes at the proposed locations by applying to the State Water Resources Control Board for a change in the point of diversion, which would not initiate a new water right. You believe that if you consider any other location, you would have to apply for a new water right, which is a higher administrative hurdle. We are concerned about you destroying our legacy communities, including decimating the small town of Hood, with your current plan. If you have to go through more administrative process to move the intakes, then that is what you will have to do. If the cost or administrative processing involved in moving the intakes is too great for the project to bear, then the project is simply not feasible and should be abandoned.

Karen Mann
4815 Discovery Point
Discovery Bay, CA 94505

One productive use of time and resources of this Committee would be to identify intake locations that are less harmful to Delta communities; yet we are prohibited by you from doing so.

Let's take another example: how the project can best respond to salinity intrusion into the Delta. That's the stated reason for the project. Strengthening the levees is the obvious response to fears of levee collapse, one source of salt water intrusion the project is designed to overcome. Yet, we are not allowed to talk about strengthening the levees. Our Committee would be well suited to explore ways in which levees could be strengthened that would be a win-win (such as set-back levees that could enhance recreation). In addition to strengthening key levees, some levees might be breached preemptively to avoid catastrophic levee failure. Again, our committee is well suited for exploring which islands might be flooded as a win-win (enhancing recreation and providing security for water supplies). As for rising sea levels due to climate change, we know from previous modeling that an increased outflow scenario will repel salinity intrusion. Yet the plan is to move the intake location far enough upstream so that the south and central Delta can be sacrificed to salt water without interrupting exports. Is the irony of depriving our Delta farmers of fresh water for their crops so Central Valley farmers can have uninterrupted supply lost on you? Why not protect the central and south Delta from salt water intrusion so all of our farmers can continue irrigating their crops? Ms. Mallon, were you even aware that a primary purpose of the SWP is to repel salinity in the Delta?

In closing, I want to ask that you not further patronize me with a circular response that "this committee is not set up for that, send in your comments on the EIR with those concerns." This is where the rubber meets the road: you are either going to take us seriously or accept the reality that this Committee has been nothing but a sham and cannot be portrayed otherwise.

Sincerely,



Karen Mann
SEC Member

Delta Conveyance Design and Construction Joint Powers Authority

Audit results for the year ending June 30, 2020

December 17, 2020

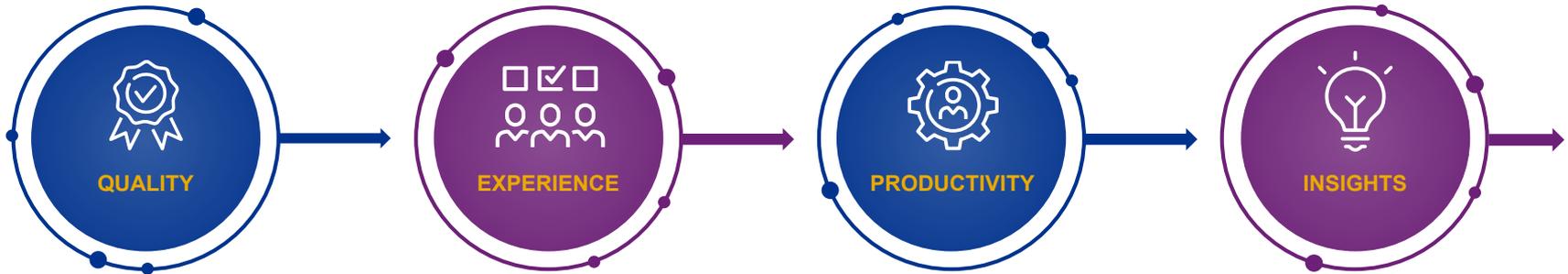
Agenda Item 7a





Our commitment to you

We aim to deliver an exceptional client experience by focusing on



Quality in all that we do and how we deliver

We are committed to delivering a thoughtful, transparent and coordinated approach

Risk based auditing by enabling our people through the design, execution, and automation of our processes

Having best-in-class industry and topical insights, which help our auditors make better decisions and share those relevant insights



Summary: Audit results required communications and other matters

Audit Results	Response
	Auditors' reports
Outstanding matters	No matters to communicate
Significant unusual transactions	No significant unusual transactions identified during the audits
Uncorrected audit misstatements	No matters to communicate
Corrected audit misstatements	No matters to communicate
Financial presentation and disclosure omissions	No matters to communicate
Non-GAAP policies and practices	No matters to communicate
Changes to our planned risk assessment and audit strategy	No matters to report
Significant accounting policies and practices	See note 1 to the financial statements
Significant accounting estimates	No matters to communicate
Significant financial statement disclosures	See notes to the financial statements
Related parties	No matters to report
Going concern	Funding requirements from SWC
Other information	No matters to report



Summary: Audit results required communications and other matters

	Response
Subsequent events	No matters to report.
Illegal acts or fraud	No actual or suspected fraud involving management, employees with significant roles in internal control, or where fraud results in a material misstatement in the financial statements were identified during the audit.
Noncompliance with laws and regulations	No matters to report.
Significant difficulties encountered during the audit	No matters to report.
Significant findings or issues discussed, or the subject of correspondence, with management	No matters to report.
Management's consultation with other accountants	No matters to report.
Difficult or contentious matters for which the auditor consulted	No matters to report.
Disagreements with management	No matters to report.
Other significant matters	No matters to report.
Written communications	Engagement letter and management representation letter

Audit Results



Independence communications

In connection with our audit of Delta Conveyance Design and Construction JPA, KPMG and relevant KPMG professionals have complied with relevant ethical requirements regarding independence, as that term is defined by the professional standards.

Questions?

For additional information and audit committee resources, including National Audit Committee Peer Exchange series, a Quarterly webcast, and suggested publications, visit KPMG's Audit Committee Institute (ACI) at www.kpmg.com/ACI

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**DELTA CONVEYANCE DESIGN AND CONSTRUCTION
JOINT POWERS AUTHORITY**

Statements of Cash Receipts and Disbursements
(Cash Basis of Accounting)

Years Ended June 30, 2020 and 2019

(With Independent Auditors' Report Thereon)

**DELTA CONVEYANCE DESIGN AND CONSTRUCTION
JOINT POWERS AUTHORITY**

June 30, 2020 and 2019

Table of Contents

	Page
Independent Auditors' Report	1
Statements of Cash Receipts and Disbursements	3
Notes to Statements of Cash Receipts and Disbursements	4
Supplementary Information – Summary of DWR Charges on Behalf of the Authority (Unaudited)	6



KPMG LLP
Suite 1500
550 South Hope Street
Los Angeles, CA 90071-2629

Independent Auditors' Report

The Members of the
Delta Conveyance Design and Construction Joint Powers Authority:

Report on the Financial Statements

We have audited the accompanying statements of cash receipts and disbursements of the Delta Conveyance Design and Construction Joint Powers Authority (the DCA) for the years ended June 30, 2020 and 2019, and the related notes to the statement of cash receipts and disbursements (the financial statements).

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with the cash basis of accounting described in Note 1; this includes determining that the cash basis of accounting is an acceptable basis for the preparation of the financial statements in the circumstances. Management is also responsible for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express an opinion on these financial statements based on our audits. We conducted our audits in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditors' judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinion

In our opinion, the financial statements referred to above present fairly, in all material respects, the cash receipts and disbursements of the Delta Conveyance Design and Construction Joint Powers Authority for the year ended June 30, 2020 and 2019, in accordance with the cash basis of accounting described in Note 1.



Other Matter

Emphasis of Matter

The accompanying financial statements have been prepared assuming that Delta Conveyance Design and Construction Joint Powers Authority will continue as a going concern. As discussed in Note 2 to the financial statements, a substantial doubt exists about the Delta Conveyance Design and Construction Joint Powers Authority ability to continue as a going concern. Our opinion is not modified with respect to this matter.

Basis of Accounting

We draw attention to Note 1 of the financial statements, which describes the basis of accounting. The financial statements are prepared on the cash basis of accounting, which is a basis of accounting other than U.S. generally accepted accounting principles. Our opinion is not modified with respect to this matter.

Supplementary Information

Our audit was conducted for the purpose of forming an opinion on the financial statements. The supplementary information is presented for purposes of additional analysis and is not a required part of the financial statements. The supplementary information has not been subjected to the auditing procedures applied in the audit of the financial statements, and accordingly, we do not express an opinion or provide any assurance on it.

KPMG LLP

Los Angeles, California
November 13, 2020

**DELTA CONVEYANCE DESIGN AND CONSTRUCTION
JOINT POWERS AUTHORITY**

Statements of Cash Receipts and Disbursements
(Cash Basis of Accounting)

For the years ended June 30, 2020 and 2019

	2020	2019
Receipts:		
Contributions	\$ 28,367,685	4,371,573
Interest receipts	—	7,641
Total receipts	28,367,685	4,379,214
Disbursements:		
Environmental planning and design:		
Program management	3,849,865	1,044,285
Project controls	3,174,280	710,238
Engineering	13,209,277	1,846,282
Property access and acquisition	215,015	101,474
Stakeholder engagement	1,759,139	66,809
Office administration	4,793,259	610,977
Fieldwork	1,439,197	—
Total disbursements	28,440,032	4,380,065
Net change in cash	(72,347)	(851)
Cash at beginning of year	795,587	796,438
Cash at end of year	\$ 723,240	795,587

See accompanying notes to statements of cash receipts and disbursements.

**DELTA CONVEYANCE DESIGN AND CONSTRUCTION
JOINT POWERS AUTHORITY**

Notes to Statements of Cash Receipts and Disbursements

Years ended June 30, 2020 and 2019

(1) Reporting Entity and Summary of Significant Accounting Policies

The Delta Conveyance Design and Construction Joint Powers Authority (the Authority) is a separate governmental entity composed of participating State Water Project Contractors (SWC) formed on May 14, 2018. The Authority's original purpose under the Amended and Restated Joint Exercise of Powers Agreement (JEPA) was to assist the California Department of Water Resources (DWR) in the design and construction of a new Delta water conveyance facilities, also known as California WaterFix (CWF), to be owned and operated by DWR. The CWF would convey water from the Sacramento River north of the Delta directly to the existing State Water Project (SWP) and Central Valley Project (CVP) pumping plants located in the south Delta. The purposes of the CWF were to make physical and operational improvement to the SWP and the CVP necessary to protect and maintain the Sacramento-San Joaquin Delta (Delta) ecosystem health, maintain water quality, and restore and protect water supplies for the more than 25 million people and 2 million acres of highly productive farm land that currently depend upon the water conveyed through the Delta. With the State's new water policy for California and the withdrawal of CWF, the Authority's purpose was changed to provide services to DWR in support of the new planning and environmental work during the start-up phase as stated on Amendment No. 1 of the JEPA.

By means of a Joint Powers Agreement (the Agreement), the participating SWC, which include the Alameda County Flood Control Zone 7 Water District, Santa Clara Valley Water District, San Bernardino Valley Municipal Water District, and the Metropolitan Water District of Southern California (Metropolitan), agreed to bear some of the financial obligation of the Conveyance Project. The Authority is governed by a four-member Board of Directors (the Board), including two members from Metropolitan, one member from Santa Clara Valley Water District, and one member from a SWP contractor selected by non-represented SWP contractors.

Pursuant to the Agreement, the Board contracted Metropolitan to perform treasury services through an Inter-Agency Agreement between Metropolitan and the Authority dated June 5, 2018. The Inter-Agency Agreement designates Metropolitan as trustee over all funds of the Authority and requires that such monies be placed in a special fund designated the "DCA Fund" (the Fund). Disbursements from the Fund are made by Metropolitan at the direction of the Authority.

The Authority's policy is to prepare its statements of cash receipts and disbursements on the cash basis of accounting, which is a comprehensive basis of accounting other than U.S. generally accepted accounting principles (U.S. GAAP). Consequently, receipts are recognized when received rather than when earned, and disbursements are recognized when paid rather than when the obligation is incurred. Accordingly, the accompanying statement of cash receipts and disbursements is not intended to present the Authority's financial position and results of operations, in conformity with U.S. GAAP.

Certain disbursement amounts reported in fiscal year 2019 have been reclassified to conform to the fiscal year 2020 presentation.

**DELTA CONVEYANCE DESIGN AND CONSTRUCTION
JOINT POWERS AUTHORITY**

Notes to Statements of Cash Receipts and Disbursements

Years ended June 30, 2020 and 2019

(2) Delta Conveyance Project

On February 12, 2019, on his State of the State address, Governor Gavin Newsom announced the shift from a twin tunnel CWF project to a single tunnel project now referred to as the Delta Conveyance Project. On April 29, 2019, Governor Newsom issued an executive order calling for the development of a water resilience portfolio that meets the needs of California's communities, economy, and environment through the 21st century. This includes the governor's vision for a modern water supply infrastructure, a more climate resilient water supply for people and the environment, and the SWP must be updated to respond to climate change. As a result, DWR withdrew the approval of CWF, decertified the Environmental Impact Report (EIR), and rescinded various permitting applications including those submitted to the State Water Resources Control Board, U.S. Army Corps of Engineers (USACE), and State and federal Endangered Species Acts permits on May 2, 2019 and rescinded the CWF Bond Resolution on May 7, 2019.

In light of the executive order, DWR launched a new environmental review and planning process (planning phase) and released a Notice of Preparation on January 15, 2020, which initiated the California Environmental Quality Act (CEQA) scoping period that later concluded on April 17, 2020. The information received will be considered in formulating alternatives to the proposed project and in the development of the draft EIR expected for release between early 2021 and mid-2022.

To date, DWR has provided the funding for the Authority's activities during the planning phase. However, pursuant to the JEPA, this funding will be repaid and future funding will be provided by participating members of the SWC. The funding for the planning phase of the project is anticipated to be submitted to the boards of the SWC members in the fall/winter of 2020. While approval from the SWC boards and the related impacts to the Authority are not known at this time, the planning and subsequent project phases may not proceed without board approval from some or all the SWC or from DWR. Due to this uncertainty, there is substantial doubt about the Authority's ability to continue as a going concern for a reasonable period of time.

**DELTA CONVEYANCE DESIGN AND CONSTRUCTION
JOINT POWERS AUTHORITY**

Notes to Consolidated Financial Statements

Supplementary Information – Summary of DWR Charges on Behalf of the Authority (Unaudited)

	For the years ended June 30,	
	2020	2019
Disbursements:		
Environmental and planning and design		
Project controls	\$ 60	135
Engineering	—	1,341,905
Property access and acquisition	1,471	925,928
Stakeholder engagement	—	590,526
Office administration	105,196	95,156
Fieldwork	72,242	—
Power, roads and utilities	29,575	310,650
Total disbursements	\$ 208,544	3,264,300

Note to Supplementary Information

DWR incurred certain costs, including an office lease, in support of the planning and environmental phase of the Delta Conveyance Project as well as the previous CWF project that were not billed to the Authority. These costs were excluded from the disbursements in the Statements of Cash Receipts and Disbursements because they were not paid with Authority monies. Certain disbursement amounts reported in fiscal year 2019 have been reclassified to conform to the fiscal year 2020 presentation.



DCA
DELTA CONVEYANCE DESIGN
& CONSTRUCTION AUTHORITY

Monthly Board Report

This document is fully interactive; use menus to navigate on-screen.

1

EXECUTIVE
SUMMARY

2

ENGINEERING
& FIELD WORK

3

STAKEHOLDER
ENGAGEMENT

4

PROGRAM
MANAGEMENT

5

BUDGET

6

CONTRACTS

7

SCHEDULE



Agenda Item 7b

DECEMBER 2020

(ACTIVITIES IN Nov)

Section 1 | Executive Summary

Program Management. The Program Management team focused on processing contract changes to accommodate the budget reduction approved at the November DCA Board Meeting. They are also participating in on-going efforts to optimize the E-Builder workflows based on initial user feedback.

Engineering. The engineering team completed the first draft of template, drawings, and GIS mapbook deliverables for the Bethany Alternative and submitted to DWR for review and comment. The team also received the first round of comments on the Draft Engineering Project Report for the Central and Eastern Corridor alternatives from DWR and worked on the responses and updating the documents for resubmission.

Field Work. The fieldwork team began geotechnical drilling exploration at approved sites on Bouldin, Staten and Lower Roberts Islands and continued to conduct site clearances, monitoring, and surveying at future exploration sites.

Stakeholder Engagement. The DCA held its 14th Stakeholder Engagement Committee (SEC) meeting in December. DCA and DWR team members provided an introduction to the community benefits framework. The team also provided technical presentations regarding the Bethany Alternative and traffic effects. Meeting material and minutes from the SEC meetings are available on the DCA website.

Budget. The project controls team updated the DCA budget to reflect changes approved at the November Board meeting. The

new budget is \$27Mil and we are currently forecasting an estimate at completion at the current budget. Through November, the DCA has committed approximately \$26.6Mil in contracted goods and services.

Schedule. The baseline schedule was updated to reflect changes to the budget. The DCA is generally tracking on time for all of the major work activities.

Monthly Budget Summary (FY 2020/2021)

Category	Original Budget	Current Budget	Current Commitments	Incurred To Date	EAC	Variance
Program Management Office						
Executive Office	\$ 2,697,409	\$ 2,796,854	\$ 2,385,620	\$ 884,345	\$ 2,796,854	\$ -
Community Engagement	\$ 1,301,880	\$ 1,223,223	\$ 1,286,379	\$ 316,606	\$ 1,223,223	\$ -
Program Controls	\$ 2,527,124	\$ 1,714,329	\$ 1,714,329	\$ 715,321	\$ 1,714,329	\$ -
Administration	\$ 3,244,410	\$ 2,746,813	\$ 3,008,143	\$ 1,162,462	\$ 2,746,813	\$ -
Procurement and Contract Administration	\$ 210,000	\$ 109,447	\$ 109,447	\$ 47,732	\$ 109,447	\$ -
Property	\$ 1,648,758	\$ 1,388,687	\$ 1,788,687	\$ 232,723	\$ 1,388,687	\$ -
Permitting Management	\$ 1,123,893	\$ 1,123,893	\$ 1,123,893	\$ 300,097	\$ 1,123,893	\$ -
Health and Safety	\$ 45,000	\$ 20,000	\$ 20,000	\$ 11,700	\$ 20,000	\$ -
Quality Management	\$ 45,000	\$ 10,000	\$ 10,000	\$ 5,621	\$ 10,000	\$ -
Sustainability	\$ 45,000	\$ -	\$ -	\$ -	\$ -	\$ -
Program Initiation Office						
Engineering	\$ 12,451,950	\$ 10,327,688	\$ 10,145,949	\$ 2,930,148	\$ 10,327,688	\$ -
Field Work	\$ 8,659,576	\$ 5,539,066	\$ 5,047,188	\$ 1,307,065	\$ 5,539,066	\$ -
	\$ 34,000,000	\$ 27,000,000	\$ 26,639,635	\$ 7,913,821	\$ 27,000,000	\$ -

Section 2 | Engineering & Field Work

During this period, the engineering team predominantly focused on completing and compiling the draft template, drawings, and GIS mapbooks to include with the Environmental Documentation Information (Footprint) for the Bethany Reservoir Alternative. The team also received DCO and SWC review comments on the technical memoranda, drawings, and GIS mapbooks included with the Draft Engineering Project Report for the Central and Eastern Corridor alternatives and began responding to and addressing those comments.

The fieldwork team continued to conduct site clearances, monitoring, and surveying at several locations, continued negotiations with landowners to grant Temporary Entrance Permits (TEPs), and prepared draft Amendments for Right-of-Way (ROW) and Geotechnical subconsultant Task Orders.

General Work

Completed

- Submitted Environmental Documentation Information (Footprint) for the Bethany Reservoir Alternative for DCO review
- Began responding to DCO comments on Draft Engineering Project Report for the Central and Eastern Corridor alternatives

Look Ahead – Next Month

- Host a workshop to review the Bethany Reservoir Alternative Footprint submittal and preliminary review comments
- Deliver responses to DCO comments on Draft Engineering Project Report for the Central and Eastern Corridor alternatives
- Progress the Bethany Reservoir Alternative deliverables
- Complete cofferdam draft TM for DCO review

Field Work

Completed

- Continued negotiations with landowners to grant TEPs; developed alternate TEP requests where some flexibility on parcel location exists.
- Completed exploration activities on Staten, Bouldin, and Lower Roberts Islands. Continued exploration on Caltrans and County Right-of-way.
- Completed site clearances for Caltrans District 10 on State Route 4.
- Completed monitoring for Cone Penetration Tests (CPTs) and borings on Staten Island, Tsakopolous Parcel, Lower Roberts Island, Caltrans ROW on East Victoria Island and West Victoria Island, and San Joaquin County ROW on Union Island and New Hope Tract and Staten Island.
- Drafted ROW and Geotechnical subconsultant Task Order Amendments

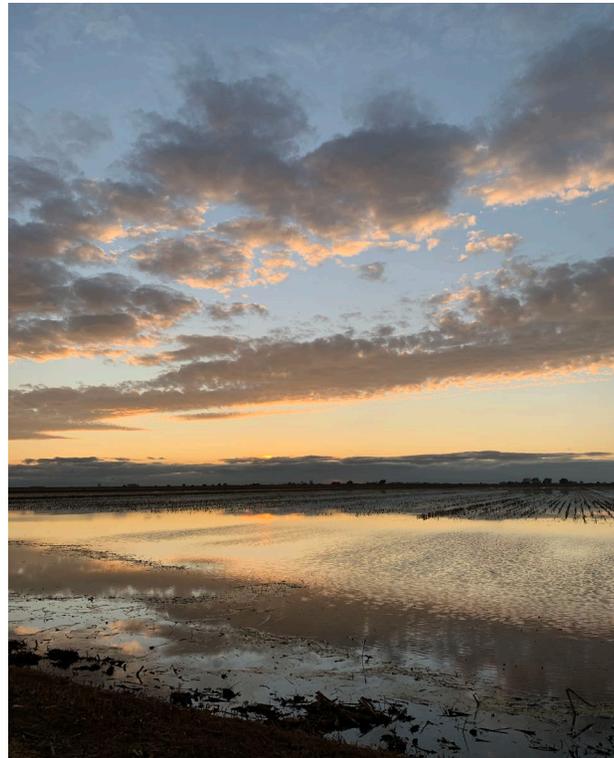
Look Ahead – Next Month

- Complete remaining Caltrans and County ROW explorations, except where County has issued stop work order.
- Monitor sites on Lower Roberts Island, Caltrans ROW, and Sacramento County ROW. Provide clearance reports for sites cleared in November and develop quarterly monitoring report.
- Execute ROW and Geotechnical subconsultant Task Order Amendments

Section 3 | Stakeholder Engagement

The 14th meeting of the Stakeholder Engagement Committee (SEC) was held via video conference on December 9, 2020, covering the following topics and updates:

- Ms. Carolyn Buckman provided an update of the CEQA process and introduced a conceptual approach to develop a Community Benefits Program.
- Ms. Buckman noted that mitigation measures will be included in the Environmental Impact Report to reduce potentially significant impacts. Community benefits are in addition to mitigation measures.
- Ms. Janet Barbieri explained that DWR endeavors to work with the community to develop a framework for the Community Benefits Program to include as an appendix to the Draft EIR.
- Ms. Mallon shared case study information of community benefits programs from other projects and regions and highlighted best practices.
- Phil Ryan, DCA Engineering Manager, reviewed the components of the Bethany Reservoir Alternative and focused specifically on the Bethany Complex Reception Shaft, Surge Basin, Pumping Plant and Discharge Structure.
- Mr. Don Hubbard presented a construction traffic analysis demonstrating the anticipated conditions for the Bethany Alternative.
- There are fewer anticipated traffic impacts for the Bethany Alternative than for the corresponding southern portions of the Central and Eastern Alternatives because the Bethany Alternative requires less movement of materials.



- The DCA has been and will continue to communicate with various local city and county offices to collaborate on potential design and engineering options.
- SEC Members engaged in a thought exchange regarding Community Benefits, inclusion of more diverse stakeholders, outreach to the tribes, and the possibility of revisiting topics for further discussion.

Upcoming SEC Meeting

Date: Wednesday, January 27th, 2020

Time: 3 to 6 PM

Location: Online via Zoom

Topics:

- Bethany Alternative Update
- Geotechnical Work
- Community Benefits Framework Update

SEC Meeting Calendar

- February Meeting – TBD
- March Meeting – TBD

SEC Meeting Materials & Updates

<https://www.dcdca.org/>

**Dates are subject to change, please continue to check the dcdca.org website for updates*

Note: DCA will comply with public health recommendations regarding public meetings and COVID-19 response. Any meeting changes or cancellation will be communicated to members.

Section 4 | Program Management & Administration

Program Controls

The Program Controls team continues to support implementation of the new Program Management Information System. As the team has implemented the new workflows, changes and improvements to the system have been identified and prioritized for implementation. We will continue to refine the system over the next few months to optimize performance.

Key Accomplishments

- Processing budget changes to accommodate revised budget approved by DCA Board in November
- Processing commitment changes to existing contracts to reflect budget reductions.
- Continuing to make refinements to E-Builder workflows and training sessions with vendors and other agencies
- Continued update of Management Plans to reflect changes in Program Management policies and procedures as our workflows evolve.
- Continuing development of Standard Operating Procedures to provide step by step guidance to activities described in Management Plans
- Finalizing the close out of task orders and invoices for FY2019/2020
- Continuing to work on consolidating all previous fiscal year financials into new e-Builder account

Administration

We continue to perform support activities to facilitate access to the DCA office in coordination with the HR team under the directives of Sacramento County.

The Administration team continues to provide IT support to our remote workforce as well as our virtual Board and SEC meetings.

Key Accomplishments

- Updated and executed Task Order Amendments to reflect budget changes.
- Began User Access/licensing review to re-align active users and appropriate DCA resource licensing
- Coordination with HR for Return to Office training, certification and orientation
- Continued coordination with DCA Legal on the negotiations for 1st Floor Occupancy
- Planned and hosted November 2020 Board of Directors meeting and SEC Meeting, coordinating connectivity, moderating access, presentations, feedback and public comment
- DCA Website updates

Section 5 | Budget

Budget Summary

Budget Forecast FY 2020/21. The DCA has committed approximately \$26.6M of the amended budget of \$27M. To date, we've incurred nearly \$7.9M in expenditure and our current estimate at completion (EAC) is tracking with the amended annual budget of \$27M. (See pages 6-7)

Budget Change Requests. Overall budget reduced to \$27M per board's approval.

Planned Cash Flow. The DCA continues to forecast approximately \$27M in expenditure through the end of the Fiscal Year. See page 8.

Budget Detail

WBS	Fiscal Year	Original Budget	Current Budget	Commitments	Pending Commitments	Incurred to Date	% Spent	Remaining Budget	% Rem	EAC	Variance
Delta Conveyance	2020/2021	\$ 34,000,000	\$ 27,000,000	\$ 26,639,635	\$ (450,000)	\$ 7,913,821	29%	\$ 19,086,179	71%	\$ 27,000,000	\$ 0
Executive Office	2020/2021	\$ 2,697,409	\$ 2,796,854	\$ 2,385,620	\$ -	\$ 884,345	32%	\$ 1,912,509	68%	\$ 2,796,854	\$ -
Management	2020/2021	\$ 1,692,409	\$ 1,833,787	\$ 1,396,134	\$ -	\$ 537,100	29%	\$ 1,296,687	71%	\$ 1,833,787	\$ -
Legal	2020/2021	\$ 620,000	\$ 620,000	\$ 620,000	\$ -	\$ 172,120	28%	\$ 447,880	72%	\$ 620,000	\$ -
Audit	2020/2021	\$ 25,000	\$ 25,000	\$ 25,000	\$ -	\$ 25,000	100%	\$ -	0%	\$ 25,000	\$ -
Treasury	2020/2021	\$ 196,000	\$ 196,000	\$ 222,419	\$ -	\$ 102,125	52%	\$ 93,875	48%	\$ 196,000	\$ -
Human Resources	2020/2021	\$ 164,000	\$ 122,067	\$ 122,067	\$ -	\$ 48,000	0%	\$ 74,067	61%	\$ 122,067	\$ -
Community Engagement	2020/2021	\$ 1,301,880	\$ 1,223,223	\$ 1,286,379	\$ -	\$ 316,606	26%	\$ 906,617	74%	\$ 1,223,223	\$ -
Management	2020/2021	\$ 300,000	\$ 300,000	\$ 300,000	\$ -	\$ 51,309	17%	\$ 248,691	83%	\$ 300,000	\$ -
Community Coordination	2020/2021	\$ 50,000	\$ 50,000	\$ -	\$ -	\$ -	0%	\$ 50,000	100%	\$ 50,000	\$ -
Outreach	2020/2021	\$ 951,880	\$ 873,223	\$ 986,379	\$ -	\$ 265,297	30%	\$ 607,926	70%	\$ 873,223	\$ -
Program Controls	2020/2021	\$ 2,527,124	\$ 1,714,329	\$ 1,714,329	\$ -	\$ 715,321	42%	\$ 999,008	58%	\$ 1,714,329	\$ -
Management	2020/2021	\$ 621,646	\$ 446,246	\$ 446,246	\$ -	\$ 165,205	37%	\$ 281,041	63%	\$ 446,246	\$ -
Risk Management	2020/2021	\$ 379,725	\$ 17,170	\$ 17,170	\$ -	\$ 17,170	100%	\$ -	0%	\$ 17,170	\$ -
Cost Management	2020/2021	\$ 736,013	\$ 736,013	\$ 736,013	\$ -	\$ 257,610	35%	\$ 478,403	65%	\$ 736,013	\$ -
Schedule Management	2020/2021	\$ 373,286	\$ 148,286	\$ 148,286	\$ -	\$ 119,140	80%	\$ 29,146	20%	\$ 148,286	\$ -
Document Management	2020/2021	\$ 316,454	\$ 316,454	\$ 316,454	\$ -	\$ 106,260	34%	\$ 210,194	66%	\$ 316,454	\$ -
Program Governance	2020/2021	\$ 100,000	\$ 50,160	\$ 50,160	\$ -	\$ 49,936	100%	\$ 224	0%	\$ 50,160	\$ -
Administration	2020/2021	\$ 3,244,410	\$ 2,746,813	\$ 3,008,143	\$ -	\$ 1,162,462	42%	\$ 1,584,351	58%	\$ 2,746,813	\$ -
Management	2020/2021	\$ 645,000	\$ 645,000	\$ 644,947	\$ -	\$ 215,765	33%	\$ 429,235	67%	\$ 645,000	\$ -
Facilities	2020/2021	\$ 1,153,300	\$ 1,130,412	\$ 1,363,418	\$ -	\$ 427,077	38%	\$ 703,335	62%	\$ 1,130,412	\$ -
Information Technology	2020/2021	\$ 1,446,110	\$ 971,401	\$ 999,778	\$ -	\$ 519,621	53%	\$ 451,780	47%	\$ 971,401	\$ -

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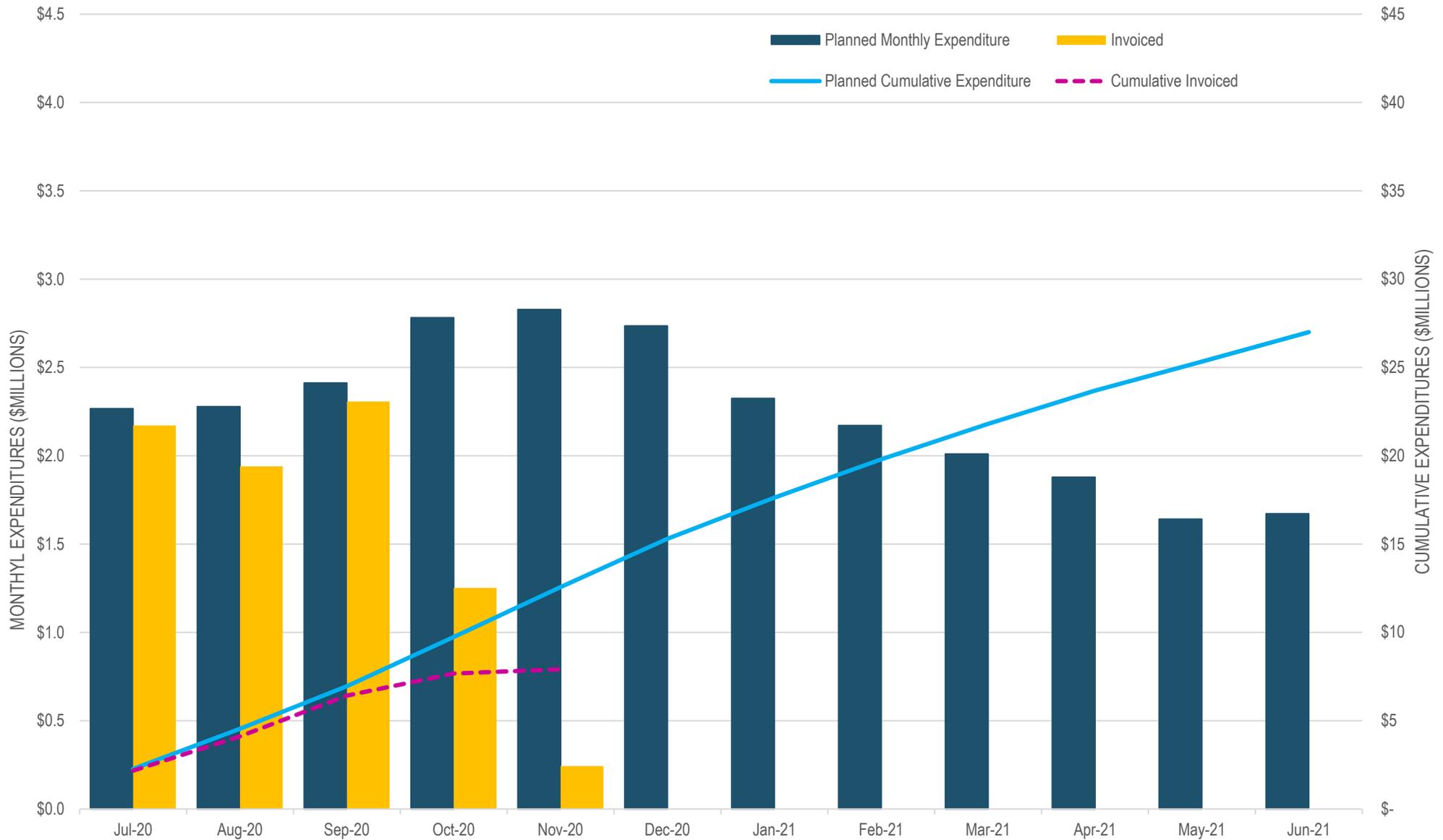
Section 5 | Budget *continued*

Budget Detail

WBS	Fiscal Year	Original Budget	Current Budget	Commitments	Pending Commitments	Incurred to Date	% Spent	Remaining Budget	% Rem	EAC	Variance
Procurement and Contract Administration	2020/2021	\$ 210,000	\$ 109,447	\$ 109,447	\$ -	\$ 47,732	44%	\$ 61,715	56%	\$ 109,447	\$ -
Procurement Management	2020/2021	\$ 210,000	\$ 109,447	\$ 109,447	\$ -	\$ 47,732	44%	\$ 61,715	56%	\$ 109,447	\$ -
Property	2020/2021	\$ 1,648,758	\$ 1,388,687	\$ 1,788,687	\$ (450,000)	\$ 232,723	17%	\$ 1,155,964	83%	\$ 1,388,687	\$ -
Management	2020/2021	\$ 373,758	\$ 350,771	\$ 350,771	\$ -	\$ 76,982	22%	\$ 273,789	78%	\$ 350,771	\$ -
Property Agents	2020/2021	\$ 900,000	\$ 662,916	\$ 1,062,916	\$ (450,000)	\$ 155,741	23%	\$ 507,175	77%	\$ 662,916	\$ -
Temporary Entrance Permits	2020/2021	\$ 375,000	\$ 375,000	\$ 375,000	\$ -	\$ -	0%	\$ 375,000	100%	\$ 375,000	\$ -
Permitting Management	2020/2021	\$ 1,123,893	\$ 1,123,893	\$ 1,123,893	\$ -	\$ 300,097	27%	\$ 823,796	73%	\$ 1,123,893	\$ -
Management	2020/2021	\$ 1,123,893	\$ 1,123,893	\$ 1,123,893	\$ -	\$ 300,097	27%	\$ 823,796	73%	\$ 1,123,893	\$ -
Health and Safety	2020/2021	\$ 45,000	\$ 20,000	\$ 20,000	\$ -	\$ 11,700	59%	\$ 8,300	42%	\$ 20,000	\$ -
HS-Management	2020/2021	\$ 45,000	\$ 20,000	\$ 20,000	\$ -	\$ 11,700	59%	\$ 8,300	42%	\$ 20,000	\$ -
Quality Management	2020/2021	\$ 45,000	\$ 10,000	\$ 10,000	\$ -	\$ 5,621	56%	\$ 4,379	44%	\$ 10,000	\$ -
Management & Auditing	2020/2021	\$ 45,000	\$ 10,000	\$ 10,000	\$ -	\$ 5,621	56%	\$ 4,379	44%	\$ 10,000	\$ -
Sustainability	2020/2021	\$ 45,000	\$ -	\$ -	\$ -	\$ -	0%	\$ -	0%	\$ -	\$ -
ST-Management	2020/2021	\$ 45,000	\$ -	\$ -	\$ -	\$ -	0%	\$ -	0%	\$ -	\$ -
Engineering	2020/2021	\$ 12,451,950	\$ 10,327,688	\$ 10,145,949	\$ -	\$ 2,930,148	28%	\$ 7,397,540	72%	\$ 10,327,688	\$ -
Management & Administration	2020/2021	\$ 2,341,133	\$ 2,204,948	\$ 2,204,948	\$ -	\$ 539,303	24%	\$ 1,665,645	76%	\$ 2,204,948	\$ -
CEQA Engineering Support	2020/2021	\$ 2,293,256	\$ 4,401,761	\$ 4,220,022	\$ -	\$ 1,077,036	24%	\$ 3,324,725	76%	\$ 4,401,761	\$ -
Facility Studies	2020/2021	\$ 3,314,202	\$ -	\$ -	\$ -	\$ -	0%	\$ -	0%	\$ -	\$ -
Shared Support Services	2020/2021	\$ 4,503,359	\$ 3,720,979	\$ 3,720,979	\$ -	\$ 1,313,809	35%	\$ 2,407,170	65%	\$ 3,720,979	\$ -
Field Work	2020/2021	\$ 8,659,576	\$ 5,539,066	\$ 5,047,188	\$ -	\$ 1,307,065	24%	\$ 4,232,001	76%	\$ 5,539,066	\$ -
Management	2020/2021	\$ 413,255	\$ 413,255	\$ 413,255	\$ -	\$ 142,238	34%	\$ 271,017	66%	\$ 413,255	\$ -
Geotechnical Work	2020/2021	\$ 8,140,500	\$ 4,590,500	\$ 4,058,622	\$ -	\$ 1,080,395	24%	\$ 3,510,105	76%	\$ 4,550,500	\$ (40,000)
Surveying	2020/2021	\$ 105,821	\$ 50,000	\$ 90,000	\$ -	\$ 84,432	0%	\$ (34,432)	-69%	\$ 90,000	\$ 40,000
Environmental Monitoring	2020/2021	\$ -	\$ 485,311	\$ 485,311	\$ -	\$ -	0%	\$ 485,311	100%	\$ 485,311	\$ -

Section 5 | Budget *continued*

Fiscal Year 20/21 Cash Flow



Section 6 | Contracts

Contract Summary. The table on pages 9-11 summarize the status of all active contracts and task orders within the DCA.

New and Pending Commitments. There are no pending commitments this month.

Procurement. There are no active procurements at this time.

S/DVBE Participation. The program has committed approximately 12 % of the total contract values for FY 2020/21 to S/DVBEs. Based on actual incurred costs for the current Fiscal Year 11 % has been billed by our S/DVBE contractors and subcontractors. See page 12.

Contract Summary

Contract Description	Commitments FY20/21	Pending Commitments	Incurred to Date FY20/21	% Spent FY20/21
180005 e-Builder	\$ 167,102		\$ 167,102	100%
180006 Jacobs	\$ 13,273,528		\$ 3,612,338	27%
180007 Fugro	\$ 4,057,122		\$ 1,078,895	27%
180008 Hamner Jewell Associates	\$ 300,000	\$ (100,000)	\$ 50,978	17%
180009 Bender Rosenthal	\$ 462,916	\$ (200,000)	\$ 73,919	16%
180010 Associated ROW Services	\$ 300,000	\$ (150,000)	\$ 30,843	0%
180013 Psomas	\$ 90,000		\$ 84,432	94%
190001 Bentley Systems ProjectWise	\$ -		\$ -	0%
190005- Management Partners	\$ 572,000		\$ 236,250	41%
190009 Parsons	\$ 3,796,645		\$ 1,522,243	40%
190010 Porter Consulting LLC	\$ 2,475		\$ -	0%
190011 GV/ HI Park Tower	\$ 995,414		\$ 351,369	35%

Section 6 | Contracts *continued*

Contract Summary *continued*

Contract Description	Commitments FY20/21	Pending Commitments	Incurred to Date FY20/21	% Spent FY20/21
190012- Bank of America	\$ 10,000		\$ 8,788	88%
190014 Direct Technology Gov Solutions	\$ 224,924		\$ 127,283	57%
190015 Audio Visual Innovations, Inc.	\$ 6,000		\$ -	0%
190016 Consolidatd Communications	\$ 79,707		\$ 18,372	23%
190017 ATT	\$ 56,450		\$ 12,330	22%
190018 AP42	\$ 57,650		\$ -	0%
190019 VMA	\$ 375,230		\$ 135,429	36%
190021 Ring Central	\$ 189,570		\$ 18,636	10%
190022 Caltronics Business	\$ 85,768		\$ 20,471	24%
190023 Jambo	\$ 34,920		\$ -	0%
190024-SEC	\$ 81,000		\$ 16,500	20%
190026-Meeting Booster	\$ 15,708		\$ -	0%
200001-Foliate	\$ 9,348		\$ 4,633	50%

Section 6 | Contracts *continued*

Contract Summary *continued*

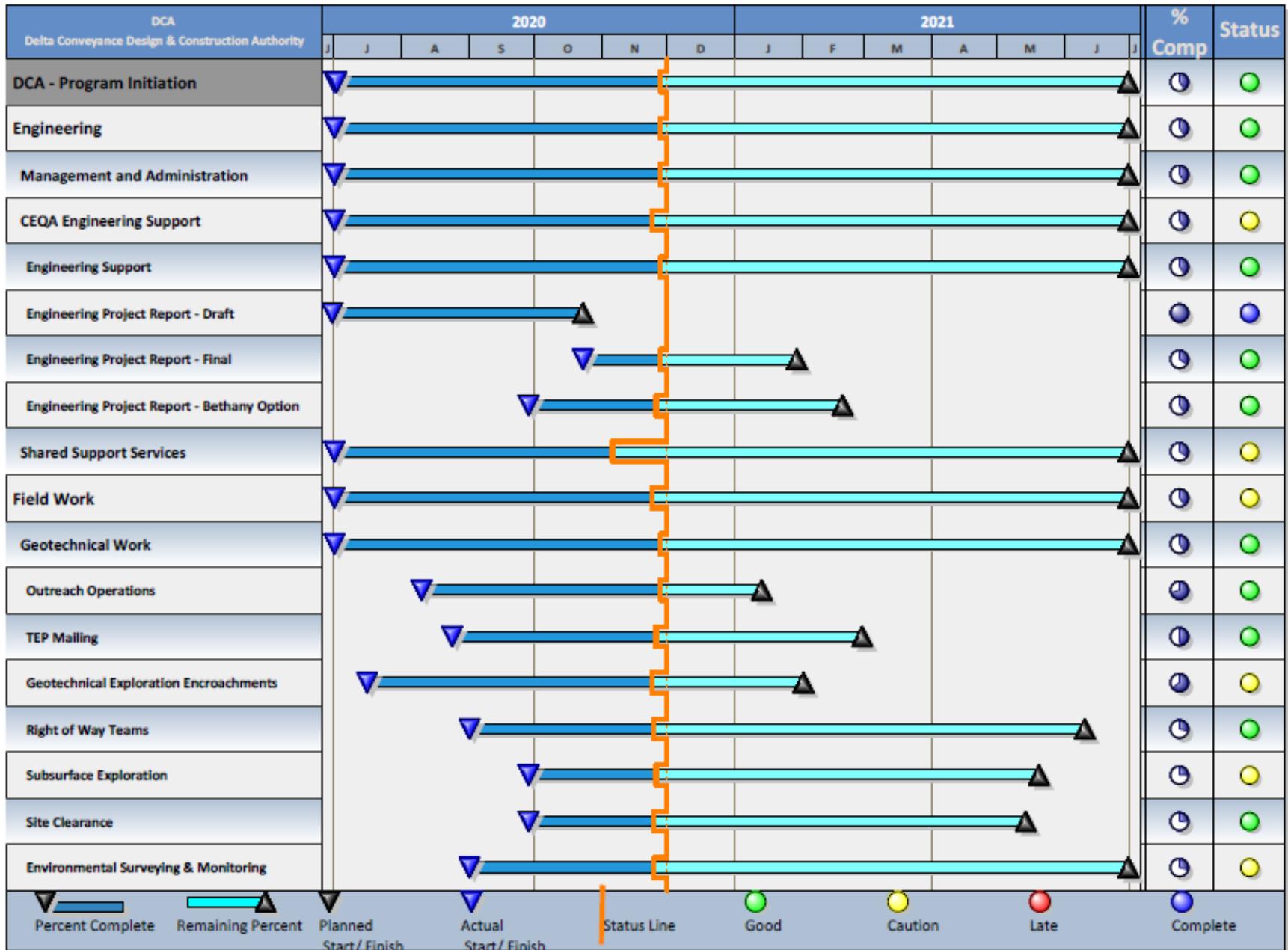
Contract Description	Commitments FY20/21	Pending Commitments	Incurred to Date FY20/21	% Spent FY20/21
200002 Primo	\$ -		\$ -	0%
200003 Best Best & Kreieger LLP	\$ 620,000		\$ 172,120	28%
200004-DocuSign	\$ -		\$ -	0%
200006-KPMG	\$ 25,000		\$ 25,000	0%
200008/22-Alliant Insurance	\$ 37,146		\$ 35,284	95%
200010-Spark	\$ 4,800		\$ -	0%
200011-Ang	\$ 5,000		\$ -	0%
200013 Metropolitan Water District	\$ 310,321		\$ 96,988	31%
200014-Dept of Water Resources	\$ 375,000		\$ -	0%
Office Depot	\$ 349		\$ 349	0%
200016-Signs Now	\$ 10,793		\$ 10,793	0%
200017-The Perfect Fit	\$ 750		\$ 750	0%
2000021/25-Keough Multimedia	\$ 5,500		\$ 225	4%
2000023-San Joaquin County	\$ 1,500		\$ 1,500	100%

[more >](#)

Section 6 | Contracts *continued*

S/DVBE Status FY 2020/21										
Contract/Prime	Prime	Committed	Incurred	Firm Name	SBE / DVBE	SBE/DVBE Committed	% SBE/DVBE Committed	SBE/DVBE Incurred	% SBE/DVBE Incurred	
180006-02	Jacobs	\$ 13,273,528	\$ 3,614,269			\$ 1,082,255	8%	\$ 207,713	6%	
				AnchorCM	DVBE	600,179		148,406		
				EETS, Inc.	SBE	30,120		2,868		
				JMA Civil, Inc.	SBE	151,956		6,663		
				Nazparv Consulting LLC	SBE	300,000		49,775		
180007-02&03	Fugro	\$ 4,057,122	\$ 1,078,895			\$ 242,483	6%	\$ 26,163	2%	
				Dillard Environmental Services	SBE	74,471		-		
				Hutgren-Tillis Engineering	SBE	168,012		26,163		
				The LeBaugh Group	SBE	-		-		
180008-02		\$ 150,000	\$ 30,843	Associated Right of Way		\$ 150,000	100%	\$ 30,843	100%	
190022-00	Caltronics	\$ 85,768	\$ 20,471	Caltronics Government Services		\$ 85,768	100%	\$ 20,471	24%	
180009-02	Hamner Jewell	\$ 200,000	\$ 50,978	Hamner Jewell		\$ 200,000	100%	\$ 50,978	100%	
190009-03	Parsons	\$ 3,795,645	\$ 1,522,243			\$ 1,045,163	28%	\$ 379,195	25%	
				Chaves & Associates	SBE	1,045,163		379,195		
190019-01	VMA	\$ 375,230	\$ 135,429	VMA Communications	SBE	\$ 375,230	100%	\$ 135,429	100%	

Section 7 | Program Initiation Schedule





DCA

DELTA CONVEYANCE DESIGN & CONSTRUCTION AUTHORITY

DCA LEADERSHIP SPOTLIGHT SERIES

Andrew Finney, Field Work and Geotechnical Lead

Agenda Item 7c | December 17, 2020

WWW.DCDCA.ORG | WWW.WATER.CA.GOV/DELTA CONVEYANCE

BACKGROUND AND EXPERIENCE

- **Education and Registration**
 - BS, Civil Engineering, Bucknell University, 1992
 - MS, Geotechnical Engineering, University of Washington, 1993
 - Registered Professional Civil Engineer in CA, NM, HI, FL, CO, TX, LA, NY
 - Registered Professional Engineer in Canada, BC, AB, ON
 - Registered Geotechnical Engineer in CA
- **Global Technology Leader for Trenchless Design, for CH2M/Jacobs**
- **26+ Years Professional Experience (California, New York, and Colorado base)**
- **National and international experience in the geotechnical and tunnel design and construction aspects of intakes, pipelines, tunnels, and reservoirs**
- **Tunnel and geotechnical lead for fast-track draft MPTO WaterFix CER in 2012; worked on Delta Conveyance since 2010**
- **Field Work and Geotechnical Lead for DCDCA (February 2019 - present); part of the original DCDCA EDM Team**



Andrew Finney

26+ years professional experience entirely focused on geotechnical and tunnel / trenchless aspects of conveyance planning, design, and construction

SIGNIFICANT PROJECTS

Freeport Regional Water Project – Intake Facilities



2006-2011/Geotechnical and Tunnel Lead

- 327 CFS Vertical Plate Fish Screen, 8,000 HP Pumping Plant
- Built in USACE regulated levee
- In the Delta
- ASCE Geotechnical Project of the Year

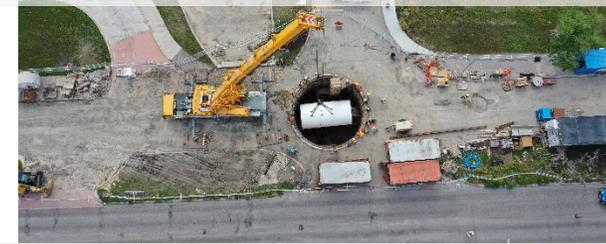
Los Angeles Metro – Westside Subway Extension Project, Section 1



2015-2017/Settlement Assessment Lead

- 4 mi. subway tunnel, 4 stations along Wilshire
- Estimated ground settlements and potential for damage to 1000's of utilities and structures
- Developed instrumentation requirements

City of Winnipeg - Cockburn/Calrossie Combined Sewer Relief Works



2016-2018/Tunnel Lead

- Separate the land drainage system from the sanitary sewer system to reduce flood risk.
- Designed microtunneled and 2-pass tunnels across City
- Estimated settlements from tunneling and shaft construction developed mitigation

Eastern New Mexico Water Utility Authority - Ute Reservoir Intake



2010-2015/Geotechnical and Tunnel Lead

- 90-ft dia. pump station & tunneled intake
- Ground design including pre-excavation grouting for rock PS shaft construction. Wrote GBR
- Blast protection and monitoring scheme

Tarrant Regional Water District, IPL – Lake Intakes and Pump Stations



2010-2018/Geotechnical and Tunnel Lead

- 3 pumping plants (230 -430 CFS)
- Extensive use of GBRs for civil construction
- Cofferdams, tunnels, cutoff walls, deep foundations

Colorado Springs Utilities - Southern Delivery System



2006-2013/Geotechnical and Tunnel Lead

- 62 miles of 24- to 72-inch dia. pipeline
- Preliminary design of 30,000-acre foot reservoir with a 130-foot high, 8,200-foot-long earthen embankment dam
- 100-ft-deep shafts and 12-ft dia. tunnels

ROLES ON DCA

- **Geotechnical Lead**
 - Guidance on need for ground improvement, groundwater cutoff, settlement mitigation, abrasivity of soils, and deep foundations
 - Address specialty topics, such as beneficial reuse of RTM
 - Engage with each facility team to provide solutions
- **Field Work Lead**
 - Technical leadership of DCA exploration team and right of way team
 - Scheduling and coordination of environmental, right of way, permitting, and exploration teams
 - QA/QC of all field data
- **Participate in leadership meetings/ workshops to provide support for the entire DCA/DCO team**
- **Supports DCA with field work communications**



DCA KEY ACCOMPLISHMENTS

- **Lead outreach efforts to gather existing deep subsurface data across the Delta and used them to guide data gap exploration**
- **Completed geophysical test program to evaluate potential to reduce reliance on more invasive exploration methods**
- **Developed an alternative approach to the intake cofferdam construction and groundwater cutoff systems to reduce the need for in-river pile driving**
- **Guided team on RTM topics and engaged experts to develop supplemental testing program**
- **Developed rigorous seismic design guidelines**
- **Supported key public outreach efforts**



ANDREW FINNEY, FIELD WORK AND GEOTECHNICAL LEAD

Thank You! Any Questions?



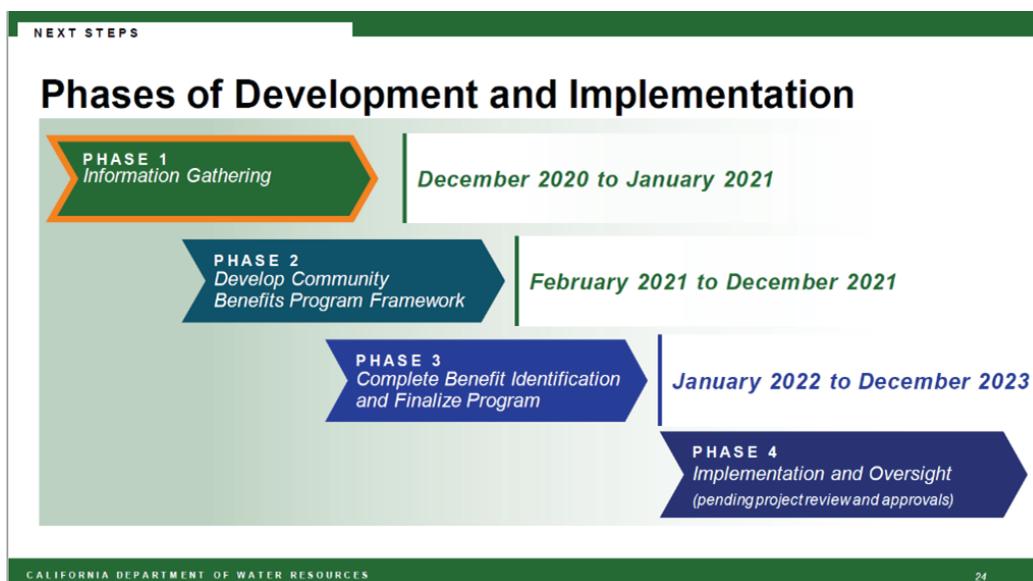
This summary is provided as a resource for committee members and the public to have brief highlights following SEC meetings. In addition to this summary, detailed meeting minutes, question and answer documents and full meeting video will be available on the dcdca.org website.

MEETING OVERVIEW

The 14th meeting of the Stakeholder Engagement Committee (SEC) was held via video conference on December 9, 2020. The meeting video, agenda, presentation and supplemental materials are available for review on the dcdca.org [website](#).

INTRODUCTION TO COMMUNITY BENEFITS DISCUSSION FRAMEWORK

- Ms. Buckman provided an update of the CEQA process and introduced a conceptual approach to develop a Community Benefits Program. The focus would initially be about developing a framework and process-identifying the community benefits would come later.
- Ms. Barbieri explained that DWR endeavors to work with the community to develop a framework for the Community Benefits Program to include as an appendix to the Draft EIR.
- This framework will be in addition to and separate from mitigation measures required through regulation and a planned ombudsman program.
- Ms. Mallon shared case study information of community benefits programs from other projects and regions and highlighted best practices.
- Members were asked for input about the conceptual process of developing the framework and which stakeholders should be included.



TECHNICAL PRESENTATIONS

- Phil Ryan, DCA Engineering Manager, reviewed the components of the Bethany Reservoir Alternative and focused specifically on the Bethany Complex Reception Shaft, Surge Basin, Pumping Plant and Discharge Structure.
- Mr. Hubbard presented a construction traffic analysis demonstrating the anticipated conditions for the Bethany Alternative.
 - ◆ There are fewer anticipated traffic impacts for the Bethany Alternative than for the corresponding southern portions of the Central and Eastern Alternatives because the Bethany Alternative requires less movement of materials.
 - ◆ There are four major work sites associated with the Bethany Alternative. The alternative would affect the traffic level of service to an unacceptable level in two areas unless remedial actions are enacted. The two remedial actions that are recommended are:
 - ▶ Provide a worker park-n-ride in Stockton to reduce the amount of project traffic using SR-4, and
 - ▶ Widen Byron Hwy between Lindemann Road and Mountain House Parkway and build the Lindemann Interchange. This will provide needed capacity on the short section of Byron Highway that would be used by project traffic.
 - ◆ Two further roadway modifications are proposed that would enable project traffic to avoid sensitive areas:
 - ▶ Creation of a West Grant Line Road Roundabout that will enable project traffic to go around the community just north of the Grant Line Road/Mountain House Road intersection, and
 - ▶ A new haul road that would bypass Mountain House School.
- The DCA has been and will continue to communicate with various local city and county offices to collaborate on potential design and engineering options.

NEXT MEETING

DATE*:

January 27, 2021

TIME:

3-6 p.m.

LOCATION:

RINGCENTRAL WEBINAR:
<https://webinar.ringcentral.com/j/1480658465>

POSSIBLE MEETING TOPICS:

- Bethany Alternative Update
- Geotechnical Work
- Community Benefits Framework Update

* DCA will comply with public health recommendations regarding public meetings and social distancing efforts. Any meeting changes or cancellations will be communicated to members and the public.

COMMITTEE MEMBERS:

- Anna Swenson**
At Large - Yolo
- Angelica Whaley**
North Delta Local Business
- Barbara Barrigan Parrilla**
Environmental Justice
- Cecille Giacoma**
Public Safety
- David Gloski**
At Large - Contra Costa
- Douglas Hsia**
At Large - Sacramento
- Gilbert Cosio**
Ex-Officio
- Isabella Gonzalez Potter**
Environment NGO - Aquatic
- Jim Cox**
SportsFishing
- Jesus Tarango**
Tribal Government Representative (Alternate)
- James (Jim) Wallace**
Delta History/Heritage
- Karen Mann**
South Delta Local Business
- Lindsey Liebig**
Agriculture
- Mel Lytle**
Delta Water District
- Michael Moran**
Ex-Officio
- Malissa Tayaba**
Tribal Government Representative
- Peter Robertson**
Recreation
- Phillip Merlo**
At large - San Joaquin
- Sean Wirth**
Environmental NGO - Terrestrial
- Mike Hardesty**
At large - Solano
- Chief David Welch**
Ex-Officio
- Gila Moreno**
City of Hood





SEC Member Thought Exchange

- Ms. Moreno asked for community benefit examples in agricultural areas, as they may be more beneficial to help the community understand possible benefits as related to the proposed Project.
- Ms. Barrigan-Parrilla said the way the concept for the framework development process was presented makes sense. The SEC fits into the framework because SEC members represent various constituencies. It would make sense to work with SEC members in small groups and with SEC members' respective stakeholder groups. Work from Delta Stewardship Council should be included in the discussion. Protection of the community from flood threat should be part of the conversation.
- Ms. Giacoma said she has spoken with hundreds of people. There is a world of input that is all negative except for the people who have been persuaded by the promise of community benefits. DWR must listen to the voices that oppose the project.
- Mr. Cosio emphasized that mitigation measures that are required by regulation be clearly funded separately. Ms. Buckman noted that mitigation measures will be included in the Environmental Impact Report to reduce potentially significant impacts. Community benefits are in addition to mitigation measures.
- Mr. Gloski said the discussion today seemed to be more about mission and values of the Community Benefits Framework, rather than the process itself.
- Mr. Wirth suggested identifying actions in the Community Benefits Framework that create multi-benefits across many fields of interest. He noted the agricultural community is a big part of the Delta and should be one of the stakeholder groups consulted.
- Members asked about the source, amount and timing of funding for community benefits. Ms. Buckman indicated these specifics have not yet been determined but community benefits will be funded by the public water agencies as part of the overall project.
- Mr. Wallace said the community benefits discussion poses a lot of issues for those in the Delta who oppose the project. For instance, community groups who chose to not participate in the SEC are now frustrated that they are not a part of the process. Many people oppose the project, but they choose to engage in the process to affect the potential outcomes. The community benefits discussion needs to follow that path. The term "grassroots" should be used instead of "community". Overall, he likes the way the process for developing the Community Benefits Framework is laid out. Ms. Barbieri noted that one of the commitments by DWR is that participation in the process will not be perceived or expressed as support for the project.
- Mr. Cox said fishermen are anxious to be heard and want benefits from the project. Fishermen must be included and specifically heard regarding their concerns.
- Mr. Moran said it is important to clarify the difference between mitigation and community benefits. He supports ongoing funding, like an endowment that will grow. Staffing should be funded for an extended period to address maintenance and programming, perhaps by a per-user fund.
- Ms. Barrigan-Parrilla said there are grassroots categories that are going to live with ongoing impacts and then others who will live with long-term secondary impacts. There are values to how the AB617 process is structured and perhaps could serve as a pattern for these discussions, including providing a stipend for members of environmental justice communities who participate.
- Mr. Hsia said the marinas have been hard hit and asked about private businesses being recipients of community benefits funding.
- Ms. Moreno said the process should include more diverse stakeholders, including those who speak languages other than English, so DWR should consider providing translators.
- Dr. Lytle said there is quite a distance between project support and project opposition, and he would like to fully understand the intent of engaging the community in this capacity.
- Mr. Merlo said there are studies showing indigenous tribes once inhabited the Mountain House area and DWR may want to consult with the North Valley Yokuts Tribe.
- Ms. Mann said she is concerned about potential effects of the water flow on the high volume of ski boaters on the inlet near Little River's End, close to Byron Highway and Mountain House Road. Mr. Ryan clarified that the tunnel will be underground so the water flow will not be affected.
- Mr. Moran said Los Vaqueros Reservoir did extensive studies in the area some of which are not published. It would be good to connect with those who conducted those studies.
- Ms. Swenson said perhaps a Mountain House representative should be considered as an addition to the SEC.
- Mr. Gloski said it would be good to have a meeting to revisit topics that SEC members would like to discuss further. He would like to discuss the South Delta facilities again.
- Ms. Swenson said having meeting materials before the meeting would make participation in the meeting more meaningful.
- Ms. Barrigan-Parrilla said it is imperative that DCA not misstate the position of the Delta stakeholders when speaking publicly, or goodwill in the Delta will be undermined.

Next Steps

- Ms. Parvizi can help get materials or presentations translated into Spanish or other languages as necessary.
- In order to provide meeting materials to the SEC earlier, the DCA may be able to release partial files with placeholder pages for presentation slides that are still under development.
- Having a Mountain House representative join the SEC will be considered.

SEC Report Outs to DCA Board

Up to four different SEC members are invited each month to present to the DCA Board of Directors about the SEC process. SEC members interested in presenting at the January Board of Directors meeting should contact nazliparvizi@dcca.org.



General Counsel's Report

Contact: Josh Nelson, General Counsel

Agenda Date: December 17, 2020

Item No. 8a

Subject: Status Update

Summary:

The General Counsel continues to assist the DCA on legal matters as requested.

Detailed Report:

The General Counsel continues to assist staff on legal matters as necessary. Importantly, we have been reviewing current DCA bylaws and policies to ensure consistency with the revised joint powers agreement being approved by DCA member agencies. Staff hopes to have the revised bylaws and other documents ready for Board review at its January meeting. We anticipate that the reconstituted Board will be seated at that time.

Rather than a detailed review of pending projects, the General Counsel wished to update the Board on some important changes taking effect January 1st.

Updated Gift Limit. The Fair Political Practices Commission recently released the updated annual gift limits to reflect changes in the cost of living. Under the Political Reform Act, this limit is updated every two years. For 2019-2020, it was \$500. The limit will increase to \$520 for 2021-2022. This limit sets the threshold for the 12-month conflict of interest materiality standard for gifts and the calendar year cap on gifts.

Brown Act & Social Media. A new law on social media and the Brown Act, AB 992, takes effect January 1st. As a reminder, the Brown Act generally requires that a legislative body's meetings be open and public, including advance notice, posting of the agenda and accessibility by the public. The Act prohibits a majority of members of a legislative body from engaging in a "series of communications," directly or through intermediaries, to "discuss, deliberate, or take action on an item" that is within the legislative body's subject matter jurisdiction.

AB 992, which amends Government Code section 54952.2, clarifies what kind of communications a public official may have via social media and what kind of communications are prohibited. First, AB 992 clarifies that a public official may communicate on social media platforms to answer questions, provide information to the public or to solicit information from the public regarding a matter within the legislative body's subject matter jurisdiction. However, the latter types of communications are only allowed as long as a majority of the members of the legislative body do not use any social media platform to "discuss among themselves" official business. According to AB 992, "discuss among themselves" includes making posts, commenting and even using digital icons that express reactions to communications (i.e., emojis) made by other members of the legislative body.

Second, a single contact between one public official and another normally would not constitute a prohibited serial meeting. However, AB 992's social media prohibitions go further. It prohibits a member



DCA

DELTA CONVEYANCE DESIGN
& CONSTRUCTION AUTHORITY

of a legislative body from responding “directly to any communication on an Internet-based social media platform regarding a matter that is within the subject matter jurisdiction of the legislative body that is made, posted, or shared by any other member of the legislative body.” Now, if one public official posted a comment in response to another public official’s social media post about an agency issue, that could be a Brown Act violation, assuming the two serve on the same legislative body.

The law applies to Internet-based social media platforms that are open and accessible to the public. In this context, “open and accessible to the public” means “that members of the general public have the ability to access and participate, free of charge, in the social media platform without the approval by the social media platform or a person or entity other than the social media platform, including any forum and chatroom, and cannot be blocked from doing so, except when the Internet-based social media platform determines that an individual violated its protocols or rules.” This relatively broad language would likely include most types of social media platforms, including, but not limited to, Snapchat, Instagram, Facebook, Twitter, blogs, TikTok and Reddit. That means it could affect social media commenting, retweeting, liking, disliking, responding with positive or negative emojis and/or screenshotting (photographing) and reposting.

Recommended Action:

Information only.



Treasurer's Report

Contact: Katano Kasaine, Treasurer

Date: December 17, 2020

Item No. 8b

Subject: Treasurer's Monthly Report, November 2020

Summary:

The beginning cash balance for the Delta Conveyance Design and Construction Joint Powers Authority (Authority) at November 1, 2020 was \$699,592. During November 2020, receipts totaled \$3,022,224 representing contributions from the Department of Water Resources, Delta Conveyance Office (DCO) for payment of the Authority's obligations. Total disbursements for the month were \$2,971,997. The ending cash balance at November 30, 2020 was \$749,819.

As of November 30, 2020, the Authority's receivables totaled \$1,708,859 consisting of 9 invoices to the DCO. Various invoices in the amount of \$78,836 were paid out through December 9, 2020, leaving a cash balance of approximately \$670,983.

As of November 30, 2020, prepaid expenses were \$224,069, total accounts payable were \$1,694,553 and total net position was \$988,194.

Attachment 1 consists of financial statements for the month ended November 2020, a schedule of Invoices Paid through November 2020, and Aging Schedules for Accounts Payable and Accounts Receivable as of November 30, 2020.

Detailed Report:

See attached statements.

Recommended Action:

Information, only.

Attachment:

Attachment 1 – November 2020 Authority Financial Statements



DELTA CONVEYANCE DESIGN AND CONSTRUCTION JOINT POWERS AUTHORITY

Statement of Net Position

As of November 30, 2020

Assets:	
Cash	\$ 749,819
Accounts receivable	1,708,859
Prepays	<u>224,069</u>
Total assets	<u><u>\$ 2,682,747</u></u>
Liabilities:	
Accounts payable	<u>\$ 1,694,553</u>
Total liabilities	1,694,553
Net position: ⁽¹⁾	<u>988,194</u>
Total liabilities and net position	<u><u>\$ 2,682,747</u></u>

⁽¹⁾ Capital contributions received and costs incurred that were previously reported as capital contributions and construction in progress (CIP), respectively, through June 30, 2020 have been reclassified or expensed, respectively, as the current state of the Delta Conveyance Project does not meet the capitalization criteria of U.S. Generally Accepted Accounting Principles (U.S. GAAP).



DELTA CONVEYANCE DESIGN AND CONSTRUCTION JOINT POWERS AUTHORITY

Statements of Cash Receipts and Disbursements

	Month Ended Nov '20	Year to Date Jul '20-Nov '20
	<u> </u>	<u> </u>
Receipts:		
Contributions ⁽¹⁾	\$ 3,022,224	\$ 16,472,700
Disbursements:		
Environmental planning and design		
Program management	356,750	1,090,121
Project controls	404,688	1,336,273
Engineering	1,202,225	10,611,152
Property access and acquisition	137,937	173,112
Stakeholder engagement	61,662	621,654
Office administration	438,555 ⁽²⁾	2,125,573 ⁽²⁾
Fieldwork	370,180	488,236
	<u>2,971,997</u>	<u>16,446,121</u>
Total disbursements		
Net changes in cash	50,227	26,579
Cash at July 1, 2020	—	723,240
Cash at November 1, 2020	<u>699,592</u>	<u>—</u>
Cash at November 30, 2020	<u>\$ 749,819</u>	<u>\$ 749,819</u>

⁽¹⁾ California Department of Water Resources (DWR) contributions invoiced through the Delta Conveyance Office (DCO).

⁽²⁾ In November 2020, e-Builder refunded \$916 of the annual license fee for the period October 2019 to October 2020 due to system downtime during September 2020.



DELTA CONVEYANCE DESIGN AND CONSTRUCTION JOINT POWERS AUTHORITY

Statements of Revenues, Expenses and Changes in Net Position

	Month Ended Nov '20	Year to Date Jul '20-Nov '20
Revenues:		
Contributions ⁽¹⁾	\$ 468,640	\$ 8,454,367
Total revenues	<u>468,640</u>	<u>8,454,367</u>
Expenses:		
Environmental planning and design		
Program management	136,888	797,681
Project controls	5,078	418,441
Engineering	25,451	4,205,717
Property access and acquisition	14,178	314,300
Stakeholder engagement	7,677	417,760
Office administration	90,315 ⁽³⁾	1,563,718 ⁽³⁾
Fieldwork	253,908	670,066
Total expenses	<u>533,495</u>	<u>8,387,683</u>
Changes in net position	(64,855)	66,684
Net position at June 30, 2020 ⁽²⁾	—	921,510
Net position at October 31, 2020	<u>1,053,049</u>	<u>—</u>
Net position at November 30, 2020	<u>\$ 988,194</u>	<u>\$ 988,194</u>

* Balances may include prior month accruals that were not previously captured due to timing.

⁽¹⁾ DWR contributions invoiced through the DCO.

⁽²⁾ Capital contributions received and costs incurred that were previously reported as capital contributions and CIP, respectively, through June 30, 2020 have been reclassified or expensed, respectively, as the current state of the Delta Conveyance Project does not meet the capitalization criteria of U.S. GAAP.

⁽³⁾ In November 2020, e-Builder refunded \$916 of the annual license fee for the period October 2019 to October 2020 due to system downtime during September 2020.



DELTA CONVEYANCE DESIGN AND CONSTRUCTION JOINT POWERS AUTHORITY

Schedule of Invoices Paid
for the Month Ended November 30, 2020

Vendor	Invoice #	Invoice Date	Payment Date	Period of Expense	Invoice Amount	Amount Paid
1 Prime US-Park Tower LLC	202010006	10/22/20	11/02/20	11/01/20-11/30/20	\$ 27,008	\$ 27,008
2 Consolidated Communications	OCT010	10/15/20	11/10/20	10/15/20	4,594	4,594
3 Ring Central	CD_000176543	10/21/20	11/10/20	09/28/20	3,786	3,786
4 AT&T	6822436506	10/19/20	11/10/20	09/19/20	2,540	2,540
5 Planetbids	0520198	05/18/20	11/16/20	08/13/19-08/12/20	22,625	22,625
6 Fugro USA Land, Inc.	04.00172510-1	08/10/20	11/16/20	07/01/20-07/31/20	87,532	87,532
7 e-Builder	9529	09/09/20	11/16/20	10/27/20-10/26/21	167,102	167,102
8 Fugro USA Land, Inc.	04.00172510-2	09/10/20	11/16/20	08/01/20-08/28/20	198,216	198,216
9 Jacobs	W8X97003-01	08/31/20	11/16/20	07/01/20-07/31/20	1,292,320	1,292,320
10 Parsons	2009C182	09/18/20	11/24/20	05/30/20-06/30/20	431,376	430,333
11 The Perfect Fit Films	7	09/29/20	11/24/20	08/07/20	750	750
12 Psomas	165761	09/17/20	11/24/20	07/01/20-09/30/20	84,432	84,432
13 Management Partners	INV08827	09/15/20	11/24/20	08/14/20-09/13/20	47,250	47,250
14 DirectApps Inc. (Direct Technology)	179954A	08/27/20	11/24/20	07/01/20-07/31/20	40,265	40,265
15 ARWS (Associated Right of Way Services,	18481	08/04/20	11/24/20	07/01/20-07/31/20	4,470	4,470
16 ARWS (Associated Right of Way Services,	18539	09/02/20	11/24/20	08/01/20-08/31/20	6,346	6,346
17 Bender Rosenthal, Inc.	18250.03-1	08/31/20	11/24/20	07/17/20-08/28/20	8,400	8,400
18 Foliate dba Plant Domaine	497145	09/28/20	11/24/20	10/01/20-10/31/20	695	695
19 Foliate dba Plant Domaine	497144	09/28/20	11/24/20	10/01/20-10/31/20	463	463
20 DirectApps Inc. (Direct Technology)	180255	08/31/20	11/24/20	08/01/20-08/31/20	29,607	29,607
21 Best, Best, & Krieger	886882	09/30/20	11/24/20	08/01/20-08/31/20	55,236	55,236
22 Best, Best, & Krieger	884462	08/27/20	11/24/20	07/01/20-07/31/20	38,788	38,788
23 Parsons	2009C140	09/18/20	11/24/20	07/01/20-07/31/20	420,218	420,155
24 e-Builder (refund)	WIRE	11/25/20	11/25/20	09/15/20-09/17/20	(916)	(916) ⁽¹⁾
					<u>2,973,103</u>	<u>2,971,997</u>

* Totals may not foot due to rounding.

⁽¹⁾ In November 2020, e-Builder refunded \$916 of the annual license fee for the period October 2019 to October 2020 due to system downtime during September 2020.



DELTA CONVEYANCE DESIGN AND CONSTRUCTION JOINT POWERS AUTHORITY

Accounts Payable Aging Schedule
As of November 30, 2020

<u>Payable To:</u>	<u>1 - 30</u>	<u>31 - 60</u>	<u>61 - 90</u>	<u>≥ 90</u>	<u>Total</u>
ARWS					
Invoice #18640	—	7,045	—	—	7,045
Invoice #18740	6,647	—	—	—	6,647
Audio Visual Innovations					
Invoice #1454039	—	31,666	—	—	31,666
Bender Rosenthal, Inc.					
Invoice #18250.03-2	—	10,465	—	—	10,465
Best, Best & Krieger					
Invoice #889178	45,089	—	—	—	45,089
Invoice #891509	33,008	—	—	—	33,008
Caltronics Business Systems					
Invoice #3127802	4,061	—	—	—	4,061
Invoice #3142379	1,400	—	—	—	1,400
DirectApps Inc. (Direct Technology)					
Invoice #180495	—	28,690	—	—	28,690
Invoice #181022	28,721	—	—	—	28,721
Fugro USA Land, Inc.					
Invoice #04.00172510-3	253,408	—	—	—	253,408
Hamner, Jewell & Associates					
Invoice #200451	7,531	—	—	—	7,531
Jacobs					
Invoice #W8X97001-04EXP	—	—	—	7,457	7,457
Invoice #W8X97003-02	—	1,051,324	—	—	1,051,324
Invoice #W8X97003-06EXP	30,835	—	—	—	30,835
Management Partners					
Invoice #INV08893	—	47,250	—	—	47,250
Invoice #INV08968	47,250	—	—	—	47,250
Office Depot, Inc.					
Invoice #104712415001	57	—	—	—	57
Invoice #104717438001	258	—	—	—	258
Invoice #104717438002	35	—	—	—	35
San Joaquin County Public Works					
Invoice #14431	500	500	—	—	1,000
Sign Now					
Invoice #97067	10,793	—	—	—	10,793
Stakeholder Committee Member					
Invoice # 013	4,500	—	—	—	4,500
The Sextant Group					
Invoice #20200668	—	1,757	—	—	1,757
Invoice #20201132	—	500	—	—	500
Invoice #20201467	655	—	—	—	655
Invoice #20201663	419	—	—	—	419
Invoice #20201891	1,461	—	—	—	1,461
VMA Communications					
Invoice #DCA20Sept	—	31,271	—	—	31,271
	\$ 476,628	\$ 1,210,468	\$ —	\$ 7,457	\$ 1,694,553

*Totals may not foot due to rounding.



DELTA CONVEYANCE DESIGN AND CONSTRUCTION JOINT POWERS AUTHORITY

Accounts Receivable Aging Schedule ⁽¹⁾
As of November 30, 2020

Receivable From:	1 - 30	31 - 60	61 - 90	> 90	Total
Department of Water Resources					
Invoice #DCA-152	\$ —	\$ 1,051,324	\$ —	\$ —	\$ 1,051,324
Invoice #DCA-153	—	31,666	—	—	31,666
Invoice #DCA-154	—	100,031	—	—	100,031
Invoice #DCA-155	—	57,198	—	—	57,198
Invoice #DCA-156	6,175	—	—	—	6,175
Invoice #DCA-157	31,650	—	—	—	31,650
Invoice #DCA-158	45,088	—	—	—	45,088
Invoice #DCA-159	253,408	—	—	—	253,408
Invoice #DCA-160	132,319	—	—	—	132,319
	\$ 468,640	\$ 1,240,219	\$ —	\$ —	\$ 1,708,859

*Totals may not foot due to rounding.

⁽¹⁾ Approval date by the DCO determines aging classification.



DELTA CONVEYANCE DESIGN AND CONSTRUCTION JOINT POWERS AUTHORITY

Statements of Cash Receipts and Disbursements

	Month Ended Nov '20	Year to Date Jul '20-Nov '20
Receipts:		
Contributions ⁽¹⁾	\$ 3,022,224	\$ 16,472,700
Disbursements:		
Environmental planning and design		
Program management	356,750	1,090,121
Project controls	404,688	1,336,273
Engineering	1,202,225	10,611,152
Property access and acquisition	137,937	173,112
Stakeholder engagement	61,662	621,654
Office administration	438,555 ⁽³⁾	2,125,573 ⁽³⁾
Fieldwork	370,180	488,236
Total disbursements	<u>2,971,997</u>	<u>16,446,121</u>
Net changes in cash	50,227	26,579
Cash at July 1, 2020	—	723,240
Cash at November 1, 2020	699,592	—
Cash at November 30, 2020	<u>\$ 749,819</u>	<u>\$ 749,819</u>

Statements of Revenues, Expenses and Changes in Net Position

	Month Ended Nov '20	Year to Date Jul '20-Nov '20
Revenues:		
Contributions ⁽¹⁾	\$ 468,640	\$ 8,454,367
Total revenues	<u>468,640</u>	<u>8,454,367</u>
Expenses:		
Environmental planning and design		
Program management	136,888	797,681
Project controls	5,078	418,441
Engineering	25,451	4,205,717
Property access and acquisition	14,178	314,300
Stakeholder engagement	7,677	417,760
Office administration	90,315 ⁽³⁾	1,563,718 ⁽³⁾
Fieldwork	253,908	670,066
Total expenses	<u>533,495</u>	<u>8,387,683</u>
Changes in net position	(64,855)	66,684
Net position at June 30, 2020 ⁽²⁾	—	921,510
Net position at October 31, 2020	1,053,049	—
Net position at November 30, 2020	<u>\$ 988,194</u>	<u>\$ 988,194</u>

* Balances may include prior month accruals that were not previously captured due to timing.

⁽¹⁾ DWR contributions invoiced through the DCO.

⁽²⁾ Capital contributions received and costs incurred that were previously reported as capital contributions and CIP, respectively, through June 30, 2020 have been reclassified or expensed, respectively, as the current state of the Delta Conveyance Project does not meet the capitalization criteria of U.S. GAAP.

⁽³⁾ In November 2020, e-Builder refunded \$916 of the annual license fee for the period October 2019 to October 2020 due to system downtime during September 2020.



DELTA CONVEYANCE DESIGN AND CONSTRUCTION JOINT POWERS AUTHORITY

	Statements of Cash Receipts and Disbursements		Statements of Revenues, Expenses and Changes in Net Position	
	Month Ended Nov '20	Year to Date Jul '20-Nov '20	Month Ended Nov '20	Year to Date Jul '20-Nov '20
Receipts/Revenues:				
Contributions ⁽¹⁾	\$ 3,022,224	\$ 16,472,700	\$ 468,640	\$ 8,454,367
Disbursements/Expenses:				
Environmental planning and design				
Program management	356,750	1,090,121	136,888	797,681
Project controls	404,688	1,336,273	5,078	418,441
Engineering	1,202,225	10,611,152	25,451	4,205,717
Property access and acquisition	137,937	173,112	14,178	314,300
Stakeholder engagement	61,662	621,654	7,677	417,760
Office administration	438,555 ⁽³⁾	2,125,573 ⁽³⁾	90,315 ⁽³⁾	1,563,718 ⁽³⁾
Fieldwork	370,180	488,236	253,908	670,066
Total disbursements/expenses	<u>2,971,997</u>	<u>16,446,121</u>	<u>533,495</u>	<u>8,387,683</u>
Net changes in cash	50,227	26,579		
Cash at July 1, 2020	—	723,240		
Cash at November 1, 2020	<u>699,592</u>	<u>—</u>		
Cash at November 30, 2020	<u>\$ 749,819</u>	<u>\$ 749,819</u>		
Changes in net position			(64,855)	66,684
Net position at June 30, 2020 ⁽²⁾			—	921,510
Net position at October 31, 2020			<u>1,053,049</u>	<u>—</u>
Net position at November 30, 2020			<u>\$ 988,194</u>	<u>\$ 988,194</u>

* Balances may include prior month accruals that were not previously captured due to timing.

⁽¹⁾ DWR contributions invoiced through the DCO.

⁽²⁾ Capital contributions received and costs incurred that were previously reported as capital contributions and CIP, respectively, through June 30, 2020 have been reclassified or expensed, respectively, as the current state of the Delta Conveyance Project does not meet the capitalization criteria of U.S. GAAP.

⁽³⁾ In November 2020, e-Builder refunded \$916 of the annual license fee for the period October 2019 to October 2020 due to system downtime during September 2020.

Environmental Manager's Report

Contact: Carolyn Buckman, DWR Environmental Manager

Date: December 17, 2020

Item No. 8c

Subject: Environmental Manager's Report

Summary:

The Department of Water Resources (DWR) is progressing through the California Environmental Quality Act (CEQA) process to analyze a single-tunnel solution to modernizing and rehabilitating the State Water Project infrastructure in the Delta.

Detailed Report:

DWR is continuing to develop an Environmental Impact Report (EIR) under the California Environmental Quality Act (CEQA). Current work is focused on formulation of alternatives to be analyzed in the EIR, descriptions of the existing conditions, and development of methods to analyze potential impacts on environmental resources. The U.S. Army Corps of Engineers (USACE) has started preparation of an Environmental Impact Statement to comply with the National Environmental Policy Act (NEPA)

DWR and the DCA completed cone penetration tests, soil borings, and geophysical surveys under the Initial Study/Mitigated Negative Declaration for Soil Investigations in the Delta that was adopted on July 9. The final soil boring of this year is scheduled to be complete by December 17 and no additional work is scheduled until spring. Additionally, DWR and the DCA are continuing work to obtain temporary entry on private lands. DWR is continuing to pursue permits for sites that fall under the jurisdiction of the Rivers and Harbors Act (Section 408). Those sites are not included in the near-term efforts. Investigations at any given site will not occur until property owners have been notified and required permits and approvals for that site have been obtained.

DWR will compile results from a survey to collect information on how low-income, minority, and other underserved communities rely on resources in the Delta. This information will help assess potential impacts and benefits to these communities. We will share results from this survey after quality control has been completed.

DWR shared a conceptual approach for forming a Community Benefits Program with the DCA's Stakeholder Engagement Committee on December 9. Our objective is to work collaboratively with the community in the development of the program, and the first step will be to create a framework, not only for the program but for how to work together. Our next steps will focus on information gathering to move the process forward.

Recommended Action:

Information only.